



IAPD Report

JEFFREY NEAL VANOVER

CRD# 4813059

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JEFFREY NEAL VANOVER (CRD# 4813059)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/20/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	MOMENTUM INDEPENDENT NETWORK INC.	CRD# 17587	10/31/2025
IA	MOMENTUM INDEPENDENT NETWORK INC.	CRD# 17587	10/31/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **13** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	HILLTOP SECURITIES INC.	6220	HOUSTON, TX	07/11/2019 - 11/05/2025
IA	HILLTOP SECURITIES INC.	6220	HOUSTON, TX	07/11/2019 - 11/05/2025
B	AMERIPRISE FINANCIAL SERVICES, INC.	6363	Lufkin, TX	08/04/2004 - 07/15/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Judgment/Lien	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **13** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **MOMENTUM INDEPENDENT NETWORK INC.**
Main Address: 717 N. HARWOOD STREET
SUITE 3400
DALLAS, TX 75201
Firm ID#: 17587

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	10/31/2025
B	FINRA	General Securities Representative	Approved	10/31/2025
B	Alabama	Agent	Approved	12/10/2025
IA	Alabama	Investment Adviser Representative	Approved	12/19/2025
B	Arkansas	Agent	Approved	10/31/2025
IA	Arkansas	Investment Adviser Representative	Approved	10/31/2025
B	California	Agent	Approved	10/31/2025
IA	California	Investment Adviser Representative	Approved	11/03/2025
B	Colorado	Agent	Approved	10/31/2025
IA	Colorado	Investment Adviser Representative	Approved	10/31/2025
B	Connecticut	Agent	Approved	10/31/2025
IA	Connecticut	Investment Adviser Representative	Approved	11/03/2025
B	Florida	Agent	Approved	10/31/2025



Qualifications

Regulator	Registration	Status	Date
IA Florida	Investment Adviser Representative	Approved	11/03/2025
B Georgia	Agent	Approved	11/04/2025
IA Georgia	Investment Adviser Representative	Approved	11/04/2025
B Kansas	Agent	Approved	11/03/2025
IA Kansas	Investment Adviser Representative	Approved	11/03/2025
B Maryland	Agent	Approved	12/16/2025
IA Maryland	Investment Adviser Representative	Approved	12/16/2025
B New Mexico	Agent	Approved	05/21/2026
B South Carolina	Agent	Approved	10/31/2025
IA South Carolina	Investment Adviser Representative	Approved	10/31/2025
B Tennessee	Agent	Approved	11/03/2025
B Texas	Agent	Approved	10/31/2025
IA Texas	Investment Adviser Representative	Approved	10/31/2025

Branch Office Locations

MOMENTUM INDEPENDENT NETWORK INC.
 3417 Mercer St.
 Suites E-F-G
 Houston, TX 77027

MOMENTUM INDEPENDENT NETWORK INC.
 101 S First Street
 Suite 1
 Lufkin, TX 75901




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 1 state securities law exam.


Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	11/19/2009

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	08/03/2004

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	07/12/2004

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported 1 professional designation(s).

Certified Financial Planner

This representative holds or did hold 1 professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	07/11/2019 - 11/05/2025	HILLTOP SECURITIES INC.	CRD# 6220	HOUSTON, TX
IA	07/11/2019 - 11/05/2025	HILLTOP SECURITIES INC.	CRD# 6220	HOUSTON, TX
B	08/04/2004 - 07/15/2019	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	Lufkin, TX
IA	08/04/2004 - 07/15/2019	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	Lufkin, TX
B	08/04/2004 - 07/03/2006	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2025 - Present	MOMENTUM INDEPENDENT NETWORK INC.	FINANCIAL ADVISOR	Y	HOUSTON, TX, United States
07/2019 - 10/2025	HILLTOP SECURITIES INC.	SVP, FINANCIAL ADVISOR	Y	Dallas, TX, United States
08/2004 - 07/2019	AMERIPRISE FINANCIAL SERVICES, INC.	REGISTERED REP	Y	HOUSTON, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1] JN Vanover Interests, LLC; Investment related, Houston, TX Managing Member, Started January 2009, 20 hours per month during non-business hours, 20 Hrs/Mo during trading hours, Estate Management and Asset Protection Entity, Management company for personal Family Limited Partnership

2] OakStreet Capital Management, LLC; Investment related; Houston TX, LLC, Founder/Owner/Managing Director 10/31/2025 Start Date, 20 Hrs/Mo non-business, 160 Hrs/Mo trading hrs, OakStreet Capital Management, LLC is the management company for the financial services practice operated by Jeffrey Vanover.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

**DISCLOSURE EVENT DETAILS**

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Judgment/Lien	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: Ameriprise Financial Services, Inc.

Allegations: Claimants allege that in May 2018, their advisor recommended they invest in U.S. Silica Holdings Inc. (SLCA). They claim that due to errors and omissions, they lost total of ~\$612k in SLCA and they were also forced to sell another investment, Barclays Bank plc iPath S&P VIX Short Term Futures Index (VXX), which realized losses of more than \$173k.

Product Type: Equity Listed (Common & Preferred Stock)
Options

Alleged Damages: \$785,444.97

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 12/03/2019

Complaint Pending? No

Status: Evolved into Arbitration/CFTC reparation (the individual is a named party)

Status Date: 01/30/2020



Settlement Amount: \$0.00

Individual Contribution Amount: \$0.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA - TX

Docket/Case #: 20-00334

Date Notice/Process Served: 01/30/2020

Arbitration Pending? No

Disposition: Settled

Disposition Date: 09/15/2020

Monetary Compensation Amount: \$172,500.00

Individual Contribution Amount: \$0.00

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: Ameriprise Financial Services, Inc.

Allegations: The clients alleged their advisor provided poor advise from May 2018 to July 2019 regarding an equity security, option contracts related to that security, and another security sold to cover margin debt.

Product Type: Equity Listed (Common & Preferred Stock)
Options

Alleged Damages: \$785,444.97

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 12/09/2019

Complaint Pending? No

Status: Evolved into Arbitration/CFTC reparation (the individual is a named party)

Status Date: 01/30/2020

Settlement Amount:

Individual Contribution Amount:

Arbitration Information



Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA - TX
Docket/Case #:	20-00334
Date Notice/Process Served:	01/30/2020
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	09/25/2020
Monetary Compensation Amount:	\$172,500.00
Individual Contribution Amount:	\$0.00



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source:	Individual
Judgment/Lien Holder:	Jerry Manpearl
Judgment/Lien Amount:	\$38,163.65
Judgment/Lien Type:	Civil
Date Filed with Court:	09/16/2021
Date Individual Learned:	12/10/2021
Type of Court:	State Court
Name of Court:	Municipal Court of California, Los Angeles County
Location of Court:	Los Angeles County
Docket/Case #:	1R 8MVC 00148
Judgment/Lien Outstanding?	Yes
Broker Statement	The property that is the subject of this judgment is not owned by the representative and the representative was never served notice of the judgment. As such, the representative is evaluating options to have the judgment removed or dismissed by the court. It should be noted that efforts to obtain copies of court documents thus far have been unsuccessful.



End of Report

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