



IAPD Report

MICHAEL CLIFFORD HUTCHINSON

CRD# 4826169

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MICHAEL CLIFFORD HUTCHINSON (CRD# 4826169)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/12/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	NYLIFE SECURITIES LLC	CRD# 5167	09/26/2012
IA	EAGLE STRATEGIES LLC	CRD# 110826	10/17/2012

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **22** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	THRIVENT INVESTMENT MANAGEMENT INC.	18387	GRAND ISLAND, NE	12/12/2006 - 09/12/2012
B	THRIVENT INVESTMENT MANAGEMENT INC.	18387	GRAND ISLAND, NE	11/27/2006 - 09/12/2012

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Civil Event	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **22** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **NYLIFE SECURITIES LLC**
Main Address: 51 MADISON AVE.
ROOM 713
NEW YORK, NY 10010
Firm ID#: 5167

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	09/26/2012
B	Arizona	Agent	Approved	05/01/2013
B	Arkansas	Agent	Approved	03/07/2017
B	California	Agent	Approved	03/23/2021
B	Colorado	Agent	Approved	01/07/2013
B	Florida	Agent	Approved	06/28/2022
B	Hawaii	Agent	Approved	10/09/2024
B	Iowa	Agent	Approved	05/05/2016
B	Kansas	Agent	Approved	03/26/2013
B	Kentucky	Agent	Approved	07/17/2023
B	Michigan	Agent	Approved	06/01/2020
B	Minnesota	Agent	Approved	08/16/2021
B	Mississippi	Agent	Approved	07/09/2021



Qualifications

Regulator	Registration	Status	Date
B Missouri	Agent	Approved	05/10/2016
B Nebraska	Agent	Approved	10/05/2012
B North Carolina	Agent	Approved	01/31/2022
B Oregon	Agent	Approved	07/07/2022
B South Carolina	Agent	Approved	03/27/2018
B South Dakota	Agent	Approved	01/09/2020
B Texas	Agent	Approved	01/26/2013
B Washington	Agent	Approved	11/05/2024
B Wisconsin	Agent	Approved	02/14/2023
B Wyoming	Agent	Approved	07/26/2018

Branch Office Locations

GRAND ISLAND, NE

502 S 7TH STREET
COUNCIL BLUFFS, IA 51501

18140 Burke Street
SUITE 201
ELKHORN, NE 68022

Employment 2 of 2

Firm Name: **EAGLE STRATEGIES LLC**
 Main Address: 51 MADISON AVENUE
 12TH FLOOR
 NEW YORK, NY 10010
 Firm ID#: 110826



Qualifications

Regulator	Registration	Status	Date
IA Iowa	Investment Adviser Representative	Approved	05/13/2021
IA Nebraska	Investment Adviser Representative	Approved	10/17/2012
IA Texas	Investment Adviser Representative	Restricted Approval	03/28/2013

Branch Office Locations

EAGLE STRATEGIES LLC
822 WEST 1ST ST
GRAND ISLAND, NE 68801

EAGLE STRATEGIES LLC
502 S 7TH STREET
COUNCIL BLUFFS, IA 51501

EAGLE STRATEGIES LLC
18140 BURKE STREET
SUITE 201
ELKHORN, NE 68022



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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General Securities Representative Examination (S7)	Series 7	11/24/2006
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State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	12/08/2006
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	12/12/2006 - 09/12/2012	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	GRAND ISLAND, NE
B	11/27/2006 - 09/12/2012	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	GRAND ISLAND, NE

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2016 - Present	MASTERS FINANCIAL & INSURANCE GROUP, LLC	OWNER	Y	COUNCIL BLUFFS, IA, United States
10/2012 - Present	EAGLE STRATEGIES LLC	FINANCIAL ADVISOR	Y	OMAHA, NE, United States
09/2012 - Present	HUTCHINSON & ASSOCIATES, LLC	Owner	Y	Grand Island, NE, United States
09/2012 - Present	NEW YORK LIFE	AGENT	Y	OMAHA, NE, United States
09/2012 - Present	NYLIFE SECURITIES LLC	REG REP	Y	OMAHA, NE, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

[Insurance Brokering; Appointed with outside carriers for the purpose of brokering non-registered insurance products; investment related; start date 06/2012; 822 West 1st Street Grand Island NE 68801]

[HUTCHINSON CONSULTING; CONSULTANT; NON INVESTMENT RELATED; CEMETARY DESIGN, LAYOUT AND MEMORIAL WORK; START DATE 06/2012]

[HUTCHINSON AND ASSOCIATES LLC; THIS ENTITY HOLDS COMMERCIAL RENTAL PROPERTY (3 BUILDINGS); 822 WEST 1ST STREET, GRAND ISLAND, NE 68803; 2811 30TH AVE, KEARNEY, NE 68848; 617 3RD AVE, HOLDREGE, NE 68949; START DATE 04/2014; ROLE/TITLE: OWNER; INVESTMENT RELATED; 80 HOURS PER MONTH; 80 HOURS PER MONTH DURING SECURITIES TRADING HOURS]

[OPERATING UNDER THE DBA NAME OF MASTERS FINANCIAL & INSURANCE GROUP, LLC FOR THE PURPOSE OF SELLING NEW YORK LIFE PRODUCTS AND SERVICES AS WELL AS BROKERING NON-REGISTERED INSURANCE PRODUCTS; INVESTMENT RELATED; OWNER; COUNCIL BLUFFS, IA; START DATE: 07/2016]



