



IAPD Report

JOHN JOSEPH DEMARCO

CRD# 4374829

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JOHN JOSEPH DEMARCO (CRD# 4374829)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/05/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CETERA WEALTH SERVICES, LLC	CRD# 13572	09/05/2025
IA	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	09/05/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	AVANTAX ADVISORY SERVICES	104556	Orland Park, IL	11/02/2015 - 09/05/2025
B	AVANTAX INVESTMENT SERVICES, INC.	13686	Orland Park, IL	10/28/2015 - 09/05/2025
IA	PRIMERICA ADVISORS	10111	FRANKFORT, IL	07/29/2011 - 10/21/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Judgment/Lien	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**
Main Address: 2301 ROSECRANS AVE #5100
EL SEGUNDO, CA 90245
Firm ID#: 13572

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	09/05/2025
B	FINRA	Invest. Co and Variable Contracts	Approved	09/05/2025
B	Alabama	Agent	Approved	10/15/2025
B	Arizona	Agent	Approved	09/05/2025
B	Florida	Agent	Approved	09/05/2025
B	Illinois	Agent	Approved	09/05/2025
B	Indiana	Agent	Approved	09/05/2025
B	Minnesota	Agent	Approved	09/05/2025
B	North Carolina	Agent	Approved	09/05/2025
B	Ohio	Agent	Approved	09/05/2025
B	South Carolina	Agent	Approved	09/05/2025
B	Tennessee	Agent	Approved	09/05/2025
B	Washington	Agent	Approved	09/05/2025



Qualifications

Regulator	Registration	Status	Date
B Wisconsin	Agent	Approved	09/05/2025

Branch Office Locations

CETERA ADVISOR NETWORKS LLC
 1143 Essington Rd
 Joliet, IL 60435

CETERA ADVISOR NETWORKS LLC
 15255 S. 94th Avenue
 Suite 200
 Orland Park, IL 60462

Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**
 Main Address: 1450 AMERICAN LANE
 6TH FLOOR, SUITE 650
 SCHAUMBURG, IL 60173-2096
 Firm ID#: 105644

Regulator	Registration	Status	Date
IA Illinois	Investment Adviser Representative	Approved	09/05/2025

Branch Office Locations

CETERA INVESTMENT ADVISERS LLC
 15255 S. 94TH AVE
 SUITE 200
 ORLAND PARK, IL 60462

CETERA INVESTMENT ADVISERS LLC
 1143 ESSINGTON RD
 JOLIET, IL 60435



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	07/08/2004

General Industry/Product Exams

Exam	Category	Date
General Securities Representative Examination (S7TO)	Series 7TO	05/17/2024
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/19/2001

State Securities Law Exams

Exam	Category	Date
Uniform Investment Adviser Law Examination (S65)	Series 65	04/13/2011
Uniform Securities Agent State Law Examination (S63)	Series 63	05/19/2001

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/02/2015 - 09/05/2025	AVANTAX ADVISORY SERVICES	CRD# 104556	Orland Park, IL
B	10/28/2015 - 09/05/2025	AVANTAX INVESTMENT SERVICES, INC.	CRD# 13686	Orland Park, IL
IA	07/29/2011 - 10/21/2015	PRIMERICA ADVISORS	CRD# 10111	FRANKFORT, IL
B	05/21/2001 - 10/21/2015	PFS INVESTMENTS INC.	CRD# 10111	FRANKFORT, IL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2026 - Present	Strategize Home Solutions LLC	OWNER	N	Joliet, IL, United States
09/2025 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
09/2025 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	Joliet, IL, United States
05/2022 - Present	Strategize Tax Services LLC	Treasurer/Administrati on	N	ORLAND PARK, IL, United States
09/2015 - 09/2025	AVANTAX ADVISORY SERVICES	INVESTMENT ADVISER REPRESENTATIVE	Y	ORLAND PARK, IL, United States
09/2015 - 09/2025	AVANTAX INSURANCE AGENCY, LLC	INSURANCE AGENT	Y	ORLAND PARK, IL, United States
09/2015 - 09/2025	AVANTAX INVESTMENT SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	ORLAND PARK, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) John and Sheri DeMarco~17638 Gilbert Dr.~Lockport~IL~60441~Rental Property~Owner~1/1/2019~2hrs~0hrs~The duties will



Registration & Employment History



OTHER BUSINESS ACTIVITIES

be collecting rent and handling any challenges that may arise with the tenants.

