IAPD Report

DALE ANTHONY CAMILLO

CRD# 4674890

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

DALE ANTHONY CAMILLO (CRD# 4674890)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/24/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	09/14/2007
IA	LPL FINANCIAL LLC	CRD# 6413	11/20/2007

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **36** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	BANC OF AMERICA INVESTMENT SERVICES, INC.	16361	OCALA, FL	04/03/2006 - 09/13/2007
B	BANC OF AMERICA INVESTMENT SERVICES, INC.	16361	OCALA, FL	03/31/2006 - 09/13/2007
IA	A. G. EDWARDS & SONS, INC.	4	OCALA, FL	09/08/2003 - 04/11/2006

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **36** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**

Main Address: 1055 LPL WAY
FORT MILL, SC 29715

Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	09/14/2007
B	FINRA	General Securities Principal	Approved	02/27/2009
B	Alabama	Agent	Approved	01/22/2018
B	Arizona	Agent	Approved	03/28/2014
B	Arkansas	Agent	Approved	11/19/2021
B	California	Agent	Approved	08/02/2010
B	Colorado	Agent	Approved	05/17/2017
B	Connecticut	Agent	Approved	05/17/2017
B	Delaware	Agent	Approved	05/03/2016
B	District of Columbia	Agent	Approved	10/25/2023
B	Florida	Agent	Approved	11/20/2007
IA	Florida	Investment Adviser Representative	Approved	11/20/2007
B	Georgia	Agent	Approved	01/09/2008

User Guidance

www.adviserinfo.sec.gov

Qualifications

	Regulator	Registration	Status	Date
B	Idaho	Agent	Approved	05/17/2017
B	Illinois	Agent	Approved	01/10/2017
B	Indiana	Agent	Approved	10/03/2016
B	Kentucky	Agent	Approved	09/28/2015
B	Louisiana	Agent	Approved	05/17/2017
B	Maine	Agent	Approved	06/14/2022
B	Maryland	Agent	Approved	05/18/2017
B	Massachusetts	Agent	Approved	02/16/2018
B	Michigan	Agent	Approved	05/23/2017
B	Minnesota	Agent	Approved	02/08/2013
B	Nevada	Agent	Approved	05/30/2017
B	New Hampshire	Agent	Approved	02/25/2013
B	New Jersey	Agent	Approved	11/15/2012
B	New Mexico	Agent	Approved	05/17/2017
B	New York	Agent	Approved	04/16/2010
B	North Carolina	Agent	Approved	12/09/2016
B	Ohio	Agent	Approved	11/03/2011
B	Oregon	Agent	Approved	02/20/2020
B	Pennsylvania	Agent	Approved	10/03/2016

Qualifications

	Regulator	Registration	Status	Date
B	Rhode Island	Agent	Approved	07/12/2019
B	South Carolina	Agent	Approved	05/19/2017
B	Tennessee	Agent	Approved	06/14/2023
B	Texas	Agent	Approved	12/08/2014
IA	Texas	Investment Adviser Representative	Restricted Approval	12/02/2014
B	Vermont	Agent	Approved	06/05/2019
B	Virginia	Agent	Approved	01/17/2013
B	Wisconsin	Agent	Approved	02/05/2013

Branch Office Locations

LPL FINANCIAL LLC
1107 E SILVER SPRINGS BLVD. #8
OCALA, FL 34470

LPL FINANCIAL LLC
OCALA, FL

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	02/26/2009

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Futures Managed Funds Examination (S31)	Series 31	07/17/2009
B	General Securities Representative Examination (S7)	Series 7	08/13/2003

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	08/22/2003

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/03/2006 - 09/13/2007	BANC OF AMERICA INVESTMENT SERVICES, INC.	CRD# 16361	OCALA, FL
B	03/31/2006 - 09/13/2007	BANC OF AMERICA INVESTMENT SERVICES, INC.	CRD# 16361	OCALA, FL
IA	09/08/2003 - 04/11/2006	A. G. EDWARDS & SONS, INC.	CRD# 4	OCALA, FL
B	08/15/2003 - 04/11/2006	A. G. EDWARDS & SONS, INC.	CRD# 4	ST. LOUIS, MO

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2007 - Present	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	OCALA, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. 10/15/2012: CAMILLO FINANCIAL SERVICES, INC. - INV REL - AT REPORTED BUSINESS LOCATION(S) - NON-VARIABLE INSURANCE - 5% OF TIME SPENT - INS TYPES: LIFE, HEALTH, DISABILITY, LONG-TERM CARE, FIXED ANNUITIES, PROPERTY & CASUALTIES

