



IAPD Report

Jonathan Paul Krueger

CRD# 4416059

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Jonathan Paul Krueger (CRD# 4416059)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/18/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	LARSON FINANCIAL GROUP, LLC	CRD# 140599	07/26/2021
B	LARSON FINANCIAL SECURITIES, LLC	CRD# 152517	11/19/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LION STREET ADVISORS, LLC	167610	St Louis, MO	10/02/2018 - 07/01/2021
IA	ACCELERATED WEALTH ADVISORS LLC	170022	CHESTERFIELD, MO	09/30/2014 - 09/28/2018
B	PFS INVESTMENTS INC.	10111	COLORADO SPRINGS, CO	11/18/2002 - 06/05/2009

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 11 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **LARSON FINANCIAL SECURITIES, LLC**
Main Address: 100 N BROADWAY
SUITE 1700
SAINT LOUIS, MO 63102
Firm ID#: 152517

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	11/19/2021
B Alaska	Agent	Approved	10/19/2022
B Arizona	Agent	Approved	10/19/2022
B California	Agent	Approved	09/25/2024
B Colorado	Agent	Approved	09/18/2024
B Georgia	Agent	Approved	08/18/2025
B Idaho	Agent	Approved	11/14/2022
B Missouri	Agent	Approved	12/17/2021
B Oregon	Agent	Approved	06/17/2024
B Texas	Agent	Approved	08/16/2022
B Utah	Agent	Approved	02/19/2026
B Washington	Agent	Approved	04/29/2022

Branch Office Locations



Qualifications

Colorado Springs, CO

Employment 2 of 2

Firm Name: **LARSON FINANCIAL GROUP, LLC**

Main Address: 100 N BROADWAY
SUITE 1700
SAINT LOUIS, MO 63102

Firm ID#: 140599

	Regulator	Registration	Status	Date
IA	Colorado	Investment Adviser Representative	Approved	09/18/2024
IA	Missouri	Investment Adviser Representative	Approved	07/26/2021
IA	Texas	Investment Adviser Representative	Restricted Approval	07/26/2021
IA	Utah	Investment Adviser Representative	Approved	03/23/2023

Branch Office Locations

LARSON FINANCIAL GROUP, LLC

Colorado Springs, CO




Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	03/04/2006

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	11/19/2021
 General Securities Representative Examination (S7TO)	Series 7TO	09/17/2021
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	11/15/2002

State Securities Law Exams

Exam	Category	Date
 Uniform Securities Agent State Law Examination (S63)	Series 63	12/17/2021
 Uniform Investment Adviser Law Examination (S65)	Series 65	09/08/2014

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/02/2018 - 07/01/2021	LION STREET ADVISORS, LLC	CRD# 167610	St Louis, MO
IA	09/30/2014 - 09/28/2018	ACCELERATED WEALTH ADVISORS LLC	CRD# 170022	CHESTERFIELD, MO
B	11/18/2002 - 06/05/2009	PFS INVESTMENTS INC.	CRD# 10111	COLORADO SPRINGS, I

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2023 - Present	KRUEGER OZ FUND, LLC	Managing Member	Y	Weldon Spring, MO, United States
12/2022 - Present	KRUEGER EZ LIFE, LLC	Managing Member	N	Weldon Spring, MO, United States
07/2021 - Present	LARSON FINANCIAL GROUP, LLC	Investment Advisor Representative	Y	Chesterfield, MO, United States
07/2020 - Present	Rocky Mountain Leadership Group, LLC	Managing Member	N	Chesterfield, MO, United States
07/2014 - Present	LionsGate Advisors	CEO, Insurance Agent	N	Chesterfield, MO, United States
10/2018 - 07/2021	LION STREET ADVISORS	INVESTMENT ADVISOR REPRESENTATIVE	Y	ST LOUIS, MO, United States
10/2011 - 05/2020	ROCKY MOUNTAIN LEADERSHIP GROUP INC	PRESIDENT	N	COLORADO SPRINGS, CO, United States
09/2014 - 09/2018	ACCELERATED WEALTH ADVISORS, LLC	EXECUTIVE DIRECTOR/INVESTMENT ADVISOR REPRESENTATIVE	Y	COLORAD SPRINGS, CO, United States
01/2013 - 09/2018	ACCELERATED WEALTH, LLC	WEALTH STRATEGIST	N	COLORADO SPRINGS, CO, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) Rocky Mountain Leadership Group, LLC (Managing Member), a single member disregarded entity. (100 Hours/ Year) = Approximately 2 hours a week = 8.33 Hours/Month

