



IAPD Report

RYAN LUTHER BODIN

CRD# 4319074

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

RYAN LUTHER BODIN (CRD# 4319074)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/06/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	VANDERBILT SECURITIES, LLC	CRD# 5953	09/18/2023
IA	VANDERBILT ADVISORY SERVICES	CRD# 116537	09/19/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **13** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	149018	WAYZATA, MN	01/23/2014 - 09/26/2023
B	RAYMOND JAMES FINANCIAL SERVICES, INC.	6694	WAYZATA, MN	12/02/2005 - 09/26/2023
IA	VANDERBILT ADVISORY SERVICES	116537	WOODBURY, NY	09/18/2023 - 09/18/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **13** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **VANDERBILT SECURITIES, LLC**

Main Address: 125 FROEHLICH FARM BLVD.
WOODBURY, NY 11797

Firm ID#: 5953

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	09/18/2023
	FINRA	Registered Options Principal	Approved	09/18/2023
	Arizona	Agent	Approved	09/18/2023
	Arkansas	Agent	Approved	09/18/2023
	California	Agent	Approved	09/22/2023
	Florida	Agent	Approved	11/02/2023
	Maryland	Agent	Approved	09/18/2023
	Minnesota	Agent	Approved	09/27/2023
	New Mexico	Agent	Approved	04/07/2026
	North Carolina	Agent	Approved	09/18/2023
	Oklahoma	Agent	Approved	12/05/2024
	Oregon	Agent	Approved	09/18/2023
	Texas	Agent	Approved	01/05/2026



Qualifications

	Regulator	Registration	Status	Date
B	Washington	Agent	Approved	09/18/2023
B	Wisconsin	Agent	Approved	10/09/2023

Branch Office Locations

600 Twelve Oaks Center Drive, Suite #201
Wayzata, MN 55391

Employment 2 of 2

Firm Name: **VANDERBILT ADVISORY SERVICES**
Main Address: 125 FROEHLICH FARM BLVD.
WOODBURY, NY 11797
Firm ID#: 116537

	Regulator	Registration	Status	Date
IA	Minnesota	Investment Adviser Representative	Approved	09/19/2023

Branch Office Locations

VANDERBILT ADVISORY SERVICES
600 Twelve Oaks Center Drive, Suite 201
Wayzata, MN 55391



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	Registered Options Principal Examination (S4)	Series 4	02/10/2007

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Futures Managed Funds Examination (S31)	Series 31	03/01/2001
B	General Securities Representative Examination (S7)	Series 7	01/24/2001

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	02/02/2001



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/23/2014 - 09/26/2023	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	CRD# 149018	WAYZATA, MN
B	12/02/2005 - 09/26/2023	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	WAYZATA, MN
IA	09/18/2023 - 09/18/2023	VANDERBILT ADVISORY SERVICES	CRD# 116537	WOODBURY, NY
B	04/03/2002 - 12/06/2005	EDWARD JONES	CRD# 250	PLYMOUTH, MN
B	01/25/2001 - 04/05/2002	MORGAN STANLEY DW INC.	CRD# 7556	PURCHASE, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2023 - Present	Vanderbilt Advisory Services	Investment Advisor Registered	Y	Woodbury, NY, United States
09/2023 - Present	Vanderbilt Securities, LLC	Registered Representative	Y	Woodbury, NY, United States
06/2011 - Present	TOTAL BENEFIT SOLUTIONS, INC.	AGENT	Y	WAYZATA, MN, United States
01/2009 - Present	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	INVESTMENT ADVISOR REP	Y	BLOOMINGTON, MN, United States
01/2008 - Present	INSURANCE-NON VARIABLE	INDEPENDENT CONTRACTOR	Y	ST. LOUIS PARK, MN, United States
05/2007 - Present	ANDERSON FINANCIAL SERVICES	INDEPENDENT CONTRACTOR	N	WAYZATA, MN, United States
12/2005 - Present	RAYMOND JAMES FINANCIAL SERVICES, INC.	FINANCIAL ADVISOR	Y	WAYZATA, MN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) Total Benefit Solutions, Inc. Not Investment Related. Start Date: 01/01/2007. Address: 2614 Xylon Ave So Saint Louis Park MN, 55426. Title: Owner/Producer/Agent. Duties: I sell fixed annuities, insurance, and LTC. Time spent during regular hours: 5%.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

2) Lake Side Networking Group. Not investment related. Start date: 10/13/2023. Address: 340 Willow Dr. N Long Lake MN, 55356. Title: Event Co-Ordinator. Duties: The purpose of the Group is to Network and give and get referral's within the Professional members of the Group. We have about 20 people.. Time spent during regular hours: 0%.

3) Smart Capital Management, Inc. Not investment related. Start date: 12/01/2005. Address: 2614 Xylon Ave So Saint Louis Park MN, 55426. Title: Owner. Duties: This is an S-Corp that i run my business expenses and income through for tax purposes in relation to my income from my Financial Advisor Practice. Time spent during regular hours: 100%.

4) Meningioma Brain Tumor Network. Not investment related. Start date:01/31/2023. Address: 2614 Xylon Ave So Saint Louis Park MN, 55426. Title: Chair of the Board Member. Duties: I'm on the BOD and we meet virtually every quarter for 2 hours on a Saturday to discuss ways to increase awareness for this rare disease and to look for ways to help Survivors thrive. Time spent during regular hours: 0%.

5) Estate Docs Pro. Not investment related. Star date: 03/09/2024. Address: 600 Twelve Oaks Center Dr, Suite 200, Wayzata MN, 55391. Title: Secretarial Representative. Duties: Assisting people with filling out their Document templates (Wills, Health Directives, POA etc) in a secretarial or ministerial capacity. I am not providing legal advice or Counseling. Time spent during regular hours: 0%.

6) HarborWise Financial. Investment related. Star date: 01/12/2025. Address: 600 Twelve Oaks Center Dr, Suite 201, Wayzata MN, 55391. Title: Owner and Chief Executive Officer. Duties: Financial Planning. Time spent during regular hours: 45%.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	District Court
Name of Court:	4th Judicial District Court, State of MN
Location of Court:	Hennepin County, MN
Docket/Case #:	27-CR-22-1869
Charge Date:	01/31/2022
Charge(s) 1 of 2	
Formal Charge(s)/Description:	Dangerous Discharge Weapons - Intentional Discharge (609.66.1a(a)(2))
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	N/A
Disposition of charge:	Dismissed
Charge(s) 2 of 2	
Formal Charge(s)/Description:	Dangerous Weapons - Reckless Discharge (609.66.1a(a)(3))
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	N/A
Disposition of charge:	Reduced
Date of Amended Charge:	04/26/2022



Charge was Amended or reduced to:	Reckless Discharge of a Firearm (609.13)
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	Guilty
Disposition of Amended Charge:	Convicted
Current Status:	Final
Status Date:	06/23/2022
Disposition Date:	06/24/2022
Sentence/Penalty:	2 years of probation beginning 6/24/2022; \$50 fine.



End of Report

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