



IAPD Report

PETER ANDREW BLATT

CRD# 4325660

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

PETER ANDREW BLATT (CRD# 4325660)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/26/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	CENTER FOR ASSET MANAGEMENT, LLC	CRD# 147981	10/03/2008

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	JONATHAN ROBERTS ADVISORY GROUP, INC.	112294	TAMPA, FL	10/09/2006 - 06/26/2008
B	J.W. COLE FINANCIAL, INC.	124583	PALM BEACH GARDENS, FL	09/18/2006 - 06/26/2008
IA	QUESTAR ASSET MANAGEMENT, INC.	133358	PALM BEACH GARDENS, FL	06/21/2006 - 11/13/2006

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	4
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **3** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CENTER FOR ASSET MANAGEMENT, LLC**
Main Address: 3399 PGA BOULEVARD, STE 320
PALM BEACH GARDENS, FL 33410
Firm ID#: 147981

	Regulator	Registration	Status	Date
	Florida	Investment Adviser Representative	Approved	10/03/2008
	North Carolina	Investment Adviser Representative	Approved	03/22/2022
	Texas	Investment Adviser Representative	Restricted Approval	03/26/2025

Branch Office Locations

CENTER FOR ASSET MANAGEMENT, LLC
3399 PGA BOULEVARD, STE 320
PALM BEACH GARDENS, FL 33410



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 1 general industry/product exam, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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B General Securities Representative Examination (S7)	Series 7	03/14/2001
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State Securities Law Exams

Exam	Category	Date
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IA B Uniform Combined State Law Examination (S66)	Series 66	04/18/2003
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/09/2006 - 06/26/2008	JONATHAN ROBERTS ADVISORY GROUP, INC.	CRD# 112294	TAMPA, FL
B	09/18/2006 - 06/26/2008	J.W. COLE FINANCIAL, INC.	CRD# 124583	PALM BEACH GARDENS, FL
IA	06/21/2006 - 11/13/2006	QUESTAR ASSET MANAGEMENT, INC.	CRD# 133358	PALM BEACH GARDENS, FL
B	10/29/2003 - 09/19/2006	USALLIANZ SECURITIES, INC.	CRD# 40875	PALM BCH GDNS, FL
IA	11/10/2003 - 07/18/2006	USALLIANZ SECURITIES, INC.	CRD# 40875	PALM BCH GDNS, FL
IA	07/18/2003 - 10/30/2003	LEGACY ADVISORY SERVICES, INC.	CRD# 111027	PALM BEACH GARDENS, FL
B	01/31/2002 - 10/30/2003	LEGACY FINANCIAL SERVICES, INC.	CRD# 38697	PETALUMA, CA
B	03/15/2001 - 11/06/2001	MUTUAL SERVICE CORPORATION	CRD# 4806	BOSTON, MA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2008 - Present	CENTER FOR ASSET MANAGEMENT, LLC	PRESIDENT	Y	PALM BEACH GARDENS, FL, United States
01/2002 - Present	BLATT FINANCIAL GROUP LLC	PRESIDENT	Y	PALM BEACH GARDENS, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Peter Blatt, Esq at Blatt Legal, PLC. Not investment related. 3399 PGA Blvd. Ste 320, Palm Beach Gardens, FL 33410. Legal, Attorney as of 1/1/03. 10 Hours Per month. 5 hours during trading hours. Practice Estate Planning Law. Licensed attorney since



Registration & Employment History



OTHER BUSINESS ACTIVITIES

10/1995. Insurance 20 Hrs Per week. Commission based through Blatt Financial Group LLC. 5 Hours during trading hours.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	4
Termination	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 4

Reporting Source:	Individual
Regulatory Action Initiated By:	State of Florida Department of Financial Services
Sanction(s) Sought:	Suspension
Date Initiated:	03/29/2015
Docket/Case Number:	131266-13-AG
Employing firm when activity occurred which led to the regulatory action:	Center for Asset Management
Product Type:	Insurance
Allegations:	The state alleged that Blatt submitted fraudulent insurance policy applications to an insurer.
Current Status:	Final
Resolution:	Consent
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	03/19/2015
Sanctions Ordered:	Suspension



Sanction 1 of 1

Sanction Type: Suspension
Capacities Affected: Insurance License
Duration: 3 months
Start Date: 03/19/2015
End Date: 06/19/2015

Broker Statement Limited time Suspension in Insurance Sales only related solely to life insurance activities originating in 2008. Currently licensed to sell or recommend life insurance.

