



IAPD Report

Marie Agnes Green

CRD# 4853771

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Marie Agnes Green (CRD# 4853771)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **09/18/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EDWARD JONES	CRD# 250	11/10/2004
IA	EDWARD JONES	CRD# 250	06/08/2021

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **27** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **27** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EDWARD JONES**
Main Address: 12555 MANCHESTER RD
ST. LOUIS, MO 63131
Firm ID#: 250

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	11/10/2004
B NYSE American LLC	General Securities Representative	Approved	09/14/2011
B Nasdaq Stock Market	General Securities Representative	Approved	07/12/2006
B New York Stock Exchange	General Securities Representative	Approved	01/03/2005
B Arizona	Agent	Approved	09/10/2020
B California	Agent	Approved	04/02/2007
B Colorado	Agent	Approved	12/02/2020
B Connecticut	Agent	Approved	01/11/2005
B Delaware	Agent	Approved	06/19/2020
B District of Columbia	Agent	Approved	01/04/2019
B Florida	Agent	Approved	10/28/2009
B Georgia	Agent	Approved	12/08/2016
B Indiana	Agent	Approved	05/25/2016



Qualifications

	Regulator	Registration	Status	Date
B	Maine	Agent	Approved	05/16/2023
B	Maryland	Agent	Approved	07/21/2016
B	Massachusetts	Agent	Approved	09/28/2020
B	Michigan	Agent	Approved	09/18/2025
B	Missouri	Agent	Approved	03/20/2023
B	New Hampshire	Agent	Approved	04/15/2010
B	New Jersey	Agent	Approved	01/11/2005
B	New Mexico	Agent	Approved	04/15/2011
B	New York	Agent	Approved	12/01/2004
IA	New York	Investment Adviser Representative	Approved	06/08/2021
B	North Carolina	Agent	Approved	08/09/2016
B	Pennsylvania	Agent	Approved	08/24/2012
B	Rhode Island	Agent	Approved	03/14/2018
B	South Carolina	Agent	Approved	01/05/2017
B	Tennessee	Agent	Approved	01/11/2021
B	Texas	Agent	Approved	10/05/2023
IA	Texas	Investment Adviser Representative	Restricted Approval	10/09/2023
B	Vermont	Agent	Approved	07/19/2022



Qualifications

Regulator	Registration	Status	Date
B Virginia	Agent	Approved	03/31/2023
B Washington	Agent	Approved	06/10/2016

Branch Office Locations

EDWARD JONES
3565 CROMPOND RD STE 6
PARKSIDE CORNER PLAZA
CORTLANDT MANOR, NY 10567



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams


Exam	Category	Date
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No information reported.

General Industry/Product Exams


Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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 General Securities Representative Examination (S7)	Series 7	11/08/2004
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State Securities Law Exams

Exam	Category	Date
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 Uniform Securities Agent State Law Examination (S63)	Series 63	11/11/2004
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2004 - Present	EDWARD JONES	INVESTMENT REP	Y	ST. LOUIS, MO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

INSIGHTS TO ACTION, INC
OWNER/OPERATOR

2427 MAPLE AVE, CORTLANDT MANOR, NY 10567

STARTED: 03/1999

1 HR WORKED/WEEK/NONE DURING TRADING HRS

I MAY BE ASKED ADVICE HOW TO PRESENT AND SELL A PATENT PENDING CONCEPT. I CAN MAKE 50% OF WHATEVER PROFITS COME FROM THE INVENTION. OTHERWISE, I EXPECT INSIGHTS TO ACTION, INC. TO BE DORMANT.

THE NEW JERSEY PRIDE OF MAJOR LEAGUE LACROSSE - MY HUSBAND AND I ARE 10% OWNERS IN A PROFESSIONAL OUTDOOR LACROSSE TEAM THAT PLAYS IN NJ. I AM ASKED TO VOTE ON BUDGET APPROPRIATIONS, HIRING DECISIONS, AND OFTEN ASKED FOR MARKETING AND SALES ADVICE.

THIS TAKES NO MORE THAN 1 HOUR/WEEK IN THE NON-SEASON. SUMMERTIME, THERE ARE 5-7 GAMES THAT I WILL GO TO AS BOTH FAN AND AS PART-OWNER, AND MAY HELP WHEN THERE.

