



IAPD Report

Robin Renee Runyon

CRD# 4586090

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 6
Registration and Employment History	7
Disclosure Information	8

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Robin Renee Runyon (CRD# 4586090)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/23/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EDWARD JONES	CRD# 250	11/12/2002
IA	EDWARD JONES	CRD# 250	08/25/2008

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **40** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **40** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EDWARD JONES**
Main Address: 12555 MANCHESTER RD
ST. LOUIS, MO 63131
Firm ID#: 250

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	11/12/2002
B	FINRA	General Securities Principal	Approved	11/26/2002
B	NYSE American LLC	General Securities Principal	Approved	09/13/2011
B	NYSE American LLC	General Securities Representative	Approved	09/14/2011
B	Nasdaq Stock Market	General Securities Principal	Approved	07/12/2006
B	Nasdaq Stock Market	General Securities Representative	Approved	07/12/2006
B	New York Stock Exchange	General Securities Representative	Approved	01/06/2003
B	New York Stock Exchange	General Securities Principal	Approved	06/26/2010
B	Alabama	Agent	Approved	06/24/2004
B	Arizona	Agent	Approved	08/15/2003
B	Arkansas	Agent	Approved	12/02/2010
B	California	Agent	Approved	08/15/2003
B	Colorado	Agent	Approved	09/28/2004



Qualifications

Regulator	Registration	Status	Date
B Connecticut	Agent	Approved	06/22/2017
B Florida	Agent	Approved	08/15/2003
B Georgia	Agent	Approved	08/06/2007
B Idaho	Agent	Approved	11/30/2012
B Illinois	Agent	Approved	09/27/2005
B Indiana	Agent	Approved	09/11/2009
B Iowa	Agent	Approved	03/11/2010
B Kansas	Agent	Approved	07/26/2005
B Kentucky	Agent	Approved	08/15/2003
B Louisiana	Agent	Approved	07/23/2025
B Maine	Agent	Approved	01/03/2022
B Michigan	Agent	Approved	01/10/2023
B Minnesota	Agent	Approved	05/14/2010
B Missouri	Agent	Approved	05/03/2004
B Montana	Agent	Approved	08/15/2003
B Nebraska	Agent	Approved	09/20/2024
B Nevada	Agent	Approved	08/15/2003
B New Hampshire	Agent	Approved	09/26/2017
B New Jersey	Agent	Approved	04/25/2014



Qualifications

	Regulator	Registration	Status	Date
B	New Mexico	Agent	Approved	12/04/2002
IA	New Mexico	Investment Adviser Representative	Approved	08/25/2008
B	New York	Agent	Approved	11/03/2021
B	North Carolina	Agent	Approved	08/18/2003
B	North Dakota	Agent	Approved	10/05/2023
B	Ohio	Agent	Approved	08/15/2003
B	Oklahoma	Agent	Approved	02/18/2004
B	Oregon	Agent	Approved	08/15/2003
B	Pennsylvania	Agent	Approved	10/01/2021
B	South Dakota	Agent	Approved	03/30/2016
B	Tennessee	Agent	Approved	01/16/2017
B	Texas	Agent	Approved	02/03/2003
IA	Texas	Investment Adviser Representative	Approved	06/18/2021
B	Utah	Agent	Approved	10/18/2005
B	Virginia	Agent	Approved	08/01/2005
B	Washington	Agent	Approved	08/15/2003
B	Wisconsin	Agent	Approved	04/12/2023
B	Wyoming	Agent	Approved	11/28/2007



Qualifications

Branch Office Locations

EDWARD JONES

1155 COMMERCE SUITE H
LAS CRUCES, NM 88011




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	11/25/2002

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	11/11/2002

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	08/01/2008
 Uniform Securities Agent State Law Examination (S63)	Series 63	11/14/2002

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:



No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2002 - Present	EDWARD JONES	INVESTMENT REPRESENTATIVE	Y	ST. LOUIS, MO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Human Systems Research Inc.

Las Cruces, NM

Start date: 3/12/2013

Board Member - Secretary

Hours per week: 0

Hours during trading: 0

Only have one vote and majority vote of all board members rules the decision making process.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: EDWARD JONES