Disclosure 2 of 4

Reporting Source: Individual
Regulatory Action Initiated By: State of Florida Department of Financial Services
Sanction(s) Sought: Civil and Administrative Penalty(ies)/Fine(s)
Date Initiated: 04/08/2011
Docket/Case Number: CS10278\CA30194
Employing firm when activity occurred which led to the regulatory action: Center for Asset Management
Product Type: Insurance
Allegations: The state alleged that Blatt unlawfully rebated an insurance premium to an insured.
Current Status: Final
Resolution: Consent
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No
Resolution Date: 04/08/2011
Sanctions Ordered: Civil and Administrative Penalty(ies)/Fine(s)
Other: 6 months probation and and administrative penalty of \$7500

Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)
Total Amount: \$7,500.00
Portion Levied against individual: \$7,500.00
Payment Plan:
Is Payment Plan Current:



Date Paid by individual:	05/11/2011
Was any portion of penalty waived?	No
Amount Waived:	
Broker Statement	Limited time Probation in Insurance Sales only related solely to life insurance activities originating in 2008. Currently licensed to sell or recommend life insurance.
Disclosure 3 of 4	
Reporting Source:	Individual
Regulatory Action Initiated By:	State of Florida Department of Financial Services
Sanction(s) Sought:	Civil and Administrative Penalty(ies)/Fine(s) Suspension
Date Initiated:	09/08/2014
Docket/Case Number:	131281-13-AG
Employing firm when activity occurred which led to the regulatory action:	Center For Asset Management
Product Type:	Insurance
Allegations:	The state alleged that Blatt submitted fraudulent insurance policy applications to an insurer.
Current Status:	Final
Resolution:	Consent
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	09/08/2014
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s) Suspension
Sanction 1 of 1	
Sanction Type:	Suspension
Capacities Affected:	Insurance License
Duration:	3 Months
Start Date:	09/08/2014
End Date:	11/08/2014
Monetary Sanction 1 of 1	
Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	\$20,000.00



Portion Levied against individual: \$20,000.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual: 10/08/2014

Was any portion of penalty waived? No

Amount Waived:

Broker Statement Limited time Suspension in Insurance Sales only related solely to life insurance activities originating in 2008. Currently licensed to sell or recommend life insurance.

Disclosure 4 of 4

Reporting Source: Regulator

Regulatory Action Initiated By: Florida Office of Financial Regulation

Sanction(s) Sought: Bar
Cease and Desist
Civil and Administrative Penalty(ies)/Fine(s)
Revocation

Date Initiated: 01/23/2017

Docket/Case Number: 62072a-S

URL for Regulatory Action:

Employing firm when activity occurred which led to the regulatory action: Center for Asset Management, LLC

Product Type: No Product

Allegations: Failure to Amend Inaccurate Form U-4

Current Status: Final

Resolution: Order

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 06/07/2017

Sanctions Ordered: Cease and Desist
Civil and Administrative Penalty(ies)/Fine(s)

Monetary Sanction 1 of 1

Monetary Related Sanction: Monetary Penalty other than Fines

Total Amount: \$15,000.00



Portion Levied against individual: \$15,000.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual: 06/07/2017

Was any portion of penalty waived? No

Amount Waived:

Regulator Statement On June 7, 2017, the Office of Financial Regulation entered a Final Order adopting the Stipulation and Consent Agreement in the matter of Peter Andrew Blatt. Peter Andrew Blatt neither admitted nor denied the findings but consented to the entry of findings by the Office. The Office found Peter Andrew Blatt violated rules by failing to maintain an accurate Form U4, and to prepare and maintain accurate investment advisory contracts. A \$15,000 administrative fine was paid jointly and severally with Center for Asset Management LLC.

Reporting Source: Individual

Regulatory Action Initiated By: State of Florida Office of Financial Regulation

Sanction(s) Sought: Cease and Desist
Civil and Administrative Penalty(ies)/Fine(s)
Suspension

Date Initiated: 01/23/2017

Docket/Case Number: 62072-S, 62072A-S

Employing firm when activity occurred which led to the regulatory action: Center for Financial Management

Product Type: No Product

Allegations: Failure to timely update Form U-4 and ADV Part 1A, Part 2A and ADV Part 2B

Current Status: Final

Resolution: Stipulation and Consent

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? Yes

Resolution Date: 06/06/2017

Sanctions Ordered: Cease and Desist
Monetary Penalty other than Fines

Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$15,000.00



Portion Levied against individual: \$15,000.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual:

Was any portion of penalty waived? No

Amount Waived:

Broker Statement

PETER A. BLATT AND THE CENTER FOR ASSET MANAGEMENT ENTERED INTO A STIPULATION AND CONSENT AGREEMENT WITH THE STATE OF FLORIDA IN WHICH BLATT AND THE FIRM AGREED TO CEASE AND DESIST FROM ANY FUTURE VIOLATIONS OF CHAPTER 517 OF THE FLORIDA STATUTES AND THE IMPOSITION OF A FINE IN THE AMOUNT OF \$15,000.



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Firm
Firm Name:	J.W. COLE FINANCIAL, INC.
Termination Type:	Discharged
Termination Date:	06/26/2008
Allegations:	VIOLATION OF FIRM POLICY FAILURE TO DISCLOSE AN OUTSIDE BUSINESS ACTIVITY OR OTHERWISE CONCEALING THE ACTIVITY FROM THE FIRM
Product Type:	Other
Other Product Types:	STATE OF FLORIDA DID NOT FURNISH J.W. COLE WITH DETAILS OF THEIR INVESTIGATION



End of Report

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