User Guidance

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IAPD Report

knitwanza drayton

CRD# 4454787

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

knitwanza drayton (CRD# 4454787)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/11/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CITIZENS SECURITIES, INC.	CRD# 39550	02/13/2025
IA	CITIZENS SECURITIES, INC.	CRD# 39550	02/13/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **4** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	NYLIFE SECURITIES LLC	5167	HORSHAM, PA	07/24/2024 - 09/13/2024
B	CHARLES SCHWAB & CO., INC.	5393	Wilmington, DE	01/26/2022 - 03/22/2024
IA	CHARLES SCHWAB & CO., INC.	5393	Wilmington, DE	01/26/2022 - 03/22/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **4** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CITIZENS SECURITIES, INC.**

Main Address: ONE CITIZENS BANK WAY
JCB135
JOHNSTON, RI 02919

Firm ID#: 39550

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	02/13/2025
B	FINRA	General Securities Sales Supervisor	Approved	02/13/2025
B	Florida	Agent	Approved	02/13/2025
IA	Florida	Investment Adviser Representative	Approved	02/14/2025
B	Maryland	Agent	Approved	01/29/2026
IA	Maryland	Investment Adviser Representative	Approved	01/29/2026
B	New Jersey	Agent	Approved	02/13/2025
IA	New Jersey	Investment Adviser Representative	Approved	02/24/2025
B	Pennsylvania	Agent	Approved	02/13/2025
IA	Pennsylvania	Investment Adviser Representative	Approved	02/13/2025

Branch Office Locations

CITIZENS SECURITIES, INC.
1027 ARCH STREET
MS: PA410
PHILADELPHIA, PA 19107

CITIZENS SECURITIES, INC.
1515 MARKET ST
MAILSTOP: 19B-0102
PHILADELPHIA, PA 19102

Qualifications

CITIZENS SECURITIES, INC.
900 SOUTH STREET
MAILSTOP: 19B-0105
PHILADELPHIA, PA 19147

CITIZENS SECURITIES, INC.
1700 WALNUT STREET
MAILSTOP: 19B-0103
PHILADELPHIA, PA 19103

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Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	08/02/2016
B	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	06/04/2016

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	11/14/2001

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	10/15/2001

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	07/24/2024 - 09/13/2024	NYLIFE SECURITIES LLC	CRD# 5167	HORSHAM, PA
B	01/26/2022 - 03/22/2024	CHARLES SCHWAB & CO., INC.	CRD# 5393	Wilmington, DE
IA	01/26/2022 - 03/22/2024	CHARLES SCHWAB & CO., INC.	CRD# 5393	Wilmington, DE
B	02/26/2018 - 03/22/2024	TD AMERITRADE, INC.	CRD# 7870	Wilmington, DE
IA	02/26/2018 - 09/07/2023	TD AMERITRADE INVESTMENT MANAGEMENT, LLC	CRD# 111514	Wilmington, DE
IA	02/27/2018 - 10/14/2022	TD AMERITRADE, INC.	CRD# 7870	Wilmington, DE
IA	01/23/2017 - 02/26/2018	SCOTTRADE INVESTMENT MANAGEMENT	CRD# 169988	SAINT LOUIS, MO
B	05/18/2015 - 02/26/2018	SCOTTRADE, INC.	CRD# 8206	NEWARK, DE
IA	12/18/2009 - 04/23/2015	AMERIVEST INVESTMENT MANAGEMENT, LLC	CRD# 111514	KING OF PRUSSIA, PA
B	12/18/2009 - 04/23/2015	TD AMERITRADE, INC.	CRD# 7870	KING OF PRUSSIA, PA
IA	12/18/2009 - 04/23/2015	TD AMERITRADE, INC.	CRD# 7870	KING OF PRUSSIA, PA
IA	11/18/2008 - 12/24/2009	CHARLES SCHWAB & CO., INC.	CRD# 5393	PHILADELPHIA, PA
B	06/06/2008 - 12/24/2009	CHARLES SCHWAB & CO., INC.	CRD# 5393	PHILADELPHIA, PA
IA	06/06/2008 - 11/17/2008	CHARLES SCHWAB & CO., INC.	CRD# 5393	PHILADELPHIA, PA
IA	03/05/2007 - 04/17/2008	STRATEGIC ADVISERS, INC.	CRD# 104555	KING OF PRUSSIA, PA
B	09/27/2005 - 04/17/2008	FIDELITY BROKERAGE SERVICES LLC	CRD# 7784	KING OF PRUSSIA, PA
B	04/07/2003 - 05/12/2005	WACHOVIA SECURITIES, LLC	CRD# 19616	ST. LOUIS, MO
B	12/14/2001 - 05/08/2002	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	CRD# 6363	MINNEAPOLIS, MN

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	12/14/2001 - 05/08/2002	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2025 - Present	Citizens Securities, Inc.	Wealth Advisor	Y	Philadelphia, PA, United States
10/2024 - 02/2025	Unemployed	Unemployed	N	Philadelphia, PA, United States
07/2024 - 10/2024	NYLIFE Securities LLC	Registered Representative	Y	Horsham, PA, United States
03/2024 - 10/2024	New York Life Insurance Company	Agent	Y	Horsham, PA, United States
12/2022 - 03/2024	CHARLES SCHWAB and CO., INC.	REGISTERED REPRESENTATIVE/INVESTMENT ADVISOR	Y	JENKINTOWN, PA, United States
02/2018 - 12/2022	TD AMERITRADE INVESTMENT MANAGEMENT, LLC	Mass Transfer	Y	OMAHA, NE, United States
02/2018 - 12/2022	TD AMERITRADE, INC.	Mass Transfer	Y	NEWARK, DE, United States
05/2015 - 09/2018	SCOTTRADE	INVESTMENT REPRESENTATIVE	Y	JENKINTOWN, PA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.

End of Report

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