IAPD Report

Zulfiqar Ali Naqvi

CRD# 4891735

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

Zulfiqar Ali Naqvi (CRD# 4891735)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/17/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	PNC WEALTH MANAGEMENT	CRD# 129052	05/01/2024
B	PNC WEALTH MANAGEMENT LLC	CRD# 129052	05/01/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **8** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	EDWARD JONES	250	FREEPORT, IL	01/06/2023 - 04/15/2024
IA	EDWARD JONES	250	FREEPORT, IL	01/06/2023 - 04/15/2024
B	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	PENSACOLA, FL	08/26/2020 - 11/29/2022

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **8** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **PNC WEALTH MANAGEMENT**

Main Address: 300 FIFTH AVENUE
26TH FLOOR
PITTSBURGH, PA 15222-2722

Firm ID#: 129052

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	05/01/2024
B	Arkansas	Agent	Approved	02/27/2025
B	Illinois	Agent	Approved	05/01/2024
IA	Illinois	Investment Adviser Representative	Approved	05/01/2024
B	Maryland	Agent	Approved	09/16/2025
B	Michigan	Agent	Approved	11/29/2024
B	North Carolina	Agent	Approved	10/27/2025
B	Texas	Agent	Approved	08/01/2024
B	Virginia	Agent	Approved	08/01/2024
B	Wisconsin	Agent	Approved	02/04/2025

Branch Office Locations

PNC WEALTH MANAGEMENT
5411 Harrison Avenue
Rockford, IL 61108

PNC WEALTH MANAGEMENT
5817 N. Second Street
Loves Park, IL 61111

PNC WEALTH MANAGEMENT

Qualifications

130 S. State Street
Belvidere, IL 61008

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	01/15/2019
B	Securities Industry Essentials Examination (SIE)	SIE	11/17/2018
B	Futures Managed Funds Examination (S31)	Series 31	09/13/2007
B	General Securities Representative Examination (S7)	Series 7	07/25/2007
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	12/28/2004

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	09/25/2019
B	Uniform Securities Agent State Law Examination (S63)	Series 63	01/29/2019

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/06/2023 - 04/15/2024	EDWARD JONES	CRD# 250	FREEPORT, IL
IA	01/06/2023 - 04/15/2024	EDWARD JONES	CRD# 250	FREEPORT, IL
B	08/26/2020 - 11/29/2022	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	PENSACOLA, FL
IA	08/26/2020 - 11/29/2022	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	PENSACOLA, FL
B	02/26/2020 - 07/17/2020	ONEAMERICA SECURITIES, INC.	CRD# 4173	Lisle, IL
IA	02/26/2020 - 07/17/2020	ONEAMERICA SECURITIES, INC.	CRD# 4173	Lisle, IL
B	01/15/2019 - 07/26/2019	NYLIFE SECURITIES LLC	CRD# 5167	DOWNERS GROVE, IL
B	03/31/2008 - 08/12/2008	EDWARD JONES	CRD# 250	PLAINFIELD, IL
IA	03/31/2008 - 08/12/2008	EDWARD JONES	CRD# 250	PLAINFIELD, IL
IA	08/16/2007 - 02/12/2008	MORGAN STANLEY & CO., INCORPORATED	CRD# 8209	LISLE, IL
B	07/26/2007 - 02/12/2008	MORGAN STANLEY & CO., INCORPORATED	CRD# 8209	LISLE, IL
B	04/29/2005 - 04/16/2007	CITICORP INVESTMENT SERVICES	CRD# 23988	GLEN ELLYN, IL
B	01/01/2005 - 04/14/2005	BANC ONE SECURITIES CORPORATION	CRD# 16999	CHICAGO, IL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2024 - Present	PNC INVESTMENTS	INVESTMENT ADVISOR	Y	ROCKFORD, IL, United States
01/2023 - 04/2024	Edward Jones	Financial Advisor	Y	St Louis, MO, United States
08/2020 - 11/2022	BANK OF AMERICA, N.A.	Financial Advisor - FADP	Y	Lisle, IL, United States

Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2020 - 11/2022	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	Financial Advisor - FADP	Y	Lisle, IL, United States
02/2020 - 06/2020	OneAmerica Securities	Registered Rep	Y	Lisle, IL, United States
07/2019 - 06/2020	American United Life	Agent	Y	Lisle, IL, United States
07/2019 - 06/2020	Midwest Legacy	Paraplanner	N	Warrenville, IL, United States
11/2018 - 07/2019	NYLIFE Securities LLC	Reg Rep	Y	Downers Grove, IL, United States
06/2018 - 07/2019	New York Life Insurance Company	Agent	Y	Downers Grove, IL, United States
02/2008 - 10/2018	Nova Taxi Affiliation	Driver	N	Chicago, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

11/18/2021 / Owner-Landlord/ Rental Properties / Non-investment related / Hours during trading hours: 0
August 2026/ Three Angels Daycare, LLC. /Member/ non investment related/ 0 hours during trading hours.

End of Report

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