



IAPD Report

Joseph G Doniger

CRD# 4875570

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Joseph G Doniger (CRD# 4875570)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/01/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	NYLIFE SECURITIES LLC	CRD# 5167	03/20/2026
IA	EAGLE STRATEGIES LLC	CRD# 110826	07/01/2026

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	WASHINGTON, DC	06/23/2020 - 07/25/2024
B	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	WASHINGTON, DC	06/17/2020 - 07/25/2024
IA	BROKERS FINANCIAL	139627	Rockville, MD	06/12/2019 - 12/31/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with 2 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **EAGLE STRATEGIES LLC**

Main Address: 51 MADISON AVENUE
12TH FLOOR
NEW YORK, NY 10010

Firm ID#: 110826

	Regulator	Registration	Status	Date
IA	Maryland	Investment Adviser Representative	Approved	07/01/2026
IA	Virginia	Investment Adviser Representative	Approved	07/02/2026

Branch Office Locations

EAGLE STRATEGIES LLC

8075 LEESBURG PIKE
SUITE 400
VIENNA, VA 22182

Employment 2 of 2

Firm Name: **NYLIFE SECURITIES LLC**

Main Address: 51 MADISON AVE.
ROOM 713
NEW YORK, NY 10010

Firm ID#: 5167

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	03/20/2026
B	FINRA	Invest. Co and Variable Contracts	Approved	03/20/2026
B	Maryland	Agent	Approved	04/03/2026
B	Virginia	Agent	Approved	04/07/2026



Qualifications

Branch Office Locations

8075 LEESBURG PIKE
SUITE 400
VIENNA, VA 22182



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	07/17/2017
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	02/08/2007

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	07/05/2008
B Uniform Securities Agent State Law Examination (S63)	Series 63	10/25/2007



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	06/23/2020 - 07/25/2024	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	WASHINGTON, DC
B	06/17/2020 - 07/25/2024	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	WASHINGTON, DC
IA	06/12/2019 - 12/31/2019	BROKERS FINANCIAL	CRD# 139627	Rockville, MD
B	06/11/2019 - 12/31/2019	BROKERS INTERNATIONAL FINANCIAL SERVICES, LLC.	CRD# 139627	Rockville, MD
IA	02/21/2019 - 04/16/2019	PRUDENTIAL FINANCIAL PLANNING SERVICES	CRD# 5685	Bethesda, MD
B	01/31/2019 - 04/16/2019	PRUCO SECURITIES, LLC.	CRD# 5685	Bethesda, MD
IA	07/24/2017 - 01/25/2019	SUNTRUST ADVISORY SERVICES, INC.	CRD# 283390	ROCKVILLE, MD
B	06/07/2017 - 01/25/2019	SUNTRUST INVESTMENT SERVICES, INC.	CRD# 17499	WASHINGTON, DC
IA	10/03/2014 - 05/09/2017	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	POTOMAC, MD
B	10/02/2014 - 05/09/2017	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	POTOMAC, MD
B	04/16/2014 - 09/18/2014	MUTUAL OF OMAHA INVESTOR SERVICES, INC.	CRD# 611	BETHESDA, MD
IA	05/28/2013 - 08/28/2013	SIGNATOR INVESTORS, INC.	CRD# 468	VIENNA, VA
B	05/02/2013 - 08/28/2013	SIGNATOR INVESTORS, INC.	CRD# 468	VIENNA, VA
IA	10/20/2011 - 08/01/2012	ALLIED BEACON WEALTH MANAGEMENT, LLC	CRD# 134922	SCHAUMBURG, IL
B	10/10/2011 - 08/01/2012	ALLIED BEACON PARTNERS, INC.	CRD# 46227	KATY, TX
B	09/25/2008 - 11/20/2009	MML INVESTORS SERVICES, INC.	CRD# 10409	BETHESDA, MD



