IAPD Report

JAMISON DEAN EDLUND

CRD# 4508195

Section Title	Page(s)

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

JAMISON DEAN EDLUND (CRD# 4508195)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/24/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	01/31/2019
IA	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	CRD# 149018	01/31/2019

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **10** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LPL FINANCIAL LLC	6413	DELANO, MN	11/02/2017 - 03/13/2019
IA	LPL FINANCIAL LLC	6413	DELANO, MN	11/02/2017 - 03/13/2019
IA	STIFEL, NICOLAUS & COMPANY, INCORPORATED	793	MINNEAPOLIS, MN	02/24/2010 - 11/02/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	3

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **10** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES, INC.**

Main Address: 880 CARILLON PARKWAY
ST. PETERSBURG, FL 33716

Firm ID#: 6694

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	01/31/2019
B	Arizona	Agent	Approved	01/31/2019
B	Arkansas	Agent	Approved	09/29/2023
B	Colorado	Agent	Approved	02/08/2019
B	Florida	Agent	Approved	01/31/2019
B	Minnesota	Agent	Approved	02/01/2019
B	North Carolina	Agent	Approved	07/12/2023
B	North Dakota	Agent	Approved	01/31/2019
B	Texas	Agent	Approved	02/05/2019
B	Washington	Agent	Approved	01/05/2026
B	Wisconsin	Agent	Approved	01/31/2019

Branch Office Locations

RAYMOND JAMES FINANCIAL SERVICES
1300 Babcock Blvd. East
Suite 202

Qualifications

Delano, MN 55328

RAYMOND JAMES FINANCIAL SERVICES
10107 University Avenue NE
Blaine, MN 55434

RAYMOND JAMES FINANCIAL SERVICES
9600 Colorado Lane N.
Brooklyn Park, MN 55445

Employment 2 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC**

Main Address: 880 CARILLON PARKWAY
SAINT PETERSBURG, FL 33716

Firm ID#: 149018

	Regulator	Registration	Status	Date
IA	Minnesota	Investment Adviser Representative	Approved	02/01/2019
IA	Texas	Investment Adviser Representative	Restricted Approval	09/13/2021

Branch Office Locations

RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC
1300 BABCOCK BLVD. E.
SUITE 202
DELANO, MN 55328

RAYMOND JAMES FINANCIAL SERVICES ADVISORS, IN
10107 UNIVERSITY AVENUE NE
BLAINE, MN 55434

RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC
9600 COLORADO LANE N
BROOKLYN PARK, MN 55445

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	06/06/2002

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	02/22/2010
B	Uniform Securities Agent State Law Examination (S63)	Series 63	07/02/2002

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	11/02/2017 - 03/13/2019	LPL FINANCIAL LLC	CRD# 6413	DELANO, MN
IA	11/02/2017 - 03/13/2019	LPL FINANCIAL LLC	CRD# 6413	DELANO, MN
IA	02/24/2010 - 11/02/2017	STIFEL, NICOLAUS & COMPANY, INCORPORATED	CRD# 793	MINNEAPOLIS, MN
B	10/23/2009 - 11/02/2017	STIFEL, NICOLAUS & COMPANY, INCORPORATED	CRD# 793	MINNEAPOLIS, MN
B	01/01/2008 - 10/29/2009	WELLS FARGO ADVISORS, LLC	CRD# 19616	BLOOMINGTON, MN
B	08/06/2002 - 01/03/2008	A. G. EDWARDS & SONS, INC.	CRD# 4	EDINA, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2019 - Present	CorTrust Bank	Associate/Employee	Y	DELANO, MN, United States
01/2019 - Present	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC.	INVESTMENT ADVISER REP	Y	DELANO, MN, United States
01/2019 - Present	RAYMOND JAMES FINANCIAL SERVICES, INC.	FINANCIAL ADVISOR	Y	DELANO, MN, United States
11/2017 - 01/2019	LPL Financial LLC	Registered Representative	Y	Delano, MN, United States
11/2017 - 01/2019	State Bank of Delano	Financial Advisor	Y	Delano, MN, United States
10/2009 - 11/2017	STIFEL NICOLAUS & CO INC	FINANCIAL ADVISOR	Y	EDINA, MN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1)Name of Business: CorTrust Wealth Management Address: 1300 Babcock Blvd. E Suite 202, Delano, MN, 55328, United States Activity Type: Support Company - Non Owner Position/Title: Associate/Employee Investment Related: No Start Date: 02/01/2019 Hours per month devoted to this business: 81+ Hours per month devoted to this business during trading hours: 41+

