



IAPD Report

Christopher D Anderson

CRD# 4579206

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Christopher D Anderson (CRD# 4579206)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/29/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	11/18/2024
IA	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	CRD# 149018	11/18/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **34** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	FIRST HORIZON ADVISORS, INC	17117	Dallas, TX	01/19/2024 - 11/12/2024
B	FIRST HORIZON ADVISORS, INC.	17117	Dallas, TX	01/18/2024 - 11/12/2024
B	J.P. MORGAN SECURITIES LLC	79	Plano, TX	06/10/2022 - 03/16/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **34** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES, INC.**

Main Address: 880 CARILLON PARKWAY
ST. PETERSBURG, FL 33716

Firm ID#: 6694

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	11/18/2024
B FINRA	General Securities Sales Supervisor	Approved	11/18/2024
B FINRA	Invest. Co and Variable Contracts	Approved	11/18/2024
B Alabama	Agent	Approved	01/31/2025
B Arizona	Agent	Approved	01/31/2025
B Arkansas	Agent	Approved	01/02/2025
B California	Agent	Approved	12/02/2024
B Colorado	Agent	Approved	12/26/2024
B District of Columbia	Agent	Approved	01/02/2025
B Florida	Agent	Approved	01/02/2025
B Georgia	Agent	Approved	12/16/2024
B Illinois	Agent	Approved	01/31/2025
B Indiana	Agent	Approved	01/31/2025



Qualifications

Regulator	Registration	Status	Date
B Iowa	Agent	Approved	12/16/2024
B Kansas	Agent	Approved	02/03/2025
B Kentucky	Agent	Approved	11/18/2024
B Louisiana	Agent	Approved	12/02/2024
B Maryland	Agent	Approved	01/31/2025
B Massachusetts	Agent	Approved	04/29/2026
B Michigan	Agent	Approved	01/02/2025
B Missouri	Agent	Approved	12/02/2024
B Nebraska	Agent	Approved	01/31/2025
B New Jersey	Agent	Approved	12/02/2024
B New Mexico	Agent	Approved	01/02/2025
B New York	Agent	Approved	12/02/2024
B North Carolina	Agent	Approved	01/02/2025
B Ohio	Agent	Approved	02/05/2025
B Oklahoma	Agent	Approved	12/02/2024
B Oregon	Agent	Approved	01/31/2025
B Pennsylvania	Agent	Approved	12/02/2024
B South Carolina	Agent	Approved	01/31/2025
B Tennessee	Agent	Approved	01/02/2025



Qualifications

Regulator	Registration	Status	Date
B Texas	Agent	Approved	11/18/2024
B Utah	Agent	Approved	01/31/2025
B Virginia	Agent	Approved	01/31/2025
B Washington	Agent	Approved	01/02/2025
B West Virginia	Agent	Approved	12/02/2024

Branch Office Locations

RAYMOND JAMES FINANCIAL SERVICES
 1320 S. UNIVERSITY DR.
 SUITE 116
 FORT WORTH, TX 76107

RAYMOND JAMES FINANCIAL SERVICES
 1000 PENNSYLVANIA AVENUE
 FORT WORTH, TX 76104

RAYMOND JAMES FINANCIAL SERVICES
 1401 W. WALNUT HILL LANE
 IRVING, TX 75038

RAYMOND JAMES FINANCIAL SERVICES
 950 W. ARBROOK DRIVE
 ARLINGTON, TX 76015

RAYMOND JAMES FINANCIAL SERVICES
 8024 DENTON HIGHWAY
 WATAUGA, TX 76148

RAYMOND JAMES FINANCIAL SERVICES
 6001 BRYANT IRVIN ROAD
 FORT WORTH, TX 76132

RAYMOND JAMES FINANCIAL SERVICES
 7800 WHITE SETTLEMENT ROAD
 FORT WORTH, TX 76108

RAYMOND JAMES FINANCIAL SERVICES
 16691 FM 2493
 TYLER, TX 75703

RAYMOND JAMES FINANCIAL SERVICES
 3310 S. Loop 256
 Palestine, TX 75801

Employment 2 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC**
 Main Address: 880 CARILLON PARKWAY
 SAINT PETERSBURG, FL 33716
 Firm ID#: 149018

Regulator	Registration	Status	Date
IA Texas	Investment Adviser Representative	Approved	11/18/2024

Branch Office Locations



Qualifications

RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC

1320 S. University Drive

Suite 116

Fort Worth, TX 76107





Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	08/17/2022
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	07/05/2022

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	06/28/2003
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	10/07/2002

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	11/08/2005
 Uniform Securities Agent State Law Examination (S63)	Series 63	10/10/2002