2. 11/20/2014: CAMILLO FINANCIAL SERVICES INC. - INV REL - AT REPORTED BUSINESS LOCATION(S) - BUSINESS ENTITY FOR TAX/INVESTMENT PURPOSES ONLY - START 11/20/2007 - 1 HR/MO ALL DURING SECS TRDG HRS

3. 08/05/2015 - LAND SEA OR AIR TRAVEL - INV REL - 2318 SE 25TH AVE, OCALA, FL 34471 (HOME ADDRESS) - BUSINESS OWNER - START 08/15/2015 - 1 HOURS PER MONTH, 0 DURING TRADING - I WANT TO OPEN A HOME BASED TRAVEL AGENCY USING THE DBA NAME LAND SEA OR AIR TRAVEL

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - o A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - o A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - o A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - o An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - o A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - o A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	BANC OF AMERICA INVESTMENT SERVICES, INC.
Allegations:	CUSTOMERS VERBALLY ALLEGED THAT THEY PURCHASED THE VAN KAMPEN SENIOR FLOATING RATE FUND DURING NOVEMBER 2006 BASED UPON THE RECOMMENDATION AND GUARANTEE MADE BY DALE CAMILLO THAT THEY WOULD NOT LOSE PRINCIPAL AND THAT THE INVESTMENT WOULD GENERATE 7-8% INCOME PER YEAR. COMPENSATORY DAMAGES UNSPECIFIED.
Product Type:	Mutual Fund(s)
Alleged Damages:	$0.00

Customer Complaint Information

Date Complaint Received:	05/02/2008
Complaint Pending?	No
Status:	Settled
Status Date:	05/02/2008
Settlement Amount:	$40,000.00
Individual Contribution Amount:	$0.00
Firm Statement	SETTLEMENT OF A VERBAL COMPLAINT.

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	BANC OF AMERICA INVESTMENT SERVICES, INC.
Allegations:	CUSTOMERS VERBALLY ALLEGED THAT THEY PURCHASED THE VAN KAMPEN SENIOR FLOATING RATE FUND DURING NOVEMBER 2006 BASED UPON THE RECOMMENDATION AND GUARANTEE MADE BY DALE CAMILLO THAT THEY WOULD NOT LOSE PRINCIPAL AND THAT THE INVESTMENT WOULD GENERATE 7-8% INCOME PER YEAR. COMPENSATORY DAMAGES UNSPECIFIED.
Product Type:	Mutual Fund(s)
Alleged Damages:	$0.00

Customer Complaint Information

Date Complaint Received:	05/02/2008
Complaint Pending?	No
Status:	Settled
Status Date:	05/02/2008
Settlement Amount:	$40,000.00
Individual Contribution Amount:	$0.00
Broker Statement	AS IN A PREVIOUS COMPLAINT WITH BANC OF AMERICA, THIS COMPLAINT WAS UNKNOWN TO ME AND SETTLED BY PRIOR FIRM WITHOUT MY KNOWLEDGE OR OPPORTUNITY TO RESPOND. PRODUCT AT ISSUE IS A FIXED INCOME FUND INVESTED 100% IN SENIOR LOAN BONDS, WHICH WERE SUITABLE FOR THE CUSTOMER. ALL RISKS WERE EXPLAINED ORALLY AND IN WRITING AND CUSTOMER ACKNOWLEDGED UNDERSTANDING OF RISKS. I MET WITH AND SPOKE WITH THE CLIENT ON A CONTINUAL BASIS REGARDING PRICE MOVEMENTS AND DISTRIBUTIONS AND NEVER MADE ANY GUARANTEES. CLIENT WAS PLEASED INITALLY WHILE I WAS WITH BANC OF AMERICA. FUND WAS PURCHASED AS AN "A SHARE CLASS" IN A FEE BASED ACCOUNT WITH NO UPFRONT SALES CHARGES AND THE CLIENT ALSO HAS LIQUIDTY AND NO PENALTY CHARGES FOR WITHDRAWL. COMPLAINT WAS UNFOUNDED. SETTLEMENT OF VERBAL COMPLAINT.

Disclosure 2 of 3

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	BANC OF AMERICA INVESTMENT SERVICES, INC.
Allegations:	CLIENT VERBALLY ALLEGED THAT FINANCIAL ADVISOR, DALE CAMILLO, TOLD CLIENT THE OPPENHEIMER ROCHESTER NATIONAL MUNI FUND CLASS A (ORNAX), PURCHASED SUBSEQUENTLY ON FEBRUARY 9, 2007 AND JUNE 21, 2007, WOULD NOT LOSE VALUE. COMPENSATORY DAMAGES UNSPECIFIED.
Product Type:	Other
Other Product Type(s):	MUTUAL FUND - OPENED END

Alleged Damages: $0.00

Customer Complaint Information

Date Complaint Received: 01/10/2008

Complaint Pending? No

Status: Settled

Status Date: 01/10/2008

Settlement Amount: $14,205.46

Individual Contribution Amount: $0.00

Firm Statement NOTE: SETTLEMENT OF VERBAL COMPLAINT.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: BANC OF AMERICA INVESTMENT SERVICES, INC.

