



IAPD Report

JOSEPH MARTIN LATOUR

CRD# 4436861

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JOSEPH MARTIN LATOUR (CRD# 4436861)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/08/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	LATOUR ASSET MANAGEMENT LLC	CRD# 172461	09/10/2014

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **4** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	CENTER STREET SECURITIES, INC.	26898	SPRINGFIELD, MO	10/10/2012 - 12/01/2023
IA	BROOKSTONE CAPITAL MANAGEMENT LLC	141413	SPRINGFIELD, MO	05/23/2011 - 08/19/2014
B	MUTUAL OF OMAHA INVESTOR SERVICES, INC.	611	OMAHA, NE	11/07/2001 - 12/16/2003

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	4







Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **4** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **LATOUR ASSET MANAGEMENT LLC**
Main Address: 2627 W. REPUBLIC ROAD
SUITE A 112
SPRINGFIELD, MO 65807
Firm ID#: 172461

	Regulator	Registration	Status	Date
	Alaska	Investment Adviser Representative	Approved	04/27/2022
	Arkansas	Investment Adviser Representative	Approved	08/26/2015
	Missouri	Investment Adviser Representative	Approved	09/10/2014
	Texas	Investment Adviser Representative	Restricted Approval	11/09/2022

Branch Office Locations

LATOUR ASSET MANAGEMENT LLC
2627 W. REPUBLIC ROAD
SUITE A 112
SPRINGFIELD, MO 65807

LATOUR ASSET MANAGEMENT LLC
1107 Lyle St.
Ava, MO 65608



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
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No information reported.


General Industry/Product Exams

Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	10/09/2012
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	11/06/2001

State Securities Law Exams

Exam	Category	Date
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 Uniform Securities Agent State Law Examination (S63)	Series 63	10/30/2012
 Uniform Investment Adviser Law Examination (S65)	Series 65	03/23/2011

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/10/2012 - 12/01/2023	CENTER STREET SECURITIES, INC.	CRD# 26898	SPRINGFIELD, MO
IA	05/23/2011 - 08/19/2014	BROOKSTONE CAPITAL MANAGEMENT LLC	CRD# 141413	SPRINGFIELD, MO
B	11/07/2001 - 12/16/2003	MUTUAL OF OMAHA INVESTOR SERVICES, INC.	CRD# 611	OMAHA, NE

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2025 - Present	LaTour Holdco 1, Inc.	President	N	Springfield, MO, United States
10/2025 - Present	LaTour Holdco 2, Inc.	President	N	Springfield, MO, United States
10/2025 - Present	LaTour Intermediate, LLC	Member	N	Springfield, MO, United States
10/2025 - Present	Meraki Intermediate Holdings, LLC	Member	N	Scottsdale, AZ, United States
07/2014 - Present	LATOUR ASSET MANAGEMENT, LLC	MANAGING MEMBER/INVESTMENT ADVISOR REPRESENTATIVE	Y	SPRINGFIELD, MO, United States
01/2014 - Present	LATOUR PROPERTIES LLC	MANAGING MEMBER	N	SPRINGFIELD, MO, United States
01/2000 - Present	LATOUR ADVISORY GROUP, INC.	PRESIDENT/INSURANCE AGENT	Y	SPRINGFIELD, MO, United States
10/2012 - 12/2023	CENTER STREET SECURITIES, INC.	REGISTERED REPRESENTATIVE	Y	NASHVILLE, TN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. LATOUR PROPERTIES LLC; NON-INVESTMENT RELATED; SPRINGFIELD, MO; PERSONAL PROPERTY MANAGEMENT BUSINESS; MANAGING MEMBER; JANUARY 2014.
2. LATOUR ADVISORY GROUP, INC.; INVESTMENT RELATED; SPRINGFIELD, MO; INSURANCE PRODUCTS SALES AND



Registration & Employment History



OTHER BUSINESS ACTIVITIES

SERVICES; PRESIDENT/INSURANCE AGENT; JANUARY 2000.

3. Bowens Mill Christian Center, BOARD MEMBER/TREASURER OF THE BOARD, no securities sales, 1 hour per month during trading hours; start date 4/1/2022.