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/19/2024 - 11/12/2024	FIRST HORIZON ADVISORS, INC	CRD# 17117	Dallas, TX
B	01/18/2024 - 11/12/2024	FIRST HORIZON ADVISORS, INC.	CRD# 17117	Dallas, TX
B	06/10/2022 - 03/16/2023	J.P. MORGAN SECURITIES LLC	CRD# 79	Plano, TX
IA	06/10/2022 - 03/16/2023	J.P. MORGAN SECURITIES LLC	CRD# 79	Plano, TX
B	07/21/2021 - 05/31/2022	LPL FINANCIAL LLC	CRD# 6413	CAMPBELL, CA
IA	07/21/2021 - 05/31/2022	LPL FINANCIAL LLC	CRD# 6413	CAMPBELL, CA
B	06/29/2020 - 07/21/2021	WADDELL & REED	CRD# 866	CAMPBELL, CA
IA	06/29/2020 - 07/21/2021	WADDELL & REED	CRD# 866	CAMPBELL, CA
B	05/27/2016 - 06/30/2020	EDWARD JONES	CRD# 250	SAN JOSE, CA
IA	05/27/2016 - 06/30/2020	EDWARD JONES	CRD# 250	SAN JOSE, CA
IA	06/25/2015 - 05/20/2016	CUNA BROKERAGE SERVICES, INC.	CRD# 13941	SAN JOSE, CA
B	06/30/2003 - 05/20/2016	CUNA BROKERAGE SERVICES, INC.	CRD# 13941	SAN JOSE, CA
IA	11/09/2005 - 06/24/2015	CUNA BROKERAGE SERVICES, INC.	CRD# 13941	SAN JOSE, CA
B	10/08/2002 - 05/06/2003	NATCITY INVESTMENTS, INC.	CRD# 17490	CLEVELAND, OH

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2024 - Present	Not Provided	Officer - Vice President	N	Fort Worth, TX, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2024 - Present	Raymond James Financial Services Advisors, Inc.	Investment Advisor Representative	Y	Fort Worth, TX, United States
11/2024 - Present	Raymond James Financial Services, Inc.	Registered Representative	Y	Fort Worth, TX, United States
11/2024 - Present	Southside Bank	Officer - Vice President	Y	Fort Worth, TX, United States
11/2024 - Present	Southside Investment Services	Advisor; DBA/Support Company	N	Fort Worth, TX, United States
01/2024 - 11/2024	First Horizon Advisors	Financial Advisor	Y	Dallas, TX, United States
03/2023 - 01/2024	Extended time off	extended time off	N	Plano, TX, United States
03/2023 - 01/2024	Unemployed	Unemployed	N	Plano, TX, United States
05/2022 - 03/2023	J. P. Morgan Securities LLC	Registered Representative	Y	Plano, TX, United States
05/2022 - 03/2023	JPMorgan Chase Bank N. A.	Financial Advisor	Y	Plano, TX, United States
07/2021 - 05/2022	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE/FINANCIAL ADVISOR	Y	CAMPBELL, CA, United States
06/2020 - 07/2021	WADDELL & REED, INC.	ASSOCIATED PERSON	Y	CAMPBELL, CA, United States
05/2005 - 07/2021	CHRISTOPHER ANDERSON	OWNER	N	PEORIA, IL, United States
05/2016 - 06/2020	EDWARD JONES	FINANCIAL ADVISOR	Y	SAN JOSE, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1)Name of Business: Address: 1320 S University Dr Ste 116, Fort Worth, TX, 76107-5776, United States Activity Type: Support Company - Non Owner Position/Title: Officer - Vice President Investment Related: No Start Date: 11/18/2024 Hours per month devoted to this business: 81+ Hours per month devoted to this business during trading hours: 81+ Description of duties: Program Manager and OSJ for Raymond James program

(2)Name of Business: Southside Bank Address: 1320 S University Dr Ste 116, Fort Worth, TX, 76107-5776, United States Activity Type: Bank or Credit Union Associate Position/Title: Officer - Vice President Investment Related: Yes Start Date: 11/18/2024 Hours per month devoted to this business: 81+ Hours per month devoted to this business during trading hours: 81+ Description of



Registration & Employment History



OTHER BUSINESS ACTIVITIES

duties: Program Manager/OSJ for Raymond James program



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	CUNA BROKERAGE SERVICES, INC.
Allegations:	CUSTOMER CLAIMED REPRESENTATIVE MISTAKENLY TRANSFERRED NON-QUALIFIED SHARES TO A QUALIFIED ACCOUNT WHICH RESULTED IN AN ADDITIONAL TAX BURDEN.
Product Type:	No Product
Alleged Damages:	\$5,975.98

Customer Complaint Information

Date Complaint Received:	03/28/2005
Complaint Pending?	No
Status:	Denied
Status Date:	04/06/2005

Settlement Amount:

Individual Contribution Amount:

Broker Statement AFTER REVIEW OF THE FACTS AND CIRCUMSTANCES SURROUNDING THE COMPLAINT, THE FIRM FOUND THE COMPLAINT TO BE WITHOUT MERIT.



End of Report

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