IAPD Report

Joel Lynn Yourdon

CRD# 4670936

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

Joel Lynn Yourdon (CRD# 4670936)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/11/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EDWARD JONES	CRD# 250	08/05/2003
IA	EDWARD JONES	CRD# 250	01/19/2007

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **19** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES

No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **19** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EDWARD JONES**

Main Address: 12555 MANCHESTER RD
ST. LOUIS, MO 63131

Firm ID#: 250

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	08/05/2003
B	NYSE American LLC	General Securities Representative	Approved	09/14/2011
B	Nasdaq Stock Market	General Securities Representative	Approved	07/12/2006
B	New York Stock Exchange	General Securities Representative	Approved	09/29/2003
B	Arizona	Agent	Approved	07/21/2010
B	Arkansas	Agent	Approved	01/17/2014
B	California	Agent	Approved	12/04/2024
B	Colorado	Agent	Approved	01/08/2013
B	Florida	Agent	Approved	03/21/2013
B	Hawaii	Agent	Approved	03/29/2022
B	Idaho	Agent	Approved	01/05/2023
B	Iowa	Agent	Approved	09/29/2020
B	Kansas	Agent	Approved	08/19/2003

Qualifications

	Regulator	Registration	Status	Date
IA	Kansas	Investment Adviser Representative	Approved	01/19/2007
B	Missouri	Agent	Approved	05/06/2004
B	Nevada	Agent	Approved	05/22/2024
B	New Hampshire	Agent	Approved	08/03/2011
B	New Mexico	Agent	Approved	05/10/2021
B	North Carolina	Agent	Approved	04/07/2015
B	Ohio	Agent	Approved	07/29/2016
B	Oklahoma	Agent	Approved	07/26/2004
B	South Carolina	Agent	Approved	11/22/2024
B	Tennessee	Agent	Approved	06/06/2022
B	Texas	Agent	Approved	04/30/2011
IA	Texas	Investment Adviser Representative	Restricted Approval	08/21/2014

Branch Office Locations

EDWARD JONES
1239 STATE STREET
AUGUSTA, KS 67010

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	08/04/2003

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	12/18/2006
B	Uniform Securities Agent State Law Examination (S63)	Series 63	08/07/2003

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2003 - Present	EDWARD JONES	INVESTMENT REP	Y	ST LOUIS, MO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Yourdon Farms
Type of business: Farm
Augusta, KS
Start date: 4/1/2015
Owner
Hours per week: 0
Hours during trading: 0
Maintain farmland.
*
Shining Isle Productions - DBA Wingfeather Saga TV series
Type of business: TV series
Franklin, TN
Start date: 5/17/2021
investor
Hours per week: 0
Hours during trading: 0
I am an angel investor in this book series to become a TV series.
*
North Alabama Auburn Club
Decatur, AL
Start date: 1/1/2019
President
Hours per week: 0
Hours during trading: 0
President of Board for 2019-2022
*
Boys & Girls Club
Decatur, AL
Start date: 1/1/2020
Board Member

Registration & Employment History

OTHER BUSINESS ACTIVITIES

Hours per week: 0
Hours during trading: 0
Board member
*
J and J Y Properties LLC
Type of business: LLC
Augusta, KS
Start date: 10/20/2023
Managing Partner
Hours per week: 1
Hours during trading: 0
I bought a building. This will be the company that owns that building. I am the managing partner.
*
Yourdon Farms
Type of business: rental house
Augusta, KS
Start date: 10/1/2025
owner
Hours per week: 0
Hours during trading: 0
I had a friend that moved into my Barnhouse that is on my property

