



IAPD Report

Douglas Lee Holtmeier

CRD# 4406390

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 5
Registration and Employment History	6 - 7
Disclosure Information	8



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Douglas Lee Holtmeier (CRD# 4406390)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/10/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	FBL WEALTH MANAGEMENT, LLC	CRD# 291396	01/29/2021
B	FBL MARKETING SERVICES, LLC	CRD# 5309	02/02/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **18** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LPL FINANCIAL LLC	6413	KEARNEY, NE	03/15/2011 - 01/29/2021
IA	LPL FINANCIAL LLC	6413	KEARNEY, NE	03/15/2011 - 01/29/2021
IA	AMERICAN PORTFOLIOS ADVISORS, INC	112697	HOLBROOK, NY	06/01/2010 - 02/22/2011

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **18** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **FBL WEALTH MANAGEMENT, LLC**

Main Address: 5400 UNIVERSITY AVENUE
WEST DES MOINES, IA 50266

Firm ID#: 291396

	Regulator	Registration	Status	Date
IA	Alaska	Investment Adviser Representative	Approved	11/15/2022
IA	Arizona	Investment Adviser Representative	Approved	08/29/2022
IA	Arkansas	Investment Adviser Representative	Approved	02/02/2021
IA	Colorado	Investment Adviser Representative	Approved	02/09/2021
IA	Georgia	Investment Adviser Representative	Approved	02/10/2021
IA	Iowa	Investment Adviser Representative	Approved	05/28/2021
IA	Kansas	Investment Adviser Representative	Approved	02/02/2021
IA	Maryland	Investment Adviser Representative	Approved	11/18/2025
IA	Minnesota	Investment Adviser Representative	Approved	11/10/2025
IA	Missouri	Investment Adviser Representative	Approved	05/16/2024
IA	Nebraska	Investment Adviser Representative	Approved	01/29/2021
IA	North Carolina	Investment Adviser Representative	Approved	06/07/2024
IA	Ohio	Investment Adviser Representative	Approved	06/07/2021



Qualifications

	Regulator	Registration	Status	Date
IA	South Carolina	Investment Adviser Representative	Approved	02/01/2021
IA	South Dakota	Investment Adviser Representative	Approved	05/06/2022
IA	Texas	Investment Adviser Representative	Restricted Approval	03/25/2022
IA	Utah	Investment Adviser Representative	Approved	01/03/2024

Branch Office Locations

FBL WEALTH MANAGEMENT, LLC

5400 University Ave
West Des Moines, IA 50266

Employment 2 of 2

Firm Name: **FBL MARKETING SERVICES, LLC**
Main Address: 5400 UNIVERSITY AVENUE
WEST DES MOINES, IA 50266
Firm ID#: 5309

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	02/02/2021
B	Alaska	Agent	Approved	11/15/2022
B	Arizona	Agent	Approved	08/29/2022
B	Arkansas	Agent	Approved	02/02/2021
B	Colorado	Agent	Approved	02/02/2021
B	Florida	Agent	Approved	02/02/2021
B	Georgia	Agent	Approved	02/02/2021
B	Iowa	Agent	Approved	05/28/2021



Qualifications

	Regulator	Registration	Status	Date
B	Kansas	Agent	Approved	02/02/2021
B	Maryland	Agent	Approved	11/13/2025
B	Minnesota	Agent	Approved	11/12/2025
B	Missouri	Agent	Approved	05/16/2024
B	Nebraska	Agent	Approved	02/02/2021
B	North Carolina	Agent	Approved	06/12/2024
B	Ohio	Agent	Approved	06/07/2021
B	South Carolina	Agent	Approved	02/02/2021
B	South Dakota	Agent	Approved	05/06/2022
B	Texas	Agent	Approved	03/23/2022
B	Utah	Agent	Approved	01/03/2024

