



IAPD Report

RYAN MICHAEL LONG

CRD# 4545159

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

RYAN MICHAEL LONG (CRD# 4545159)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/17/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	UBS FINANCIAL SERVICES INC.	CRD# 8174	09/03/2002
IA	UBS FINANCIAL SERVICES INC.	CRD# 8174	09/03/2002

QUALIFICATIONS

This representative is currently registered in **10** SRO(s) and **51** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **51** jurisdiction(s) and **10** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **UBS FINANCIAL SERVICES INC.**

Main Address: 1200 HARBOR BOULEVARD
WEEHAWKEN, NJ 07086

Firm ID#: 8174

	Regulator	Registration	Status	Date
	BOX Exchange LLC	General Securities Representative	Approved	05/16/2012
	Cboe Exchange, Inc.	General Securities Representative	Approved	09/03/2002
	FINRA	General Securities Representative	Approved	09/03/2002
	NYSE American LLC	General Securities Representative	Approved	09/30/2002
	NYSE Arca, Inc.	General Securities Representative	Approved	09/03/2002
	NYSE Texas, Inc.	General Securities Representative	Approved	07/13/2022
	Nasdaq ISE, LLC	General Securities Representative	Approved	01/28/2008
	Nasdaq PHLX LLC	General Securities Representative	Approved	09/03/2002
	Nasdaq Stock Market	General Securities Representative	Approved	07/12/2006
	New York Stock Exchange	General Securities Representative	Approved	09/30/2002
	Alabama	Agent	Approved	02/19/2004
	Arizona	Agent	Approved	02/19/2004
	Arkansas	Agent	Approved	01/05/2021



Qualifications

	Regulator	Registration	Status	Date
B	California	Agent	Approved	06/02/2003
B	Colorado	Agent	Approved	10/24/2003
B	Connecticut	Agent	Approved	01/05/2021
B	Delaware	Agent	Approved	09/27/2011
B	District of Columbia	Agent	Approved	04/03/2013
B	Florida	Agent	Approved	10/24/2003
B	Georgia	Agent	Approved	02/19/2004
B	Hawaii	Agent	Approved	12/25/2019
B	Idaho	Agent	Approved	01/05/2021
B	Illinois	Agent	Approved	02/19/2004
B	Indiana	Agent	Approved	02/19/2004
B	Iowa	Agent	Approved	12/13/2013
B	Kansas	Agent	Approved	02/19/2004
B	Kentucky	Agent	Approved	02/06/2008
B	Louisiana	Agent	Approved	11/05/2003
B	Maine	Agent	Approved	07/01/2019
B	Maryland	Agent	Approved	11/05/2003
B	Massachusetts	Agent	Approved	12/09/2015
B	Michigan	Agent	Approved	02/19/2004



Qualifications

	Regulator	Registration	Status	Date
B	Minnesota	Agent	Approved	04/09/2021
B	Mississippi	Agent	Approved	05/05/2016
B	Missouri	Agent	Approved	02/19/2004
B	Montana	Agent	Approved	01/05/2021
B	Nebraska	Agent	Approved	05/01/2017
B	Nevada	Agent	Approved	04/03/2013
B	New Hampshire	Agent	Approved	04/03/2013
B	New Jersey	Agent	Approved	05/01/2017
B	New Mexico	Agent	Approved	11/12/2003
B	New York	Agent	Approved	06/16/2003
B	North Carolina	Agent	Approved	11/05/2003
B	Ohio	Agent	Approved	05/01/2017
B	Oklahoma	Agent	Approved	11/21/2002
IA	Oklahoma	Investment Adviser Representative	Approved	05/12/2004
B	Oregon	Agent	Approved	06/11/2013
B	Pennsylvania	Agent	Approved	02/19/2004
B	Puerto Rico	Agent	Approved	09/27/2022
B	Rhode Island	Agent	Approved	06/28/2022
B	South Carolina	Agent	Approved	05/05/2016



Qualifications

	Regulator	Registration	Status	Date
B	South Dakota	Agent	Approved	08/23/2023
B	Tennessee	Agent	Approved	07/07/2003
B	Texas	Agent	Approved	09/03/2002
IA	Texas	Investment Adviser Representative	Approved	09/03/2002
B	Utah	Agent	Approved	11/23/2005
B	Vermont	Agent	Approved	01/05/2021
B	Virgin Islands	Agent	Approved	09/06/2024
B	Virginia	Agent	Approved	10/15/2003
B	Washington	Agent	Approved	12/13/2002
B	West Virginia	Agent	Approved	01/05/2021
B	Wisconsin	Agent	Approved	02/19/2004
B	Wyoming	Agent	Approved	04/09/2012

Branch Office Locations

UBS FINANCIAL SERVICES INC.

100 CRESCENT COURT
SUITE 400
DALLAS, TX 75201



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	08/01/2002

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	08/22/2002



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2002 - Present	UBS FINANCIAL SERVICES INC.	FINANCIAL ADVISOR	Y	PLANO, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) Name of Business: Cotton Bowl Athletic Association./ Address: AT&T Stadium One AT&T Arlington TexasUnited States 76011./ Investment Related: No./ Nature; Guidance and hosting the Cotton Bowl game as part of the New years 6 college football games./ Role: Member of Board of directors./ Duty: 3 Annual meetings , guidance and leadership around the football game and also to be a good host for the teams each year that participate./ Start Date: 2/1/2024./ Time Require: N/A.

2) TCA Foundation, The TCA Foundation, under the leadership of its Board of Directors, is a non-profit corporation separate from TCA and its Board of Trustees. The primary role of the foundation is to oversee the management of all TCAF funds raised including the TCA Foundation Endowment and to raise funds to further the mission of TCA, Member of Board of directors, To help with the strategy around raising funds for Student Tuition Aid and for Facilities for the School. In addition as part of the Investment Committee for the Foundation to help give guidance as part of that committee for the foundation on how to best steward the funds in the Endowment, start date-7/1/2023.



End of Report

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