IAPD Report

TREVOR KAMP LATTIN

CRD# 4832781

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

TREVOR KAMP LATTIN (CRD# 4832781)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/20/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	INTEGRITY ALLIANCE, LLC	CRD# 139627	11/03/2025
B	INTEGRITY ALLIANCE, LLC.	CRD# 139627	11/03/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **10** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LION STREET ADVISORS, LLC	167610	CARLSBAD, CA	11/18/2014 - 11/03/2025
B	LION STREET FINANCIAL, LLC	165828	Carlsbad, CA	11/17/2014 - 11/03/2025
IA	GRAHAM INVESTMENT MANAGEMENT, INC.	141184	SAN DIEGO, CA	06/20/2012 - 11/19/2014

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **10** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **INTEGRITY ALLIANCE, LLC**
Main Address: 4135 NW URBANDALE DR
URBANDALE, IA 50322
Firm ID#: 139627

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	11/03/2025
B	Alabama	Agent	Approved	11/12/2025
B	California	Agent	Approved	11/03/2025
IA	California	Investment Adviser Representative	Approved	11/03/2025
B	Colorado	Agent	Approved	11/03/2025
B	Florida	Agent	Approved	11/06/2025
B	Illinois	Agent	Approved	11/03/2025
B	Minnesota	Agent	Approved	12/03/2025
B	Nevada	Agent	Approved	07/21/2026
B	North Carolina	Agent	Approved	11/13/2025
B	Pennsylvania	Agent	Approved	11/18/2025
B	South Carolina	Agent	Approved	11/19/2025

Branch Office Locations

Qualifications

INTEGRITY ALLIANCE, LLC
27881 Rural Lane
Laguna Niguel, CA 92677

INTEGRITY ALLIANCE, LLC
1902 Wright Place, Suite 200
Carlsbad, CA 92008

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	09/07/2004

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	12/28/2007
B	Uniform Securities Agent State Law Examination (S63)	Series 63	09/20/2004

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/18/2014 - 11/03/2025	LION STREET ADVISORS, LLC	CRD# 167610	CARLSBAD, CA
B	11/17/2014 - 11/03/2025	LION STREET FINANCIAL, LLC	CRD# 165828	Carlsbad, CA
IA	06/20/2012 - 11/19/2014	GRAHAM INVESTMENT MANAGEMENT, INC.	CRD# 141184	SAN DIEGO, CA
B	05/30/2012 - 11/17/2014	J. ALDEN ASSOCIATES, INC.	CRD# 40002	WAYNE, PA
B	07/27/2010 - 12/03/2010	INNOVATION CAPITAL, LLC	CRD# 133551	LOS ANGELES, CA
IA	10/15/2008 - 07/27/2010	PRIVATE ASSET ADVISORS, INC.	CRD# 142322	SAN JUAN CAPISTRAN(
B	05/08/2007 - 04/27/2010	PRIVATE ASSET GROUP, INC.	CRD# 142541	COSTA MESA, CA
B	09/08/2004 - 05/09/2007	SIGMA FINANCIAL CORPORATION	CRD# 14303	WALNUT CREEK, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2025 - Present	INTEGRITY ALLIANCE, LLC.	Mass Transfer	Y	Carlsbad, CA, United States
11/2025 - Present	INTEGRITY ALLIANCE, LLC.	Mass Transfer	Y	Carlsbad, CA, United States
11/2014 - Present	EXECUTIVE BENEFIT SOLUTIONS	BUSINESS CONSULTANT	Y	CARLSBAD, CA, United States
11/2014 - Present	LION STREET, INC	OWNER	Y	AUSTIN, TX, United States
11/2014 - 11/2025	LION STREET ADVISORS	INVESTMENT ADVISOR	Y	CARLSBAD, CA, United States
11/2014 - 11/2025	LION STREET FINANCIAL	REGISTERED REPRESENTATIVE	Y	CARLSBAD, CA, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) EXECUTIVE BENEFIT SOLUTIONS
POSITION: Owner NATURE: Insurance Sales INVESTMENT RELATED: Yes NUMBER OF HOURS: 100 SECURITIES TRADING HOURS: 25 START DATE: 11/17/2014
ADDRESS: 1902 Wright Place, Suite 200, Carlsbad CA 92008, United States
DESCRIPTION: Health and life Insurance sales