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	EDWARD JONES
Allegations:	8/10-2/11; CLIENTS STATE FA RECOMMENDED THEY MOVE SOME OF THEIR MONIES FROM THEIR SUN AMERICA ACCOUNTS TO AN ADVISORY SOLUTIONS ACCOUNT AND INDICATE THAT THE FA TOLD THEM THERE WOULD BE NO TAXES OR PENALTIES. CLIENTS ALSO ALLEGE THAT THE FA RECOMMENDED THEY ROLLOVER THE CASH VALUE OF THEIR NORTHWESTERN MUTUAL LIFE INSURANCE COMPANY INTO THEIR SUN AMERICA ACCOUNTS. CLIENTS STATE THEIR SUN AMERICA ACCOUNTS WERE NOT DOING WELL AND ASKED THE FA FOR SUGGESTIONS. CLIENTS STATE FA RECOMMENDED THE TRANSFER OF ALL MONIES IN THE SUN AMERICA ACCOUNTS TO THEIR ADVISORY SOLUTIONS ACCOUNT AND AGAIN GUARANTEEING THERE WOULD BE NO TAX LIABILITIES. CLIENTS STATE THEY MET WITH THEIR CPA WHO QUESTIONED THE TRANSACTIONS. CLIENT STATES ON FEBRUARY 22 WITH THE HUSBAND THE FA ADMITTED HE MADE AN ERROR IN HIS RECOMMENDATIONS. CLIENTS STATE DUE TO THESE TRANSACTIONS, THEY WILL HAVE TO PAY $6,900 AND ARE REQUESTING REIMBURSEMENT.
Product Type:	Other: MANAGED/WRAP ACCOUNTS
Alleged Damages:	$6,900.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	02/28/2011
Complaint Pending?	No
Status:	Settled
Status Date:	08/08/2011
Settlement Amount:	$4,875.00
Individual Contribution Amount:	$0.00
Broker Statement	THE FA STATED THAT WHEN HE CONTACTED SUN AMERICA REGARDING THE THESE ANNUITES HE WAS ADVISED THAT THERE WOULD BE NO TAXES OR PENALTIES ASSOCIATED WITH THE LIQUIDATION OF THESE ANNUITIES. IT WAS LATER DISCOVERED THAT WHEN THE FUNDS WERE 1035 EXCHANGED INTO SUN AMERICA THAT THEY FAILED TO OBTAIN THE COST BASIS FROM THE TRANSFERRING FIRM AND UPDATE THEIR RECORDS. ALTHOUGH SUN AMERICAN ADMITTED TO THIS ERROR, THEY ADVISED THAT THERE WAS NOTHING THAT THEY COULD DO FOR THE CLIENTS. ALTHOUGH THE CLIENTS SIGNED THE APPROPRIATE LIQUIDATION FORMS INDICATING THAT THEY DID NOT RELY ON ANY TAX INFORMATION FROM EDWARD JONES OR ITS AGENT, WE ELECTED TO SHARE THE COST OF THESE FEES AND TAXES WITH THE CLIENTS. THE CLIENTS SIGNED THE SETTLEMENT OF CLAIM FORM AND WERE REIMBURSED FOR $4,875.00

Disclosure 2 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	EDWARD JONES
Allegations:	THE CLIENT CLAIMS HE HAS LOST $12,000 IN HIS IRA ACCOUNT SINCE THE SHORT TIME HE HAS BEEN WITH EDWARD JONES. THE CLIENT CLAIMS HE TOLD THE FA THAT HE DID NOT WANT TO INVEST IN STOCK AT THE TIME AND WOULD REVISIT THIS AFTER THE ELECTION. THE CLIENT CLAIMS THAT THESE STOCK MUTUAL FUNDS INVESTMENTS WERE DONE WITHOUT HIS AUTHORIZATION AND WANTS THE ACCOUNT IN MONEY MARKET AS ORIGINALLY DIRECTED. CLAIMED LOSSES EXCEED $5,000
Product Type:	Money Market Fund(s)
Alleged Damages:	$5,000.00

Customer Complaint Information

Date Complaint Received:	03/17/2008
Complaint Pending?	No
Status:	Denied
Status Date:	04/03/2008
Settlement Amount:	
Individual Contribution Amount:	

Broker Statement	THE FA STATED THE CLIENT TRANSFERRED HIS 401K PLAN TO EDWARD JONES. THE FA STATED THAT THEY DISCUSSED GOALS AND OBJECTIVES, INVESTMENT EXPERIENCE, COMMISSIONS, DIFFERENT TYPES OF FUNDS, COSTS OF INVESTING IN MUTUAL FUNDS AND THE FACT THAT A MUTUAL FUND SHOULD BE A LONG TERM INVESTMENT. THE FA RECOMMENDED OPPENHEIMER FUND FAMILY AND WHEN THE FUNDS BECAME AVAILABLE, THE FA CONTACTED THE CLIENT. THE CLIENT CONFIRMED INSTRUCTIONS TO PURCHASE THE MUTUAL FUNDS, WHICH THE FA DID. IT APPEARS AS THOUGH THE FA DISCUSSED AND DISCLOSED THE INVESTMENT PROPERLY AND RECEIVED DIRECT AUTHORIZATION FROM THE CLIENT TO PROCEED WITH THE INVESTMENT. THE CLIENT WAS TOLD IF HE STILL WANTS TO MOVE THE FUNDS INTO A MONEY MARKET ACCOUNT THAT HE SHOULD DISCUSS THIS WITH THE FA, KEEPING IN MIND THAT ANY FEES AND/OR MARKET LOSS ASSOCIATED WITH ANY ACTIVITIY WILL BE CHARGED TO HIS ACCOUNT.

End of Report

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