



## IAPD Report

# SALVATORE BONETTI

CRD# 4494241

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### SALVATORE BONETTI (CRD# 4494241)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/22/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	CETERA WEALTH SERVICES, LLC	CRD# 13572	06/30/2025
<b>IA</b>	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	06/30/2025

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and 1 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	INSIGHT FOLIOS	174411	CHARLOTTE, NC	05/20/2021 - 07/01/2025
<b>B</b>	PURSHE KAPLAN STERLING INVESTMENTS	35747	Windham, ME	06/01/2021 - 06/30/2025
<b>IA</b>	MML INVESTORS SERVICES, LLC	10409	FALMOUTH, ME	03/25/2017 - 06/03/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	1
Termination	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**  
Main Address: 2301 ROSECRANS AVE #5100  
EL SEGUNDO, CA 90245  
Firm ID#: 13572

Regulator	Registration	Status	Date
<b>B</b> FINRA	General Securities Representative	Approved	06/30/2025
<b>B</b> FINRA	Operations Professional	Approved	06/30/2025
<b>B</b> Maine	Agent	Approved	07/11/2025

#### Branch Office Locations

**CETERA ADVISOR NETWORKS LLC**  
23 BRIDGTON ROAD  
SUITE 102  
WESTBROOK, ME 04092

**CETERA ADVISOR NETWORKS LLC**  
WINDHAM, ME

#### Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**  
Main Address: 1450 AMERICAN LANE  
6TH FLOOR, SUITE 650  
SCHAUMBURG, IL 60173-2096  
Firm ID#: 105644

Regulator	Registration	Status	Date
<b>IA</b> Maine	Investment Adviser Representative	Approved	07/11/2025

#### Branch Office Locations

**CETERA INVESTMENT ADVISERS LLC**  
WINDHAM, ME

**CETERA INVESTMENT ADVISERS LLC**  
23 BRIDGTON ROAD  
SUITE 102  
WESTBROOK, ME 04092



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**




#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.




#### General Industry/Product Exams

Exam	Category	Date
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 Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	02/12/2002

#### State Securities Law Exams

Exam	Category	Date
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  Uniform Combined State Law Examination (S66)	Series 66	10/21/2005
 Uniform Securities Agent State Law Examination (S63)	Series 63	03/08/2002

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

#### Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	05/20/2021 - 07/01/2025	INSIGHT FOLIOS	CRD# 174411	CHARLOTTE, NC
B	06/01/2021 - 06/30/2025	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	Windham, ME
IA	03/25/2017 - 06/03/2021	MML INVESTORS SERVICES, LLC	CRD# 10409	FALMOUTH, ME
B	03/25/2017 - 06/02/2021	MML INVESTORS SERVICES, LLC	CRD# 10409	FALMOUTH, ME
IA	06/08/2015 - 03/06/2020	BAYSTATE WEALTH MANAGEMENT	CRD# 151664	FALMOUTH, ME
IA	02/10/2015 - 03/25/2017	MSI FINANCIAL SERVICES, INC.	CRD# 14251	FALMOUTH, ME
B	02/09/2015 - 03/25/2017	MSI FINANCIAL SERVICES, INC.	CRD# 14251	FALMOUTH, ME
B	06/01/2009 - 01/26/2015	MORGAN STANLEY	CRD# 149777	PORTLAND, ME
IA	06/01/2009 - 01/26/2015	MORGAN STANLEY	CRD# 149777	PORTLAND, ME
B	06/04/2008 - 06/01/2009	MORGAN STANLEY & CO. INCORPORATED	CRD# 8209	PORTLAND, ME
IA	06/04/2008 - 06/01/2009	MORGAN STANLEY & CO. INCORPORATED	CRD# 8209	PORTLAND, ME
B	08/01/2006 - 06/05/2008	MULTI-FINANCIAL SECURITIES CORPORATION	CRD# 10299	PORTLAND, ME
IA	08/01/2006 - 06/05/2008	MULTI-FINANCIAL SECURITIES CORPORATION	CRD# 10299	PORTLAND, ME
IA	11/29/2005 - 08/14/2006	FINANCIAL BENEFIT SERVICES CORPORATION	CRD# 118379	PORTLAND, ME
IA	10/26/2005 - 08/02/2006	RAYMOND JAMES FINANCIAL SERVICES	CRD# 6694	SOUTH PORTLAND, ME
B	09/20/2005 - 08/02/2006	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	SOUTH PORTLAND, ME



### Registration & Employment History

#### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
<b>B</b>	02/13/2002 - 09/26/2005	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	CRD# 2881	MILWAUKEE, WI

#### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2025 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
06/2025 - Present	CETERA WEALTH SERVICES LLC	REGISTERED REPRESENTATIVE	Y	EL SEGUNDO, CA, United States
05/2021 - 06/2025	Insight Folios	Investment Adviser Representative	Y	Windham, ME, United States
05/2021 - 06/2025	Purshe Kaplan Sterling Investments	Registered Representative	Y	Windham, ME, United States
07/2016 - 05/2021	Mass Mutual Life Insurance Co	Insurance Agent	Y	Falmouth, ME, United States
07/2016 - 05/2021	Massachusetts Mutual Life Insurance Company	Registered Rep	Y	Springfield, MA, United States
03/2016 - 05/2021	MML INVESTORS SERVICES, LLC	Registered Representative	Y	FALMOUTH, ME, United States
02/2015 - 05/2021	Baystate Financial	Investment Adviser Representative	Y	Falmouth, ME, United States
02/2015 - 03/2017	METLIFE SECURITIES INC	REGISTERED REPRESENTATIVE	Y	FALMOUTH, ME, United States

#### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1. NAME OF OTHER BUSINESS: CETERA WEALTH PARTNERS  
 INVESTMENT RELATED: YES  
 ADDRESS: SAME AS REGISTERED LOCATION  
 NATURE OF BUSINESS: FINANCIAL SERVICES  
 START DATE: 06/2025  
 POSITION/TITLE/RELATIONSHIP: FINANCIAL PROFESSIONAL  
 APX NUMBER OF HOURS PER WEEK: 40  
 APX NUMBER OF HOURS DURING TRADING HOURS: 40



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

BRIEF DESCRIPTION OF DUTIES: PROVIDE FINANCIAL SERVICES;



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	1
Termination	1

### Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

#### Disclosure 1 of 2

<b>Reporting Source:</b>	Individual
<b>Regulatory Action Initiated By:</b>	Office of Insurance and Safety Fire Commissioner State of Georgia
<b>Sanction(s) Sought:</b>	Other: Probation
<b>Date Initiated:</b>	10/19/2017
<b>Docket/Case Number:</b>	11020211
<b>Employing firm when activity occurred which led to the regulatory action:</b>	MML Investors Services, LLC
<b>Product Type:</b>	No Product
<b>Allegations:</b>	Representative entered into a consent order with the Office of Commissioner of Insurance, State of Georgia finding the representative had been involved in a FINRA administrative proceeding which was grounds for administrative action
<b>Current Status:</b>	Final
<b>Resolution:</b>	Order
<b>Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</b>	Yes
<b>Resolution Date:</b>	11/07/2017



**Sanctions Ordered:** Other: The registered rep placed on probationary status for twelve (12) months starting November 7, 2017.

**Disclosure 2 of 2**

**Reporting Source:** Regulator

**Regulatory Action Initiated By:** FINRA

**Sanction(s) Sought:**

**Date Initiated:** 06/09/2016

**Docket/Case Number:** [2015044363401](#)

**Employing firm when activity occurred which led to the regulatory action:** Morgan Stanley Smith Barney LLC

**Product Type:** No Product

**Allegations:** Without admitting or denying the findings, Bonetti consented to the sanctions and to the entry of findings that he altered his member firm's records of the telephone numbers of firm customers owning securities accounts that he serviced, and his actions could have prevented or delayed competing registered representatives at the firm from being able to contact these customers. The findings stated that by altering the telephone numbers of the firm's customers, Bonetti caused the firm's books and records to be inaccurate, and he acted without the authorization of the affected customers or the firm.

**Current Status:** Final

**Resolution:** Acceptance, Waiver & Consent(AWC)

**Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?** No

**Resolution Date:** 06/09/2016

**Sanctions Ordered:** Civil and Administrative Penalty(ies)/Fine(s)  
Suspension

**If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?** No



**(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?**

**(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or**

**(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?**

**Sanction 1 of 1**

<b>Sanction Type:</b>	Suspension
<b>Capacities Affected:</b>	Any capacity
<b>Duration:</b>	30 business days
<b>Start Date:</b>	07/05/2016



**End Date:** 08/15/2016

**Monetary Sanction 1 of 1**

**Monetary Related Sanction:** Civil and Administrative Penalty(ies)/Fine(s)

**Total Amount:** \$5,000.00

**Portion Levied against individual:** \$5,000.00

**Payment Plan:**

**Is Payment Plan Current:**

**Date Paid by individual:** 07/08/2016

**Was any portion of penalty waived?** No

**Amount Waived:**

.....