4. LaHoldco 1, Inc., LaTour Holdco 2, Inc.; LaTour Intermediate, LLC and Meraki Intermediate Holdings, LLC are all holding companies, no business is offered through these entities and no time is spent on them. 0 hours per month.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	4

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 2

Reporting Source:	Individual
Regulatory Action Initiated By:	STATE OF MISSOURI DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION
Sanction(s) Sought:	Other: VOLUNTARY FORFEITURE AGREEMENT
Date Initiated:	07/08/2010
Docket/Case Number:	104540
Employing firm when activity occurred which led to the regulatory action:	LATOUR ADVISORY GROUP
Product Type:	Annuity-Fixed
Allegations:	UNAPPROVED ADVERTISING
Current Status:	Final
Resolution:	VOLUNTARY FORFEITURE
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	11/08/2010
Sanctions Ordered:	Other: FINE \$4000



Disclosure 2 of 2

Reporting Source:	Individual
Regulatory Action Initiated By:	STATE OF MISSOURI DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION
Sanction(s) Sought:	Other: VOLUNTARY FOREITURE AGREEMENT
Date Initiated:	06/20/2009
Docket/Case Number:	09A000235
Employing firm when activity occurred which led to the regulatory action:	LATOUR ADVISORY GROUP
Product Type:	Annuity-Fixed
Allegations:	UNAPPROVED ADVERTISING
Current Status:	Final
Resolution:	FORFEITURE AGREEMENT
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	07/21/2009
Sanctions Ordered:	Other: \$2,000 FINE



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 4

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	CENTER STREET SECURITIES, INC.
Allegations:	Client alleges the registered representative recommended an unsuitable investment.
Product Type:	Other: Alternatives
Alleged Damages:	\$100,000.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA
Docket/Case #:	23-01830
Date Notice/Process Served:	07/06/2023
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	09/09/2024
Monetary Compensation Amount:	\$14,999.00
Individual Contribution Amount:	\$14,999.00

Disclosure 2 of 4

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	CENTER STREET SECURITIES, INC.
Allegations:	Client alleges registered representative sold an unsuitable investment.
Product Type:	Direct Investment-DPP & LP Interests
Alleged Damages:	\$70,000.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA
Docket/Case #:	22-02745



Date Notice/Process Served: 12/13/2022

Arbitration Pending? No

Disposition: Settled

Disposition Date: 12/19/2023

Monetary Compensation Amount: \$25,000.00

Individual Contribution Amount: \$25,000.00

Disclosure 3 of 4

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: CENTER STREET SECURITIES, INC.

Allegations: Beginning in 2018 the clients allege the registered representative recommended unsuitable investments and transactions.

Product Type: Other: Alternative Products

Alleged Damages: \$500,000.00

Alleged Damages Amount Explanation (if amount not exact): Client statement of Claim requests between \$100,000 and \$500,000.

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA

Docket/Case #: 22-01325

Filing date of arbitration/CFTC reparation or civil litigation: 06/13/2022

Customer Complaint Information

Date Complaint Received: 06/21/2022

Complaint Pending? No

Status: Evolved into Arbitration/CFTC reparation (the individual is a named party)

Status Date: 06/21/2022

Settlement Amount:

Individual Contribution Amount:

Arbitration Information



Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: 22-01325

Date Notice/Process Served: 06/21/2022

Arbitration Pending? No

Disposition: Settled

Disposition Date: 10/05/2023

Monetary Compensation Amount: \$23,000.00

Individual Contribution Amount: \$0.00

Disclosure 4 of 4

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: CENTER STREET SECURITIES, INC.

Allegations: unsuitable and illiquid

Product Type: Other: GWG

Alleged Damages: \$500,000.00

Alleged Damages Amount Explanation (if amount not exact): between 100000 to 500000

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): finra

Docket/Case #: 22-00655

Date Notice/Process Served: 03/25/2022

Arbitration Pending? No

Disposition: Settled

Disposition Date: 08/31/2023

Monetary Compensation Amount: \$50,000.00

Individual Contribution Amount: \$0.00



End of Report

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