THIS IS NOT INVESTMENT RELATED, BUT IS IN THE SPORTS & ENTERTAINMENT FIELD.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: EDWARD JONES

Allegations: CLIENT STATES SHE HAD VERY LITTLE EXPERIENCE WITH MUTUAL FUNDS AND HAD ZERO RISK TOLERANCE. CLIENT INDICATES SHE INFORMED THE FA THAT SHE WANTED TO PRESERVE HER PRINCIPAL. CLIENT STATES AFTER A DISCUSSION WITH THE FA, SHE DEPOSITED \$250,000 AND THE AMERICAN FUNDS WERE DISCUSSED AND RECOMMENDED. CLIENT INDICATES THE FA INFORMED HER THERE MAY BE MINOR FLUCTUATIONS AND SHE WAS ABLE TO RECEIVE A BREAKPOINT OF 2.5% ON HER COMMISSION. CLIENT STATES SHORTLY AFTER HER PURCHASE, THE VALUE OF THE FUNDS WERE \$235,500 AND SHE MADE THE DECISION TO SELL THE FUNDS. CLIENT IS REQUESTING EJ TO RESTORE HER PRINCIPAL TO THE ORIGINAL AMOUNT INVESTED.

Product Type: Mutual Fund(s)

Alleged Damages: \$14,500.00

Customer Complaint Information

Date Complaint Received: 06/16/2008

Complaint Pending? No

Status: Denied

Status Date: 07/15/2008

Settlement Amount:

**Individual Contribution
Amount:****Broker Statement**

ACCORDING TO FA, CLIENT STATED SHE NEEDED TO INVEST FOR GROWTH IN ADDITION TO CERTIFICATE OF DEPOSITS SHE HELD. WHEN CLIENT MET WITH FA, SHE INDICATED AT NO TIME DID CLIENT INFORM HER SHE WANTED TO PRESERVE HER PRINCIPAL INVESTMENT. IT IS OUR UNDERSTANDING FA REVIEWED THE RISK OF MARKET FLUCTUATION AND RECOMMENDED VARIOUS AMERICAN FUNDS MUTUAL FUNDS TO MEET CLIENT'S INVESTMENT GOALS. FA STATED SHE REVIEWED IN DETAIL THE ICA MOUNTAIN CHART, WHICH REFLECTS THE UPS AND DOWNS OF THE STOCK MARKET. IN ADDITION, FA STATED SHE REVIEWED THE RETURNS FOR EACH OF THE MUTUAL FUNDS SHE WAS RECOMMENDING AND ALSO PROVIDED A FACT SHEET (IF AVAILABLE) AND PROSPECTUS FOR EACH MUTUAL FUND. FA INDICATED ON 5/23/08 SHE CONFIRMED CLIENT'S DECISION TO INVEST IN THE AMERICAN FUNDS AND DURING THIS TELEPHONE CALL. IT IS OUR UNDERSTANDING CLIENT SPOKE WITH FA APPROXIMATELY TWO WEEKS AFTER THE MUTUAL FUND PURCHASES AND CLIENT INFORMED FA SHE WAS WATCHING THE MUTUAL FUNDS ONLINE EVERYDAY AND CONCERNED ABOUT THE PERFORMANCE. FA SUGGESTED CLIENT ATTEND THE WOMEN AND INVESTING SEMINAR AND FOCUS ON HER LONG TERM INVESTMENT HORIZON. FA INDICATED SHE RECEIVED AN EMAIL (THROUGH OUR FIRM'S WEBSITE) THE FOLLOWING DAY AND CLIENT INFORMED THE FA THAT SHE SAW A GOOD DAY AND WERE HOPING FOR MORE GOOD DAYS. WHILE OUR RECORDS REFLECT CLIENT WAS TO COME INTO FA'S BRANCH FOR A PORTFOLIO REVIEW ON THE 10TH OF JUNE, THIS APPOINTMENT WAS CANCELLED BY THE CLIENT AND CLIENT CONTACTED FA ON THE 11TH TO SELL THE MUTUAL FUNDS. WHILE WE UNDERSTAND CLIENT'S DISAPPOINTMENT WITH THE PERFORMANCE OF THE MUTUAL FUNDS, THE DECLINE IS THE RESULT OF MARKET FLUCTUATION AND SHORT TERM HOLDING OF THE MUTUAL FUNDS. AS A RESULT OF OUR INVESTIGATION, WE RESPECTFULLY DENY CLIENT'S REQUEST TO RESTORE HER ACCOUNT TO THE ORIGINAL \$250,000.00 INVESTED IN THE AMERICAN FUNDS.



End of Report

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