



IAPD Report

Jonathan Edward Eitas

CRD# 4876310

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Jonathan Edward Eitas (CRD# 4876310)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/29/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CETERA WEALTH SERVICES, LLC	CRD# 13572	03/10/2026
IA	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	03/19/2026

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **16** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	KOVACK ADVISORS, INC.	140808	Plymouth, MA	01/22/2021 - 03/13/2026
B	KOVACK SECURITIES INC.	44848	Plymouth, MA	01/06/2021 - 03/13/2026
B	FRANKLIN TEMPLETON FINANCIAL SERVICES CORP.	13594	SAN MATEO, CA	07/15/2019 - 10/13/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **16** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**
Main Address: 2301 ROSECRANS AVE #5100
EL SEGUNDO, CA 90245
Firm ID#: 13572

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	03/10/2026
B FINRA	General Securities Representative	Approved	03/10/2026
B FINRA	Invest. Co and Variable Contracts	Approved	03/10/2026
B Arizona	Agent	Approved	04/03/2026
B California	Agent	Approved	03/20/2026
B Florida	Agent	Approved	03/11/2026
B Georgia	Agent	Approved	03/11/2026
B Maine	Agent	Approved	03/16/2026
B Maryland	Agent	Approved	03/23/2026
B Massachusetts	Agent	Approved	03/11/2026
B New Hampshire	Agent	Approved	03/17/2026
B New Jersey	Agent	Approved	03/13/2026
B New York	Agent	Approved	03/11/2026



Qualifications

Regulator	Registration	Status	Date
B Ohio	Agent	Approved	03/20/2026
B South Carolina	Agent	Approved	04/15/2026
B Tennessee	Agent	Approved	03/11/2026
B Vermont	Agent	Approved	03/13/2026
B Virginia	Agent	Approved	04/14/2026
B Washington	Agent	Approved	03/16/2026

Branch Office Locations

CETERA ADVISOR NETWORKS LLC
 85 SAMOSET STREET #34
 Plymouth, MA 02360

Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**
 Main Address: 1450 AMERICAN LANE
 6TH FLOOR, SUITE 650
 SCHAUMBURG, IL 60173-2096
 Firm ID#: 105644

Regulator	Registration	Status	Date
IA Massachusetts	Investment Adviser Representative	Approved	03/19/2026

Branch Office Locations

CETERA INVESTMENT ADVISERS LLC
 85 Samoset St Ste 34
 Plymouth, MA 02360




Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	12/06/2011

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	05/24/2011
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	01/31/2005

State Securities Law Exams

	Exam	Category	Date
	 Uniform Combined State Law Examination (S66)	Series 66	10/01/2012
	Uniform Securities Agent State Law Examination (S63)	Series 63	07/20/2005

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/22/2021 - 03/13/2026	KOVACK ADVISORS, INC.	CRD# 140808	Plymouth, MA
B	01/06/2021 - 03/13/2026	KOVACK SECURITIES INC.	CRD# 44848	Plymouth, MA
B	07/15/2019 - 10/13/2020	FRANKLIN TEMPLETON FINANCIAL SERVICES CORP.	CRD# 13594	SAN MATEO, CA
B	02/21/2018 - 10/13/2020	FRANKLIN/TEMPLETON DISTRIBUTORS, INC.	CRD# 332	SAN MATEO, CA
B	09/22/2015 - 08/15/2017	GNV ADVISORS, LLC	CRD# 147286	Chicago, IL
IA	07/10/2015 - 08/15/2017	GENEVA ADVISORS, LLC	CRD# 121629	CHICAGO, IL
IA	11/16/2012 - 06/26/2015	MANULIFE ASSET MANAGEMENT (US) LLC	CRD# 106435	BOSTON, MA
B	07/27/2005 - 06/26/2015	JOHN HANCOCK FUNDS, LLC	CRD# 28262	BOSTON, MA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2026 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
03/2026 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	EL SEGUNDO, CA, United States
01/2021 - Present	BAKER & EITAS FINANCIAL SERVICES	MANAGING PARTNER	Y	Plymouth, MA, United States
01/2021 - 02/2026	Kovack Advisors, LLC	Investment Advisor Representative	Y	Fort Lauderdale, FL, United States
01/2021 - 02/2026	Kovack Securities, LLC	Registered Representative	Y	Fort Lauderdale, FL, United States
07/2019 - 10/2020	FRANKLIN TEMPLETON FINANCIAL SERVICES CORP.	REIGISTERED REPRESENTATIVE	Y	SAN MATEO, CA, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2018 - 10/2020	FRANKLIN TEMPLETON DISTRIBUTORS, INC	Sales Executive	Y	SAN MATEO, CA, United States
09/2017 - 02/2018	Unemployed	Unemployed	N	MARSHFIELD, MA, United States
07/2015 - 08/2017	GENEVA ADVISORS, LLC	NORTHEAST INSTITUTIONAL WHOLESALER	Y	CHICAGO, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. NAME OF OTHER BUSINESS: BAKER & EITAS FINANCIAL SERVICES

INVESTMENT RELATED: YES

ADDRESS: SAME AS REGISTERED LOCATION

NATURE OF BUSINESS: DBA FOR FINANCIAL SERVICES

START DATE: 01/2021

POSITION/TITLE/RELATIONSHIP: MANAGING PARTNER

APX NUMBER OF HOURS PER WEEK: 50

APX NUMBER OF HOURS DURING TRADING HOURS: 6.5

BRIEF DESCRIPTION OF DUTIES: WEALTH MANAGER;

2. NAME OF OTHER BUSINESS: FIXED INSURANCE WITH VARIOUS COMPANIES;

INVESTMENT RELATED: YES;

ADDRESS: SAME AS REGISTERED LOCATION;

NATURE OF BUSINESS: FIXED INSURANCE;

START DATE: 1/15/2021;

APX NUMBER OF HOURS PER WEEK: 1;

APX NUMBER OF HOURS DURING TRADING HOURS: 0;

POSITION/TITLE/RELATIONSHIP: INSURANCE AGENT;

BRIEF DESCRIPTION OF DUTIES: SALES OF FIXED INSURANCE PRODUCTS;



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Criminal	1
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Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
If charge(s) were brought against an organization over which individual exercised control:	
Organization Name:	
Investment Related Business:	No
Position:	
Formal Charges were brought in:	State Court
Name of Court:	Brighton Courthouse
Location of Court:	Brighton Massachusetts
Docket/Case #:	0008CR001518
Charge Date:	12/11/2000
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Felony charge was receiving stolen motor vehicle.
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	N/A - reduced to misdemeanor
Disposition of charge:	Reduced
Date of Amended Charge:	01/18/2001



Charge was Amended or reduced to:	1/18/2001 - Charge was amended to a misdemeanor stolen property
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	Pled guilty to misdemeanor charge
Disposition of Amended Charge:	Pled guilty
Current Status:	Final
Status Date:	01/18/2002
Disposition Date:	01/18/2002
Sentence/Penalty:	1/18/2001 Charge was amended to a misdemeanor stolen property, Pled Guilty, Received 1 Year Probation. On 1/18/2002 case was dismissed on recommendation of Probation Department



End of Report

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