2) Krueger EZ Life, LLC (Managing Member), December 2022-Present (100 Hours/ Year) = Approximately 2 hours a week = 8.33 Hours/Month



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	LION STREET ADVISORS, LLC
Allegations:	From October 2019 through January 2023, Customer alleges inappropriate investment selection in an investment advisory account.
Product Type:	Other: Investment Advisory Services
Alleged Damages:	\$217,316.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	11/06/2024
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	12/10/2025
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	On November 18, 2024, Lion Street notified Larson that they had received a



demand letter from an attorney making allegations of unsuitable investment recommendations. Mr. Krueger provided all information in my possession related to the matter which Lion Street had requested. Mr. Krueger followed up with Lion Street on multiple occasions and was last informed, after they responded to the attorney that they received no further demands or actions requiring a response.

Disclosure 2 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: Accelerated Wealth, Lion Street Advisors, L&A&G Strategies Inc., Larson Financial Group, LLC and Larson Financial Securities, LLC

Allegations: From 2016 to 2023, the Customers implemented various insurance policies and invested in a limited partnership pursuant to the Registered Representative's advice. Customer alleges negligence, unsuitability and breach of fiduciary duty.

Product Type: Direct Investment-DPP & LP Interests
Insurance

Alleged Damages: \$7,374,258.61

Civil Litigation Information

Type of Court: State Court

Name of Court: THE THIRTEENTH JUDICIAL CIRCUIT COURT OF MISSOURI

Location of Court: BOONE COUNTY

Docket/Case #: 23BA-CV04951

Date Notice/Process Served: 12/27/2023

Litigation Pending? No

Disposition: Settled

Disposition Date: 11/12/2025

Monetary Compensation Amount: \$300,000.00

Individual Contribution Amount: \$50,000.00

Broker Statement Mr. Krueger presented documents indicating that that the policies and investments at issue (all placed prior to his association with the firm) were suitable based on the client's disclosed income, asset composition, net worth, risk tolerance, time horizon and other characteristics. On November 12, 2025, Krueger mediated with the plaintiff and two other remaining Defendants. While we maintain that the recommendations made appear top have been appropriate and suitable, and all material characteristics of the products and investment were made to the client, in order to reduce the ongoing costs, uncertainty, and inconvenience of further litigation, the parties settled the claim.

Disclosure 3 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: PFS INVESTMENTS INC.



Allegations: CUSTOMER ALLEGES THAT THE REPRESENTATIVE HAS MISREPRESENTED THE METLIFE ANNUITY CONTRACT SHE PURCHASED THE CONTRACT ON 07/28/2007. SHE HAS REQUESTED WAIVER OF SURRENDER CHARGES.

Product Type: Annuity-Variable

Alleged Damages: \$5,000.00

Alleged Damages Amount Explanation (if amount not exact): THE FIRM'S GOOD FAITH ESTIMATE OF ALLEGED COMPENSATORY DAMAGES POTENTIALLY EXCEEDS \$5,000.00.

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 06/09/2010

Complaint Pending? No

Status: Settled

Status Date: 07/29/2010

Settlement Amount: \$12,189.14

Individual Contribution Amount: \$0.00

Firm Statement THE FIRM SETTLED WITH THE CUSTOMER.



End of Report

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