Registration & Employment History

OTHER BUSINESS ACTIVITIES

Description of duties: I am a financial advisor for CorTrust Wealth Management, which is a division of CorTrust Bank.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	3

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 3

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	Grand Forks County
Location of Court:	Grand Forks County, North Dakota
Docket/Case #:	81-91-K-04308
Charge Date:	08/04/1991
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Unlawful Use of License
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	Not Guilty
Disposition of charge:	Dismissed
Current Status:	Final
Status Date:	11/25/1992
Disposition Date:	11/25/1992
Sentence/Penalty:	None

Disclosure 2 of 3

Reporting Source:	Individual
Court Details:	NORTH DAKOTA DISTRICT COURT - CASS COUNTY, FARGO N.D. CASE #CR-95-03361

Charge Date:	08/28/1995
Charge Details:	1.) ONE COUNT 2.) MISDEMEANOR 3.) GUILTY 4.) NON-INVESTMENT RELATED
Felony?	No
Current Status:	Final
Status Date:	09/22/1995
Disposition Details:	A.) COVICTED B.) SEPTEMBER 22, 1995 C.) $97 FEE AND RESTITUTION D.) 3 MONTHS DEFERRED IMPOSITION E.) N/A F.) $97.00 G.) SEPTEMBER 22, 1995
Broker Statement	I HAD ISSUED A CHECK TO BERNIE'S LIQUORS ON JULY 23, 1995. I WAS UNAWARE THAT THE CHECK HAD BOUNCED. I WAS JUST BEGINNING HARVEST SEASON AT THE TIME THAT THIS WAS HAPPENING. I WAS WORKING 16 HOURS A DAY, AND DO NOT RECALL SPECIFIC CIRCUMSTANCES. FROM THE COURT DOCUMENTS, I DO KNOW THAT I DID NOT HAVE TO APPEAR IN COURT, AND I PAID THE FINES. FOR MOST OF HARVEST, I DID NOT RETURN HOME DUE TO THE LONG HOURS AND THE FARMS PROXIMITY TO MY HOME. INSTEAD I WOULD STAY WITH MY EMPLOYER. I WRITE THE CHECK ON JULY 23RD, WAS CHARGED ON AUG 28TH, AND SETTLED/CONVICTED ON SEPT. 22ND OF THAT YEAR. (1995)

Disclosure 3 of 3

Reporting Source:	Individual
Court Details:	CASS COUNTY COURT, FARGO, ND CASE # CR-96-10466
Charge Date:	04/22/1996
Charge Details:	ISSUING CHECK WITHOUT FUNDS, 1 COUNT, MISDEMEANOR, GUILTY, NON-INVESTMENT RELATED
Felony?	No
Current Status:	Final

Status Date:	04/30/1996
Disposition Details:	A. GUILTY B. 04/30/1996 C. FINE $50, FEE $50, TOTAL WITH RESTITUTION $165 D. ALL JAIL SUSPENDED ONE YEAR E. N/A F. $165 G. 04/30/1996
Broker Statement	DURING THE WINTER OF 95-96 I ISSUED A CHECK FOR A UTILITY BILL, ASSUMING I HAD ENOUGH IN MY ACCOUNT TO COVER THE CHECK. I WAS UNEMPLOYED AT THE TIME, AND LOOKING FOR WORK. IT TURNED OUT THAT I DID NOT HAVE SUFFICIENT FUNDS TO COVER THE CHECK, AND IT BOUNCED. I COULD NOT PAY THE BILL IMMEDIATELY, AND THE UTILITY COMPANY WENT DIRECTLY TO THE COURTS, RATHER THAN TO A COLLECTION AGENCY. I HAD ATTEMPTED TO COVER THE CHECK, BUT THEY RELATED TO ME THAT IT WAS ALREADY OUT OF THEIR HANDS, AND IN THE COURTS. I HAD MY COURT DATE, PLED GUILTY AND PAID $165 FOR RESTITUTION, FINES AND FEES.

End of Report

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