



IAPD Report

MARC GENSLER

CRD# 4653479

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MARC GENSLER (CRD# 4653479)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/24/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	PARK AVENUE SECURITIES LLC	CRD# 46173	06/22/2020
IA	PARK AVENUE SECURITIES LLC	CRD# 46173	07/28/2020

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **14** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	PARK AVENUE SECURITIES LLC	46173	NEW YORK, NY	04/20/2012 - 12/02/2015
B	PARK AVENUE SECURITIES LLC	46173	NEW YORK, NY	03/12/2012 - 12/02/2015
IA	RED OAK WEALTH ADVISORS, LLC	155932	NEW YORK, NY	01/18/2011 - 12/31/2011

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 14 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **PARK AVENUE SECURITIES LLC**

Main Address: 10 HUDSON YARDS
NEW YORK, NY 10001

Firm ID#: 46173

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	06/22/2020
B California	Agent	Approved	08/25/2020
IA California	Investment Adviser Representative	Approved	08/25/2020
B Colorado	Agent	Approved	01/04/2023
IA Colorado	Investment Adviser Representative	Approved	01/04/2023
B Connecticut	Agent	Approved	01/11/2022
IA Connecticut	Investment Adviser Representative	Approved	03/07/2024
B Florida	Agent	Approved	09/08/2020
IA Florida	Investment Adviser Representative	Approved	09/09/2020
B Georgia	Agent	Approved	02/12/2025
IA Georgia	Investment Adviser Representative	Approved	02/13/2025
B Kansas	Agent	Approved	06/21/2023
B Maryland	Agent	Approved	08/25/2020



Qualifications

Regulator	Registration	Status	Date
IA Maryland	Investment Adviser Representative	Approved	08/25/2020
B Massachusetts	Agent	Approved	08/25/2020
IA Massachusetts	Investment Adviser Representative	Approved	07/30/2021
B New Jersey	Agent	Approved	07/16/2020
IA New Jersey	Investment Adviser Representative	Approved	07/28/2020
B New York	Agent	Approved	08/25/2020
IA New York	Investment Adviser Representative	Approved	04/16/2021
B North Carolina	Agent	Approved	08/10/2020
IA North Carolina	Investment Adviser Representative	Approved	04/20/2021
B Pennsylvania	Agent	Approved	07/06/2022
IA Pennsylvania	Investment Adviser Representative	Approved	07/06/2022
B Texas	Agent	Approved	03/11/2022
IA Texas	Investment Adviser Representative	Approved	03/11/2022
B Virginia	Agent	Approved	03/11/2022
IA Virginia	Investment Adviser Representative	Approved	03/11/2022

Branch Office Locations

PARK AVENUE SECURITIES LLC
437 MADISON AVENUE
29TH FLOOR
NEW YORK, NY 10022

PARK AVENUE SECURITIES LLC
Fair Lawn, NJ



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination (S7TO)	Series 7TO	06/22/2020
B Securities Industry Essentials Examination (SIE)	SIE	01/16/2020
B General Securities Representative Examination (S7)	Series 7	05/16/2003

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination (S63)	Series 63	07/14/2020
IA B Uniform Combined State Law Examination (S66)	Series 66	06/04/2003

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Analyst

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/20/2012 - 12/02/2015	PARK AVENUE SECURITIES LLC	CRD# 46173	NEW YORK, NY
B	03/12/2012 - 12/02/2015	PARK AVENUE SECURITIES LLC	CRD# 46173	NEW YORK, NY
IA	01/18/2011 - 12/31/2011	RED OAK WEALTH ADVISORS, LLC	CRD# 155932	NEW YORK, NY
IA	06/17/2003 - 01/18/2011	LORD, ABBETT & CO. LLC	CRD# 110391	JERSEY CITY, NJ
B	05/19/2003 - 01/18/2011	LORD ABBETT DISTRIBUTOR LLC	CRD# 530	JERSEY CITY, NJ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	GUARDIAN LIFE INSURANCE COMPANY	AGENT	Y	Fair Lawn, NJ, United States
05/2024 - Present	PARK AVE SECURITIES	REGISTERED REPRESENTATIVE	Y	Fair Lawn, NJ, United States
04/2020 - Present	GUARDIAN LIFE INSURANCE	ASSOCIATE	Y	New York, NY, United States
04/2020 - Present	PARK AVE SECURITIES LLC	RR	Y	New York, NY, United States
02/2016 - 03/2020	Plastex International	Sales	N	Passaic, NJ, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) Outside Insurance Business-provide life insurance and disability insurance from other carriers,
START DATE: 02/01/2012,
ADDRESS: 437 Madison Avenue, 29th Floor, New York, NY 10022,
4 total hours per month, 4 during securities trading hours,
INVESTMENT RELATED,
Less than 10% annual compensation,



Registration & Employment History

OTHER BUSINESS ACTIVITIES

2) Power of Attorney-mother,
Start date: 09/30/2023,
Address: n/a,
0.5 total hours per month; 0.5 during securities trading hours,
Not investment related,
No annual compensation,



End of Report

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