



IAPD Report

Martin Adolfo Montana

CRD# 4454367

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Martin Adolfo Montana (CRD# 4454367)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/07/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	FLORIDA FINANCIAL ADVISORS, LLC	CRD# 288811	09/18/2025
B	TRINITY WEALTH SECURITIES, L.L.C.	CRD# 104348	10/21/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and 1 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	MML INVESTORS SERVICES, LLC	10409	TAMPA, FL	04/11/2024 - 12/26/2024
B	MML INVESTORS SERVICES, LLC	10409	TAMPA, FL	03/20/2024 - 12/26/2024
B	AMERIPRISE FINANCIAL SERVICES, LLC	6363	MINNEAPOLIS, MN	04/30/2023 - 02/29/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **TRINITY WEALTH SECURITIES, L.L.C.**
Main Address: 6550 W HILLSBOROUGH AVENUE
SUITE 130
TAMPA, FL 33634
Firm ID#: 104348

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	10/21/2025
B FINRA	General Securities Representative	Approved	10/21/2025
B Florida	Agent	Approved	10/22/2025

Branch Office Locations

3031 North Rocky Point Drive West
Suite 600
Tampa, FL 33607

Employment 2 of 2

Firm Name: **FLORIDA FINANCIAL ADVISORS, LLC**
Main Address: 6550 W HILLSBOROUGH AVENUE
SUITE 130
TAMPA, FL 33634
Firm ID#: 288811

Regulator	Registration	Status	Date
IA Florida	Investment Adviser Representative	Approved	09/18/2025

Branch Office Locations

FLORIDA FINANCIAL ADVISORS, LLC
3031 North Rocky Point Drive West
Suite 600



Qualifications

Tampa, FL 33607






Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.



Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	09/12/2023
 Registered Options Principal Examination (S4)	Series 4	10/03/2006
 Municipal Fund Securities Principal Examination (S51)	Series 51	01/30/2004

General Industry/Product Exams

Exam	Category	Date
 General Securities Representative Examination (S7TO)	Series 7TO	04/29/2023
 Securities Industry Essentials Examination (SIE)	SIE	03/13/2023
 General Securities Representative Examination (S7)	Series 7	10/24/2001

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	06/09/2023

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/11/2024 - 12/26/2024	MML INVESTORS SERVICES, LLC	CRD# 10409	TAMPA, FL
B	03/20/2024 - 12/26/2024	MML INVESTORS SERVICES, LLC	CRD# 10409	TAMPA, FL
B	04/30/2023 - 02/29/2024	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	MINNEAPOLIS, MN
B	01/19/2011 - 07/25/2013	MML INVESTORS SERVICES, LLC	CRD# 10409	NEW YORK, NY
B	04/18/2008 - 01/16/2009	NEW ENGLAND SECURITIES	CRD# 615	WALTHAM, MA
IA	06/11/2007 - 03/31/2008	ING FINANCIAL PARTNERS, INC	CRD# 2882	S EASTON, MA
B	06/04/2007 - 03/31/2008	ING FINANCIAL PARTNERS, INC.	CRD# 2882	S EASTON, MA
IA	07/07/2004 - 04/02/2007	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	SAN DIEGO, CA
B	10/25/2001 - 04/02/2007	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	SAN DIEGO, CA
B	10/25/2001 - 07/03/2006	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2025 - Present	Trinity Wealth Securities	Registered Representative	Y	Tampa, FL, United States
09/2025 - Present	Florida Financial Advisors DBA Ignite Financial Advisors	Financial Advisor	Y	Tampa, FL, United States
01/2025 - Present	Revolt Recruiting	Recruiter	N	Tampa, FL, United States
03/2024 - 12/2024	MASSMUTUAL LIFE INSURANCE COMPANY	AGENT	Y	TAMPA, FL, United States
03/2024 - 12/2024	MML INVESTORS SERVICES LLC	REGISTERED REPRESENTATIVE	Y	TAMPA, FL, United States
09/2022 - 02/2024	AMERIPRISE FINANCIAL SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	MINNEAPOLIS, MN, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2014 - 09/2022	SELF EMPLOYED	ACTOR	N	LOS ANGELES, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) Florida Financial Advisors. Investment Related. 3031 North Rocky Point Drive West, Suite 600 Tampa, FL 33607. Nature of Business: RIA. Position: Financial Consultant. Start Date: 09/2025. Hours per Month: 160. Hours per Month During Trading Hours: 160. Investment Related Activities
- 2) Ignite Financial Advisors. Investment Related. 3031 North Rocky Point Drive West, Suite 600 Tampa, FL 33607. Nature of Business: RIA. Position: Financial planning and wealth management marketing entity. Does not provide investment advisory services. Advisory services are offered through Florida Financial Advisors, LLC. Start Date: 09/2025. Hours per Month: 160. Hours per Month During Trading Hours: 160. Marketing activities; no advisory services conducted through this entity.
- 3) Ignite Insurance Agency Services, LLC. Investment Related. 3031 North Rocky Point Drive West, Suite 600 Tampa, FL 33607. Nature of Business: Insurance agency. Licensed insurance agency engaged in the sale of fixed insurance products. Position: Independent Contractor. 09/2025. 10 hours per month during trading hours (approx.). Licensed insurance producer involved in consumer insurance sales.
- 4) Florida Financial Insurance, LLC. Investment Related. 3031 North Rocky Point Drive West, Suite 600 Tampa, FL 33607. Nature of Business: Licensed insurance agency engaged in the sale of insurance products, including fixed and fixed indexed annuities and life insurance. Position: Independent Contractor. 09/2025. 10 hours per month during trading hours (approx.). Licensed insurance producer involved in consumer insurance sales
- o Trinity Wealth Securities, LLC. Investment Related. Tampa, FL 33634. Nature of Business: Securities Sales. Position: Registered Representative. Start Date: 10/2025. Hours per Month: 160. Hours per Month During Trading Hours: 160. Investment Related Activities.
- o Revolt Recruiting DBA EdgePoint Ventures LLC. Not Investment Related. Tampa, FL 33626. Recruiting. 01/2025. Hours per Month: 5 hours. Hours per Month During Trading Hours: 0. trading. Duties: consulting/recruiting.
- o Martin Montana. Not Investment Related. Tampa, FL 33626. Actor/Comedian. 03/2024. Hours per Month: 3 hours. Hours per Month During Trading Hours: 0. trading. Duties: commercial acting, voice-over, stand-up comedy.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	Albemarle General District Court
Location of Court:	Charlottesville, VA
Docket/Case #:	GC99000500
Charge Date:	12/08/1998
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Grand Larceny
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	No Plea
Disposition of charge:	Reduced
Date of Amended Charge:	04/01/1999
Charge was Amended or reduced to:	Destruction of trees
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	Guilty
Disposition of Amended Charge:	Pled guilty
Current Status:	Final



Status Date:	04/01/1999
Disposition Date:	04/01/1999
Sentence/Penalty:	\$500 fine suspended with the completion of 10 hours of community service.
Broker Statement	MYSELF AND FOUR OTHER FRATERNITY PLEDGES WERE TOLD TO CUT DOWN A LARGE TREE AND BRING IT BACK TO THE HOUSE. WHEN THE PROPERTY OWNER'S COMPLAINED, WE ALL TURNED OURSELVES IN AND MET WITH THE PROPERTY OWNER'S BEFORE OUR ACTUAL COURT DATE TO MAKE AMENDS.



End of Report

This page is intentionally left blank.