**Reporting Source:** Individual

**Regulatory Action Initiated By:** FINRA

**Sanction(s) Sought:** Suspension

**Date Initiated:** 06/09/2016

**Docket/Case Number:** [2015044363401](#)

**Employing firm when activity occurred which led to the regulatory action:** Morgan Stanley Smith Barney, LLC

**Product Type:** No Product

**Allegations:** Without admitting or denying the findings, Bonetti consented to the sanctions and to the entry of findings that he altered his member firm's records of the telephone numbers of firm customers owning securities accounts that he services, and his actions could have prevented or delayed competing registered representatives at the firm from being able to contact these customers. The findings stated that by altering the telephone numbers of the firm's customers, Bonetti caused the firm's books and records to be inaccurate and he acted without the authorization of the affected customers or the firm.

**Current Status:** Final

**Resolution:** Acceptance, Waiver & Consent(AWC)

**Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?** No

**Resolution Date:** 06/09/2016

**Sanctions Ordered:** Civil and Administrative Penalty(ies)/Fine(s)

**Sanction 1 of 1**



<b>Sanction Type:</b>	Suspension
<b>Capacities Affected:</b>	Any Capacity
<b>Duration:</b>	30 business days
<b>Start Date:</b>	07/05/2016
<b>End Date:</b>	08/15/2016
<b>Monetary Sanction 1 of 1</b>	
<b>Monetary Related Sanction:</b>	Civil and Administrative Penalty(ies)/Fine(s)
<b>Total Amount:</b>	\$5,000.00
<b>Portion Levied against individual:</b>	\$5,000.00
<b>Payment Plan:</b>	
<b>Is Payment Plan Current:</b>	No
<b>Date Paid by individual:</b>	
<b>Was any portion of penalty waived?</b>	No
<b>Amount Waived:</b>	



### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Firm
<b>Employing firm when activities occurred which led to the complaint:</b>	MML INVESTORS SERVICES, LLC
<b>Allegations:</b>	The complainant alleges that beginning in 2020, the producer recommended that he invest in aggressive stocks despite the complainant being a conservative investor. The complainant alleges to have been financially harmed as a result of this advice.
<b>Product Type:</b>	Equity Listed (Common & Preferred Stock)
<b>Alleged Damages:</b>	\$130,000.00
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	No

### Customer Complaint Information

<b>Date Complaint Received:</b>	08/05/2024
<b>Complaint Pending?</b>	No
<b>Status:</b>	Settled
<b>Status Date:</b>	10/08/2024
<b>Settlement Amount:</b>	\$100,000.00
<b>Individual Contribution Amount:</b>	\$0.00
<b>Firm Statement</b>	Case 202408060035.

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<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	MML INVESTORS SERVICES, LLC
<b>Allegations:</b>	The complainant alleges that beginning in 2020, the producer recommended that he invest in aggressive stocks despite the complainant being a conservative investor. The complainant alleges to have been financially harmed as a result of this advice.
<b>Product Type:</b>	Equity Listed (Common & Preferred Stock)
<b>Alleged Damages:</b>	\$130,000.00
<b>Is this an oral complaint?</b>	No



**Is this a written complaint?** Yes

**Is this an arbitration/CFTC reparation or civil litigation?** No

**Customer Complaint Information**

**Date Complaint Received:** 08/12/2024

**Complaint Pending?** No

**Status:** Settled

**Status Date:** 10/10/2024

**Settlement Amount:** \$100,000.00

**Individual Contribution Amount:** \$0.00

**Broker Statement** The claimant was a client of another Advisor. They asked me to give opinions in 2020. I left the firm in 2021 and had no further contact with either of them. There was no on-going advice sought or given. This is egregious.



## Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

### Disclosure 1 of 1

**Reporting Source:** Firm  
**Firm Name:** MORGAN STANLEY  
**Termination Type:** Voluntary Resignation  
**Termination Date:** 01/14/2015  
**Allegations:** AT TERMINATION, THE FIRM WAS CONDUCTING A REVIEW RELATED TO THE ACCURACY OF CLIENT CONTACT INFORMATION ENTERED IN THE FIRM'S RECORDS BY THE REGISTERED REPRESENTATIVE  
**Product Type:** No Product

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**Reporting Source:** Individual  
**Firm Name:** MORGAN STANLEY  
**Termination Type:** Voluntary Resignation  
**Termination Date:** 01/14/2015  
**Allegations:** ALLEGATION(S):  
AT TERMINATION, THE FIRM WAS CONDUCTING A REVIEW RELATED TO THE ACCURACY OF CLIENT CONTACT INFORMATION ENTERED IN THE FIRM'S RECORDS BY THE REGISTERED REPRESENTATIVE  
**Product Type:** No Product



## End of Report

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