Branch Office Locations

416 W 48th St
Ste 33
Kearney, NE 68845



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	08/27/2001

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	09/13/2001



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	03/15/2011 - 01/29/2021	LPL FINANCIAL LLC	CRD# 6413	KEARNEY, NE
IA	03/15/2011 - 01/29/2021	LPL FINANCIAL LLC	CRD# 6413	KEARNEY, NE
IA	06/01/2010 - 02/22/2011	AMERICAN PORTFOLIOS ADVISORS, INC	CRD# 112697	HOLBROOK, NY
B	05/03/2010 - 02/16/2011	AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.	CRD# 18487	KEARNEY, NE
B	10/31/2005 - 05/05/2010	SAGEPOINT FINANCIAL, INC.	CRD# 133763	KEARNEY, NE
IA	10/31/2005 - 05/05/2010	SAGEPOINT FINANCIAL, INC.	CRD# 133763	KEARNEY, NE
IA	02/12/2004 - 10/31/2005	SUNAMERICA SECURITIES, INC.	CRD# 20068	KEARNEY, NE
B	10/10/2003 - 10/31/2005	SUNAMERICA SECURITIES, INC.	CRD# 20068	PHOENIX, AZ
IA	10/03/2001 - 10/20/2003	U.S. BANCORP PIPER JAFFRAY INC.	CRD# 665	TOPEKA, KS
B	08/28/2001 - 10/20/2003	U.S. BANCORP PIPER JAFFRAY INC.	CRD# 665	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2021 - Present	FBL Financial Group	Wealth Management Advisor	Y	West Des Moines, IA, United States
01/2021 - Present	FBL Marketing Services	Registered Rep	Y	West Des Moines, IA, United States
03/2011 - 01/2021	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	KEARNEY, NE, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) WYNK INC DBA WYNK BOUTIQUE; Not investment related; Women's clothing boutique; President; Compensated; 10-2008; 5 hrs/mo; 0 hrs during trading; Financial - Bill paying, reports.
- 2) FBL WEALTH MANAGEMENT, LLC; INVESTMENT RELATED; 5400 UNIVERSITY AVE, WEST DES MOINES IA 50266; INVESTMENT ADVISORY AND FINANCIAL PLANNING; INVESTMENT ADVISER REPRESENTATIVE; DATE OF FBLWM RELEASE LETTER, UNTIL FURTHER NOTICE; Varied HOURS PER MONTH; Varied HOURS DEVOTED PER MONTH DURING TRADING HOURS; PROVIDE INVESTMENT ADVISORY AND FINANCIAL PLANNING SERVICES TO CUSTOMERS; FEE BASED, HOURLY RATE.
- 3) FARM BUREAU LIFE AND AFFILIATES; NOT INVESTMENT RELATED; 5400 UNIVERSITY AVE, WDM IA; SELLING INSURANCE; 01-11-2021
- 4) Independent Contract Basketball and Football Official at the High School and Middle School Level; Not Investment Related; Various Cities in Nebraska, Various Addresses, Various Cities, NE, Various, United States; None; Official; Start 1/1/2010; 12 hours per month; 0 hours per month during trading hours; Other Compensation; Basketball and Football officials pay varies from city to city and level of competition.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	County
Name of Court:	Buffalo County - Nebraska
Location of Court:	Buffalo Nebraska
Docket/Case #:	CR17683
Charge Date:	04/12/2017
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Driving under the influence of Alcohol, initial charge felony, final disposition was a misdemeanor.
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	Guilty
Disposition of charge:	Reduced
Date of Amended Charge:	05/01/2017
Charge was Amended or reduced to:	Misdemeanor.
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	guilty
Disposition of Amended Charge:	Convicted
Current Status:	Final



Status Date:	05/01/2017
Disposition Date:	05/01/2017
Sentence/Penalty:	18 months probation started May 2017. Ended December 2018. Monetary penalty of \$1,690.00 paid penalty 5/1/2017.
Broker Statement	Paid all fines and fees at sentencing completed all probation requirements. Released from probation early by about 6 months.



End of Report

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