Allegations: CLIENT VERBALLY ALLEGED THAT FINANCIAL ADVISOR, DALE CAMILLO, TOLD CLIENT THE OPPENHEIMER ROCHESTER NATIONAL MUNI FUND CLASS A (ORNAX), PURCHASED SUBSEQUENTLY ON FEBRUARY 9, 2007 AND JUNE 21, 2007 WOULD NOT LOSE VALUE. COMPENSATORY DAMAGES UNSPECIFIED.

Product Type: Other

Other Product Type(s): MUTUAL FUND - OPENED END

Alleged Damages: $0.00

Customer Complaint Information

Date Complaint Received: 01/10/2008

Complaint Pending? No

Status: Settled

Status Date: 01/10/2008

Settlement Amount: $14,205.46

Individual Contribution Amount: $0.00

Broker Statement NOTE: SETTLEMENT OF VERBAL COMPLAINT
AS IN PREVIOUS COMPLAINT BY SHARON MORRIS, IT WAS UNKNOWN TO ME AND SETTLED BY PRIOR FIRM WITHOUT MY KNOWLEDGE OR OPPORTUNITY TO RESPOND. PRODUCT AT ISSUE IS A FIXED INCOME FUND INVESTED 100% IN MUNICIPAL BONDS, WHICH WERE SUITABLE FOR THE CUSTOMER. ALL RISKS WERE EXPLAINED ORALLY AND IN WRITING AND CUSTOMER ACKNOWLEDGED UNDERSTANDING OF RISKS. I SPOKE WITH CLIENT ON A CONTINUAL BASIS REGARDING PRICE MOVEMENTS AND DISTRIBUTIONS. CLIENT WAS PLEASED INITIALLY AND INVESTED ADDITIONAL FUNDS. CLIENT HAD LIQUIDITY AND NO PENALTY CHARGES FOR WITHDRAWL. COMPLAINT WAS UNFOUNDED.

Disclosure 3 of 3

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	BANC OF AMERICA INVESTMENT SERVICES, INC.
Allegations:	CUSTOMER VERBALLY ALLEGED THAT REGISTERED REPRESENTATIVE MISREPRESENTED MUTUAL FUND PURCHASED IN APRIL 2007. COMPENSATORY DAMAGES UNSPECIFIED.
Product Type:	Mutual Fund(s)
Other Product Type(s):	OPENED END
Alleged Damages:	$0.00

Customer Complaint Information

Date Complaint Received:	09/26/2007
Complaint Pending?	No
Status:	Settled
Status Date:	09/26/2007
Settlement Amount:	$17,568.20
Individual Contribution Amount:	$0.00
Firm Statement	NOTE SETTLEMENT OF VERBAL COMPLAINT.

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	BANC OF AMERICA INVESTMENT SERVICES, INC.
Allegations:	CUSTOMER VERBALLY ALLEGED THAT REGISTERED REPRESENTATIVE MISREPRESENTED MUTUAL FUND PURCHASED IN APRIL 2007. COMPENSATORY DAMAGES UNSPECIFIED.
Product Type:	Mutual Fund(s)
Alleged Damages:	$0.00

Customer Complaint Information

Date Complaint Received:	09/26/2007
Complaint Pending?	No
Status:	Settled
Status Date:	09/26/2007
Settlement Amount:	$17,568.20
Individual Contribution Amount:	$0.00
Broker Statement	NOTE SETTLEMENT OF VERBAL COMPLAINT. COMPLAINT UNKNOWN TO ME AND SETTLED BY PRIOR FIRM WITHOUT MY KNOWLEDGE OR OPPORTUNITY TO RESPOND. FIXED INCOME FUND INVESTED 100% IN MUNICIPAL BONDS, WHICH WERE SUITABLE FOR THE CUSTOMER. ALL RISKS WERE EXPLAINED ORALLY AND IN WRITING AND CUSTOMER

ACKNOWLEDGED UNDERSTANDING RISKS. CLIENT HAD LIQUIDITY AND NO PENALTY CHARGES FOR WITHDRAWL. COMPLAINT WAS UNFOUNDED.

End of Report

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