2) THE CRUISIN' TIGERS GTO CLUB, INC.

POSITION: Treasurer NATURE: The Cruisin' Tigers GTO Club, Inc. is organized as a 501(c) 7 entity. They are a membership club for Pontiac make automobiles and hold a annual car show that is attended by individual car owners and spectators from around the world. INVESTMENT RELATED: No NUMBER OF HOURS: 6 SECURITIES TRADING HOURS: 0 START DATE: 10/15/2020 ADDRESS: P.O. Box 695, Westmont IL 60552, DESCRIPTION: Balance the checkbook. Pay invoices for expenses. Deposit membership dues and charitable contributions. Create reports on QuickBooks for tax preparation.

3) STRATEGIZE TAX SERVICES LLC

POSITION: Treasurer/Administration NATURE: The company prepares tax returns for the public and assists them in filing their returns for a fee INVESTMENT RELATED: No NUMBER OF HOURS: 40 SECURITIES TRADING HOURS: 0 START DATE: 05/13/2022

ADDRESS: 10431 LOUETTA LN, ORLAND PARK IL 60467, United States

DESCRIPTION: I am responsible for the business activities of the tax service company. I am responsible for paying monthly expenses, maintaining the checkbook, and producing reports for the company tax return as well as profitability and expenses.

4) STRATEGIZE TAXES & INVESTMENTS

POSITION: DBA NATURE: DBA INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 01/02/2023

ADDRESS: 9538 W 147TH STREET, Orland Park IL 60462, United States

DESCRIPTION: DBA

5) Operational and/or Marketing Name; Operational and/or Marketing Name; NIR; 11/01/2015;Operational and/or Marketing Name for Avantax Services

6) Strategize Wealth Management Inc.; Trustee; Partner; NIR; ; 04/01/2018; 10431 Louetta Lane Orland Park IL 60467;

7) The Cruisin' Tigers GTO Club, Inc.; Treasurer; The Cruisin' Tigers GTO Club, Inc. is organized as a 501(c) 7 entity. They are a membership club for Pontiac make automobiles and hold a annual car show that is attended by individual car owners and spectators from around the world.; NIR; 0; 10/15/2020; P.O. Box 695 Westmont IL 60552; Balance the checkbook. Pay invoices for expenses. Deposit membership dues and charitable contributions. Create reports on QuickBooks for tax preparation.

8) NAME OF OTHER BUSINESS: STRATEGIZE HOME SOLUTIONS LLC;

INVESTMENT RELATED: NO;

ADDRESS: SAME AS REGISTERED LOCATION;

NATURE OF BUSINESS: REFERRAL MARKETING;

START DATE: 1/2026;

POSITION/TITLE/RELATIONSHIP: OWNER;

APX NUMBER OF HOURS PER WEEK: 2;

APX NUMBER OF HOURS DURING TRADING HOURS: 0;

BRIEF DESCRIPTION OF DUTIES: OWNER AND REFERRAL MARKETING FOR MORTGAGES;



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Judgment/Lien	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	CIRCUIT COURT OF COOK COUNTY, ILLONIOS NO. 90500306101
Charge Date:	05/05/1990
Charge Details:	1-ONE COUNT OF POSSESSION OF A CONTROLLED SUBSTANCE 2-FELONY 3-GUILTY WITH A PLEA OF NOT GUILTY AND ON 9/25/90 THE CHARGES WERE DISMISSED
Felony?	Yes
Current Status:	Final
Status Date:	03/04/1992
Disposition Details:	A-DISMISSED B-3/4/92 C-STATES ATTORNEY PROGRAM FOR THE PREVENTION OF DRUG ABUSE D-EIGHT CLASSES MEETING BI-MONTHLY
Broker Statement	I WAS CHARGED WITH POSSESSION OF A CONTROLLED SUBSTANCE; I MET ALL COURT REQUIRMENTS AND THE CHARGES WERE DISMISSED.



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source:	Individual
Judgment/Lien Holder:	CREDITORS DISCOUNT AND AUDIT
Judgment/Lien Amount:	\$3,286.00
Judgment/Lien Type:	Civil
Date Filed:	02/05/2009
Type of Court:	State Court
Name of Court:	MAGISTRATE COURT
Location of Court:	WILL COUNTY, ILLINOIS
Docket/Case #:	08SC10440
Judgment/Lien Outstanding?	Yes
Broker Statement	ATTEMPTING TO RESOLVE ISSUE FOR PAST HOSPITAL EXPENSES.



End of Report

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