2) LAST RACE RANCH
POSITION: Board Member NATURE: 510cs not for profit organization that provides new homes for retired racehorses. INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 0 START DATE: 01/08/2024
ADDRESS: 681 San Rodolfo Drive #1023, Solana Beach CA 92075, United States
DESCRIPTION: I manage the back office.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	Sigma Financial Corporation
Allegations:	The claim alleges that Sigma in 2007 failed to adequately supervise its representatives and failed to perform adequate due diligence on the TIC investment.
Product Type:	Other: Tenant-In-Common - TIC
Alleged Damages:	$1,600,000.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA West Regional Office
Docket/Case #:	16-012229
Filing date of arbitration/CFTC reparation or civil litigation:	04/29/2016

Customer Complaint Information

Date Complaint Received:	06/06/2016
Complaint Pending?	No

Status:	Settled
Status Date:	09/06/2017
Settlement Amount:	$375,000.00
Individual Contribution Amount:	$0.00
Firm Statement	This is a claim that involves 20 clients, 10 former reps and 2 registered reps who are the subject of the claim. The claim settled for $375,000 and this client dismissed their claim.

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Sigma Financial Corporation
Allegations:	The claim alleges that Sigma in 2007 failed to adequately supervise its representatives and failed to perform adequate due diligence on the TIC investment.
Product Type:	Other: TenantInCommon TIC
Alleged Damages:	$1,600,000.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA West Regional Office
Docket/Case #:	16012229
Filing date of arbitration/CFTC reparation or civil litigation:	04/29/2016

Customer Complaint Information

Date Complaint Received:	06/20/2016
Complaint Pending?	No
Status:	Settled
Status Date:	09/06/2017
Settlement Amount:	$375,000.00
Individual Contribution Amount:	$0.00
Broker Statement	Allegations and final settlement was with Sigma and not Trevor Lattin. Trevor did not contribute to the monetary compensation.

Disclosure 2 of 2

Reporting Source:	Firm

Employing firm when activities occurred which led to the complaint:	SIGMA FINANCIAL CORPORATION
Allegations:	ARBITRATION STATEMENT OF CLAIM ALLEGED MISREPRESENTATION; BREACH OF FIDUCIARY DUTY; NEGLIGENCE IN CONNECTION WITH THE TIC INVESTMENTS PURCHASED IN 2005.
Product Type:	Other: TENANT-IN-COMMON (TIC)
Alleged Damages:	$950,076.36
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA WEST REGIONAL OFFICE
Docket/Case #:	15-00189
Filing date of arbitration/CFTC reparation or civil litigation:	01/16/2015

Customer Complaint Information

Date Complaint Received:	03/30/2015
Complaint Pending?	No
Status:	Settled
Status Date:	12/13/2016
Settlement Amount:	$160,000.00
Individual Contribution Amount:	$0.00

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	SIGMA FINANCIAL CORPORATION
Allegations:	ARBITRATION STATEMENT OF CLAIM ALLEGED MISREPRESENTATION; BREACH OF FIDUCIARY DUTY; NEGLIGENCE IN CONNECTION WITH THE TIC INVESTMENTS PURCHASED IN 2005.
Product Type:	Other: TENANT-IN-COMMON (TIC)
Alleged Damages:	$950,076.36
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA WEST REGIONAL OFFICE

Docket/Case #:	15-00189
Filing date of arbitration/CFTC reparation or civil litigation:	01/16/2015

Customer Complaint Information

Date Complaint Received:	03/31/2015
Complaint Pending?	No
Status:	Settled
Status Date:	12/13/2016
Settlement Amount:	$160,000.00
Individual Contribution Amount:	$0.00
Broker Statement	Trevor Lattin was not named in this arbitration. Allegations and final settlement was with Sigma and not Trevor Lattin. Trevor did not contribute to the monetary compensation.

End of Report

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