Allegations: 9/30/09-10/1/08; CLIENT STATES THE FA CONTACTED HER WITH A SUGGESTION TO SELL THE SHARES OF PUTNAM PREMIUM INCOME TRUST HELD IN HER ACCOUNT AND UTILIZE THE PROCEEDS TO PURCHASE STOCK. CLIENT CLAIMS, AT THE TIME OF THE TRANSACTIONS, THE FA DID NOT ADVISE HER THAT SHE WOULD LOSE THE INCOME FROM THE BOND FUND, THAT SHE WOULD INCUR LOSSES WITH THE SALE OF THE BOND FUND AND THAT THERE WOULD BE A COMMISSION OF \$1,372.00 ASSOCIATED WITH THE TRANSACTIONS. CLIENT INDICATES THAT THE TRANSACTIONS COMPLETED WERE NOT IN HER BEST INTEREST AND SHOWS A LACK OF FIDUCIARY DUTY. CLIENT WOULD LIKE TO BE MADE WHOLE WHICH SHE INDICATES WOULD REQUIRE THE SALE OF THE STOCKS, THE REPURCHASE OF A BOND FUND PAYING APPROXIMATELY 8.27% INTEREST, THE REIMBURSEMENT OF COMMISSION AND AN APOLOGY FROM THE FIRM. CLIENT ALLEGES DAMAGES IN EXCESS OF \$15,000.00 - FILING REQUIRED. *****ARBITRATION: CLAIMANT ALLEGES FA CONTACTED AND PRESSURED HER INTO SELLING INCOME TRUST WITHOUT RESEARCH OR SUFFICIENT BASIS. CLAIMANT ALLEGES THAT SHE LOST SIGNIFICANT FUTURE DIVIDENDS AND OVERALL VALUE OF INVESTMENT. (DAMAGES SOUGHT: RESTITUTION OF \$18,309.68 BASED ON ALLEGED FAILURE TO MEET DUTY OF CARE AND LOYALTY.)

Product Type: Equity Listed (Common & Preferred Stock)

Alleged Damages: \$15,000.00

Is this an oral complaint? No



Is this a written complaint? Yes

**Is this an arbitration/CFTC
reparation or civil litigation?** Yes

**Arbitration/Reparation forum
or court name and location:** FINRA

Docket/Case #: 10-05777

**Filing date of
arbitration/CFTC reparation
or civil litigation:** 01/05/2011

Customer Complaint Information

Date Complaint Received: 08/20/2010

Complaint Pending? No

Status: Denied

Status Date: 09/10/2010

Settlement Amount:

**Individual Contribution
Amount:**

Broker Statement

ACCORDING TO OUR RECORDS, THE CLIENT'S ACCOUNT WAS ESTABLISHED IN AUGUST 1999 AND SHARES OF PUTNAM PREMIER INCOME TRUST (PPT) WERE INITIALLY PURCHASED ON JUNE 17, 2002. IN REVIEWING ACCOUNT INFORMATION, IT APPEARS THE FA MADE SEVERAL ATTEMPTS TO SCHEDULE AN APPOINTMENT WITH THE CLIENT TO REVIEW HER PORTFOLIO, BUT WAS NOT SUCCESSFUL. IT IS UNDERSTOOD THE FA CONTACTED HER IN SEPTEMBER 2008 REGARDING THE FIRM'S OPINION CHANGE RELATED TO PUTNAM PREMIER INCOME TRUST (PPT). THE FA REVIEWED THE FIRM'S OPINION WITH THE CLIENT AND HER PPT HOLDING(S) TO INCLUDE THE APPROXIMATE LOSS ON THE INVESTMENT. THEY ALSO DISCUSSED INVESTMENT ALTERNATIVES IF THE DECISION WAS MADE TO SELL PPT AS WELL AS THE POTENTIAL COMMISSIONS ASSOCIATED WITH SAID TRANSACTIONS. ON SEPTEMBER 30, 2008, THE SHARES OF PPT HELD IN THE ACCOUNT WERE SOLD. THE PROCEEDS WERE UTILIZED TO PURCHASE SHARES OF ABBOTT LABS, COCA COLA CO, FPL GROUP INC AND HOME DEPOT INC. ACCORDING TO OUR RECORDS, THE ACCOUNT WAS TRANSFERRED TO ANOTHER FIRM IN DECEMBER 2009. IT IS UNDERSTOOD THE CLIENT DID NOT CONTACT THE FA WITH ANY CONCERNS FROM THE TIME THE TRANSACTIONS WERE COMPLETED IN LATE 2008. IN ADDITION, IT DOES NOT APPEAR THE CLIENT CONTACTED THE FIRM WITH ANY CONCERNS UNTIL RECEIPT OF HER AUGUST 15, 2010 CORRESPONDENCE. WE UNDERSTAND THE CLIENT'S DISAPPOINTMENT THAT SHE SOLD AN INVESTMENT THAT HAS NOW INCREASED IN VALUE AND THAT THE STOCKS MAY NOT HAVE PERFORMED TO HER EXPECTATIONS. HOWEVER, IT IS OUR OPINION, THE FA MADE HER SUGGESTIONS IN GOOD FAITH BASED ON THE INFORMATION AVAILABLE TO HER AT THE TIME OF THE TRANSACTIONS. FURTHER, WE BELIEVE ALL TRANSACTIONS WERE COMPLETED WITH THE CLIENT'S KNOWLEDGE AND AUTHORIZATION. CLAIM DENIED. ***ARBITRATION: CLAIMANT WITHDREW HER CLAIMS AGAINST EDWARD JONES, AT NO COST TO JONES



End of Report

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