



IAPD Report

BARRY DEAN WYNN

CRD# 473736

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

BARRY DEAN WYNN (CRD# 473736)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/13/2023**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	COLONIAL TRUST ADVISORS, INC.	CRD# 110257	03/16/2009

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	THE ROBINSON-HUMPHREY COMPANY INC.	723	LOCATION	01/31/1980 - 07/01/1992
B	FROST, JOHNSON, READ & SMITH, INC.	7460	LOCATION	08/18/1977 - 02/25/1980
B	FROST, JOHNSON, READ & SMITH, INCORPORATED	335	LOCATION	04/06/1973 - 08/18/1977

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **COLONIAL TRUST ADVISORS, INC.**
Main Address: 233 SOUTH PINE STREET
SPARTANBURG, SC 29302
Firm ID#: 110257

Regulator	Registration	Status	Date
IA South Carolina	Investment Adviser Representative	Approved	03/16/2009

Branch Office Locations

COLONIAL TRUST ADVISORS, INC.
233 SOUTH PINE STREET
SPARTANBURG, SC 29302



Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 NYSE Branch Manager Examination (S12)	Series 12	05/02/1973

General Industry/Product Exams

Exam	Category	Date
 AMEX Put and Call Exam (PC)	PC	04/17/1991
 National Commodity Futures Examination (S3)	Series 3	11/29/1983
 Registered Representative Examination (S1)	Series 1	10/16/1968

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	10/24/1991
 Uniform Securities Agent State Law Examination (S63)	Series 63	08/22/1989

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/31/1980 - 07/01/1992	THE ROBINSON-HUMPHREY COMPANY INC.	CRD# 723	
B	08/18/1977 - 02/25/1980	FROST, JOHNSON, READ & SMITH, INC.	CRD# 7460	
B	04/06/1973 - 08/18/1977	FROST, JOHNSON, READ & SMITH, INCORPORATED	CRD# 335	
B	07/15/1970 - 05/10/1973	HORNBLOWER & WEEKS - HEMPHILL, NOYES INCORPORATED	CRD# 412	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2023 - Present	Colonial Trust Advisors, Inc.	Executive Vice President, Senior Financial Advisor	Y	Spartanburg, SC, United States
01/2023 - Present	Colonial Trust Company	Executive Vice President	Y	Spartanburg, SC, United States
01/1996 - 01/2023	Colonial Trust Advisors, Inc.	PRESIDENT	Y	SPARTANBURG, SC, United States
06/1991 - 01/2023	Colonial Trust Company	President	Y	Spartanburg, SC, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

BARRY WYNN IS ALSO EMPLOYED BY COLONIAL TRUST COMPANY. BOTH INSTITUTIONS ARE WHOLLY OWNED SUBSIDIARIES OF COLONIAL GROUP, INC. COLONIAL TRUST COMPANY IS REGISTERED WITH AND REGULATED BY THE SC BOARD OF FINANCIAL INSTITUTIONS AND PROVIDES CORPORATE FIDUCIARY SERVICES INCLUDING PROFESSIONAL TRUST AND ESTATE RELATED SERVICES. THE COMPANY SHARES OFFICES WITH COLONIAL Trust Advisors, Inc. AT 101 EAST WASHINGTON STREET, GREENVILLE, SC 29302. BARRY WYNN JOINED COLONIAL TRUST COMPANY IN 1992 PRIOR TO THE FORMATION OF COLONIAL ASSET MANAGEMENT IN 1996. HE HOLDS THE TITLE OF EXECUTIVE VICE PRESIDENT OF COLONIAL TRUST COMPANY AND IS INVOLVED IN THE INVESTMENT MANAGEMENT, FIDUCIARY DECISION MAKING, ADMINISTRATIVE OVERSIGHT, AND COMPLIANCE OF THE FIRM'S BUSINESS. APPROXIMATELY 25% OF HIS TIME IS SPENT ON THE BUSINESS OF COLONIAL TRUST COMPANY WITH THE REMAINING 75% BEING SPENT ON COLONIAL Trust Advisors, Inc. BUSINESS.



End of Report

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