Registration & Employment History



OTHER BUSINESS ACTIVITIES

[OPERATING UNDER THE DBA NAME OF HUTCHINSON & ASSOCIATES, LLC FOR THE PURPOSE OF SELLING NEW YORK LIFE PRODUCTS AND SERVICES AS WELL AS BROKERING NON-REGISTERED INSURANCE PRODUCTS; INVESTMENT RELATED; OWNER; GRAND ISLAND, NE; START DATE: 09/2012]

[Hutchinson Family Farm; Farm and Hay ground; 45326 Kilgore Road, Gibbon, Nebraska 68840; Start Date 01/2020; Role/Title: Owner; Not Investment Related; 1 hours per month; 0 hours per month during securities trading hours; own rent land]

[Combat Warriors/Wounded Warriors; Helping with a fundraiser September 19th.; 6309 L Avenue Place, Kearney , Nebraska, 68847; Start Date 09/2020; Role/Title: Volunteer; Not Investment Related; 15 hours per month; 0 hours per month during securities trading hours;Securing a band and place for the event]

[Ceadar Woods retreat, LLC; I will be renting out my cabin on a air B&B; 45326 Kilgore Rd, Gibbon, NE 68840; Start Date 12/2022; Role/Title: Owner; Investment Related; 5 hours per month; 0 hours per month during securities trading hours; collect rent]

[NYLARC Holding Company, INC; Reinsuring the life insurance I write with New York Life; 51 Madison Ave, New York, NY 10010; Start Date 01/2024; Role/Title: Shareholder; Investment Related; 1 hours per month; 1 hours per month during securities trading hours][Nebraska Sportsmen's Foundation; Educational support on Sportsmen's issues in the state of Nebraska; 1327 H Street, Lincoln, NE 68508; Start Date 07/2017; Role/Title: Advisor; Not Investment Related; 5 hours per month; 0 hours per month during securities trading hours; educating senators on issues][Spear Point Development, LLC; Buy and sell flip houses and rental properties; 822 west 1St street, Grand Island, NE 68801; Start Date 10/2024; Role/Title: Owner; Investment Related; 15 hours per month; 2 hours per month during securities trading hours; meet with contractors to do any work needed, also work with management company on renters][Wapiti Canyons LLC; This is an addition of my farming. Additional 320 acres for leasing ground for cattle; 31938 South Callahan Rd, Brady Nebraska 69123; Start Date 08/2025; Role/Title: Owner; Not Investment Related; 4 hours per month; 0 hours per month during securities trading hours; Owner/Negotiate lease. Keep fences mended]



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Civil Event	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Organization Name (if charge(s) were brought against an organization over which individual exercised control):	MY FORMER PARTNER IN GRAHAM TIRE CO. HAD CHARGED ME WITH THEFT. I WAS A CO-OWNER, AND MANAGER OF THE BUSINESS.
Court Details:	FORMAL CHARGE WAS THEFT (2399)...NO CASE # AS CHARGE WAS DISMISSED. LINCOLN POLICE OF LANCASTER CO, LINCOLN, NE. (NB055013J)
Charge Date:	08/21/1995
Charge Details:	1 CHARGE - 2399 THEFT (1)/ FELONY / COURT DISMISSED
Felony?	Yes
Current Status:	Final
Status Date:	10/06/1995
Disposition Details:	DISMISSED; 10/06/1995; SENTENCE - COURT COSTS



Civil Event

This disclosure event involves an injunction issued by a foreign or domestic court in connection with investment-related activity, a finding by a domestic or foreign court of a violation of any investment-related statute or regulation, or an action dismissed by a domestic or foreign court pursuant to a settlement agreement.

Disclosure 1 of 1

Reporting Source:	Individual
Initiated By:	THRIVENT FINANCIAL FOR LUTHERANS, AND THRIVENT INVESTMENT MANAGEMENT, INC.
Relief Sought:	Injunction Restraining Order
Date Court Action Filed:	11/06/2012
Date Notice/Process Served:	11/06/2012
Product Type:	No Product
Type of Court:	Federal Court
Name of Court:	UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEBRASKA
Location of Court:	OMAHA, NEBRASKA
Docket/Case #:	8:12CV380
Employing firm when activity occurred which led to the action:	NYLIFE SECURITIES LLC
Allegations:	THRIVENT ASSERTS TWO CAUSES OF ACTION AGAINST HUTCHINSON: (1) BREACH OF CONTRACT, AND (2) VIOLATION OF NEBRASKA'S TRADE SECRETS ACT. THRIVENT ALLEGES THAT HUTCHINSON WRONGFULLY SOLICITED THRIVENT'S CLIENTS AND SEEKS TO ENJOIN HUTCHINSON FROM (1) USING OR DISCLOSING ITS CONFIDENTIAL INFORMATION; (2) FOR A ONE-YEAR PERIOD, SOLICITING THRIVENT CLIENTS WITH WHOM HUTCHINSON HAD DIRECT CONTACT AND FOR WHOM HE HAD ACCESS TO THRIVENT'S CONFIDENTIAL BUSINESS INFORMATION DURING THE TWO YEARS BEFORE AUGUST 30, 2012; AND (3) OTHERWISE VIOLATING HIS CONTRACTUAL AGREEMENTS WITH, AND STATUTORY DUTIES OWED TO, THRIVENT.
Current Status:	Final
Resolution:	Judgment Rendered
Resolution Date:	11/06/2012
Sanctions Ordered or Relief Granted:	Injunction
Capacities 1 of 1	
Capacities Affected:	NONE
Duration:	ONE YEAR
Start Date:	11/06/2012
End Date:	09/07/2013
Broker Statement	THE UNDERLYING ISSUES ARE THE SUBJECT OF A PENDING FINRA ARBITRATION.



End of Report

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