



IAPD Report

BRYAN CRAIG WISDA

CRD# 4347213

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

BRYAN CRAIG WISDA (CRD# 4347213)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/26/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	ALMEGA WEALTH MANAGEMENT	CRD# 316840	10/06/2021

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **7** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SOUTHPORT CAPITAL	113364	Scottsdale, AZ	08/09/2021 - 04/05/2022
IA	SOUTHPORT CAPITAL	113364	LOS ANGELES, CA	04/07/2015 - 03/06/2019
IA	BRYAN WISDA, CFP	164269	CAREFREE, AZ	06/26/2012 - 12/31/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **7** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **ALMEGA WEALTH MANAGEMENT**
Main Address: 8350 E. RAIN TREE DRIVE, SUITE 215
SCOTTSDALE, AZ 85260
Firm ID#: 316840

Regulator	Registration	Status	Date
IA Arizona	Investment Adviser Representative	Approved	10/06/2021
IA Florida	Investment Adviser Representative	Approved	02/16/2023
IA Indiana	Investment Adviser Representative	Approved	04/28/2025
IA Kentucky	Investment Adviser Representative	Approved	11/30/2021
IA North Carolina	Investment Adviser Representative	Approved	10/07/2021
IA Texas	Investment Adviser Representative	Restricted Approval	04/11/2022
IA Virginia	Investment Adviser Representative	Approved	08/29/2022

Branch Office Locations

ALMEGA WEALTH MANAGEMENT
8350 E. RAIN TREE DRIVE, SUITE 215
SCOTTSDALE, AZ 85260

ALMEGA WEALTH MANAGEMENT
112 Mill Street
Greenville, KY 42345

ALMEGA WEALTH MANAGEMENT
129 Moore Ave
Mount Airy, NC 27030



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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B General Securities Representative Examination (S7)	Series 7	11/27/2001
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B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	02/05/2001
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State Securities Law Exams

Exam	Category	Date
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IA B Uniform Combined State Law Examination (S66)	Series 66	12/13/2001
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B Uniform Securities Agent State Law Examination (S63)	Series 63	02/07/2001
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/09/2021 - 04/05/2022	SOUTHPORT CAPITAL	CRD# 113364	Scottsdale, AZ
IA	04/07/2015 - 03/06/2019	SOUTHPORT CAPITAL	CRD# 113364	LOS ANGELES, CA
IA	06/26/2012 - 12/31/2017	BRYAN WISDA, CFP	CRD# 164269	CAREFREE, AZ
IA	06/26/2012 - 01/07/2016	SUMMIT WEALTH MANAGEMENT	CRD# 164269	CAREFREE, AZ
IA	05/07/2007 - 12/31/2012	SUMMIT WEALTH MANAGEMENT, INC	CRD# 105714	ATLANTA, GA
B	11/28/2001 - 03/21/2007	UBS FINANCIAL SERVICES INC.	CRD# 8174	SCOTTSDALE, AZ
B	02/07/2001 - 10/30/2001	FARMERS FINANCIAL SOLUTIONS, LLC	CRD# 103863	WESTLAKE VILLAGE, C

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2021 - Present	Almega Wealth Management	President	Y	Scottsdale, AZ, United States
08/2021 - 12/2021	SOUTHPORT CAPITAL	Regional Director	Y	CHATTANOOGA, TN, United States
04/2015 - 08/2021	Self Employed	Insurance Agent	Y	Scottsdale, AZ, United States
09/2014 - 08/2021	Maricopa County Sheriff's Office	Deputy Sheriff	N	Maricopa, AZ, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Owner and Managing Member of the record label Zezz Music Limited, LLC. which produces sleep music for children with ADHD under the ADHD Lullaby brand. Zezz Music Ltd. is located at [REDACTED], Scottsdale, AZ 85255. Approximately 4-8 hours a



Registration & Employment History



OTHER BUSINESS ACTIVITIES

month spent on this business not during trading hours. Business activities include recording music, marketing, and music distribution. Not investment related. President of Wisda Family Management Inc., not investment related, 1-2 hours per month not during trading hours. Business activities include managing family assets. Trustee of the Bryan & Sarah Wisda Family Trust, not investment related, 1 hour per month not during trading hours. Regional Board Member for National Association of Personal Financial Advisors, not investment related, 2-3 hours per month during trading hours.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Termination	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Individual
Regulatory Action Initiated By:	State of Indiana
Sanction(s) Sought:	Civil and Administrative Penalty(ies)/Fine(s)
Date Initiated:	04/29/2025
Docket/Case Number:	Cause No. 25-0002 CA
Employing firm when activity occurred which led to the regulatory action:	Almega Wealth Management LLC
Product Type:	No Product
Allegations:	Almega Wealth Management and Bryan Wisda accepted a 6th client in the State of Indiana without first registering in the state, a violation of the state's De Minimis rule.
Current Status:	Final
Resolution:	Consent
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	Yes
Resolution Date:	05/02/2025
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s)



Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$4,000.00

Portion Levied against individual: \$0.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual: 05/26/2025

Was any portion of penalty waived? No

Amount Waived:

Broker Statement

Most states do not require a Registered Investment Advisor (RIA) or Investment Advisor Representative (IAR) to register with the state securities division if the RIA or IAR serves five (5) or fewer clients who reside in the state. This is referred to as the De Minimis Rule. In 2024, Almega Wealth Management and Bryan Wisda accepted a 6th client in Indiana before registering in the state. When discovered, Almega Wealth Management and Mr. Wisda self-reported the error to the state's regulators. Subsequently, Almega Wealth Management and Mr. Wisda were required to sign a Consent Decree and pay a \$4,000 fine to the State of Indiana. This was an administrative regulatory error only.



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Individual
Firm Name:	MORRISON'S
Termination Type:	Voluntary Resignation
Termination Date:	05/31/1995
Allegations:	I WAS ACCUSED OF STEALING BEER FROM THE RESTAURANT.
Product Type:	Other
Other Product Types:	BEER
Broker Statement	AT THE TIME I WAS 17 YEARS OLD, A COWORKER AND I STOLE THE BEER FOR WHICH I TOOK ALL OF THE BLAME TO SAVE MY CO WORKERS JOB. I HAD ALREADY SECURED FUTURE EMPLOYMENT AND HENCE VOLUNTARILY RESIGNED FROM MY POSITION.



End of Report

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