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/25/2008 - 11/20/2009	MML INVESTORS SERVICES, INC.	CRD# 10409	BETHESDA, MD
IA	07/11/2008 - 08/13/2008	METLIFE SECURITIES INC.	CRD# 14251	BALTIMORE, MD
B	07/02/2007 - 08/13/2008	METLIFE SECURITIES INC.	CRD# 14251	BALTIMORE, MD
B	02/09/2007 - 06/18/2007	SIGNATOR INVESTORS, INC.	CRD# 468	PLANTATION, FL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2026 - Present	Eagle Strategies LLC	IAR	Y	VIENNA, VA, United States
03/2026 - Present	NYLIFE Securities LLC	Registered Representative	Y	Vienna, VA, United States
02/2026 - Present	New York Life Insurance Company	Agent	Y	Vienna, VA, United States
08/2024 - 01/2026	Unemployed	Unemployed	N	Gaithersburg, MD, United States
07/2020 - 07/2024	BANK OF AMERICA, N.A.	Financial Advisor - FADP	Y	Washington, DC, United States
04/2020 - 07/2024	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	Financial Advisor Trainee	Y	Washington, DC, United States
11/2019 - 03/2020	MNCPPC	Manager	Y	Rockville, MD, United States
06/2019 - 12/2019	Brokers International Financial Services	Registered Rep	Y	Urbandale, IA, United States
02/2019 - 04/2019	The Prudential Insurance Company of America	Financial Professional	N	Bethesda, MD, United States
01/2019 - 04/2019	Pruco Securities LLC	Registered Representative	Y	Bethesda, MD, United States
07/2017 - 01/2019	SUNTRUST ADVISORY SERVICES, INC	ADVISORY	Y	ATLANTA, GA, United States
06/2017 - 01/2019	SUNTRUST INVESTMENT SERVICES, INC.	FINANCIAL ADVISOR ASSOCIATE	Y	ATLANTA, GA, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2016 - 06/2017	WELLS FARGO CLEARING SERVICES, LLC	REGISTERED REP	Y	POTOMAC, MD, United States
09/2014 - 06/2017	WELLS FARGO ADVISORS, LLC	REGISTERED REP	Y	POTOMAC, MD, United States
07/2014 - 06/2017	WELLS FARGO BANK, NA	PERSONAL BANKER	Y	POTOMAC, MD, United States



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

[Knights of Columbus Council No. 2797; A 3rd-year trustee in the Knights of Columbus is a senior, member of the council's Board of Trustees. They are responsible for overseeing council finances, auditing the financial secretary and treasurer, and actively helping with membership retention; 5417 West Cedar Lane, Bethesda, MD 20814; Start Date 07/2026; Role/Title: Board Member; Not Investment Related; 4 hours per month; 0 hours per month during securities trading hours; Audit and Oversight: Trustees conduct the semiannual audits (due by February 15th and August 15th) to verify the council's funds, checking that all bills are properly paid and recorded. Retention Committee: Along with the 1-year and 2-year trustees and the Deputy Grand Knight, the 3rd-year trustee serves on the council's retention committee to prevent members from falling behind on dues or dropping out. Mentorship: As a senior and often a Past Grand Knight, this trustee acts as an experienced advisor to the current Grand Knight and council leadership.]



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: METLIFE

Allegations: CUSTOMER ALLEGES WHEN SHE OPENED THE BROKERAGE ACCOUNT IN SEPTEMBER 2007 SHE AGREED TO HAVE THE MAJORITY OF FUNDS IN A FIXED ACCOUNT HOWEVER THE REPRESENTATIVE PLACED THE LARGER PERCENTAGE INTO A MUTUAL FUND. NO SPECIFIC COMPENSATORY DAMAGES WERE ALLEGED.

Product Type: Mutual Fund(s)

Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 11/07/2008

Complaint Pending? No

Status: Denied

Status Date: 12/03/2008

Settlement Amount:

Individual Contribution Amount:

Reporting Source: Individual



Employing firm when activities occurred which led to the complaint:

METLIFE

Allegations:

CUSTOMER ALLEGES WHEN SHE OPENED THE BROKERAGE ACCOUNT IN SEPTEMBER 2007 SHE AGREED TO HAVE THE MAJORITY OF FUNDS IN A FIXED ACCOUNT HOWEVER THE REPRESENTATIVE PLACED THE LARGER PERCENTAGE INTO A MUTUAL FUND. NO SPECIFIC COMPENSATORY DAMAGES WERE ALLEGED.

Product Type:

Mutual Fund

Alleged Damages:

\$0.00

Is this an oral complaint?

No

Is this a written complaint?

Yes

Is this an arbitration/CFTC reparation or civil litigation?

No

Customer Complaint Information

Date Complaint Received:

11/07/2008

Complaint Pending?

No

Status:

Denied

Status Date:

12/03/2008

Settlement Amount:

Individual Contribution Amount:



End of Report

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