



IAPD Report

ERIC JASON HOFFART

CRD# 4903650

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ERIC JASON HOFFART (CRD# 4903650)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/17/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	08/09/2017
IA	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	CRD# 149018	08/10/2017

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **24** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LORD, ABBETT & CO. LLC	110391	SACRAMENTO, CA	11/02/2011 - 07/12/2017
B	LORD ABBETT DISTRIBUTOR LLC	530	JERSEY CITY, NJ	01/12/2011 - 07/12/2017
B	TRANSAMERICA CAPITAL, INC.	8217	DENVER, CO	11/21/2005 - 11/02/2010

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **24** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES, INC.**
Main Address: 880 CARILLON PARKWAY
ST. PETERSBURG, FL 33716
Firm ID#: 6694

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	08/09/2017
B FINRA	Invest. Co and Variable Contracts	Approved	08/09/2017
B Arizona	Agent	Approved	10/07/2024
B California	Agent	Approved	08/09/2017
B Colorado	Agent	Approved	08/09/2017
B Connecticut	Agent	Approved	01/23/2026
B Florida	Agent	Approved	05/04/2026
B Georgia	Agent	Approved	02/27/2026
B Idaho	Agent	Approved	03/02/2026
B Illinois	Agent	Approved	04/06/2026
B Kansas	Agent	Approved	02/13/2026
B Kentucky	Agent	Approved	10/26/2022
B Michigan	Agent	Approved	04/17/2026



Qualifications

Regulator	Registration	Status	Date
B Missouri	Agent	Approved	03/18/2026
B Montana	Agent	Approved	02/15/2019
B Nevada	Agent	Approved	10/16/2020
B New Jersey	Agent	Approved	02/04/2026
B New Mexico	Agent	Approved	01/23/2026
B New York	Agent	Approved	01/22/2026
B North Carolina	Agent	Approved	02/03/2025
B Oregon	Agent	Approved	10/20/2022
B South Carolina	Agent	Approved	02/23/2026
B Tennessee	Agent	Approved	01/21/2026
B Texas	Agent	Approved	08/03/2022
B Utah	Agent	Approved	04/01/2025
B Washington	Agent	Approved	01/25/2024

Branch Office Locations

RAYMOND JAMES FINANCIAL SERVICES
 1300 HARBOR BLVD.
 W. SACRAMENTO, CA 95691

RAYMOND JAMES FINANCIAL SERVICES
 1375 EXPOSITION BLVD
 SUITE 101
 SCARAMENTO, CA 95815

RAYMOND JAMES FINANCIAL SERVICES
 2270 DOUGLAS BLVD.
 SUITE 100
 ROSEVILLE, CA 95661

RAYMOND JAMES FINANCIAL SERVICES
 11 WEST COURT STREET
 WOODLAND, CA 95695

Employment 2 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC**



Qualifications

Main Address: 880 CARILLON PARKWAY
SAINT PETERSBURG, FL 33716

Firm ID#: 149018

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	08/10/2017

Branch Office Locations

RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC
1300 HARBOR BLVD.
W. SACRAMENTO, CA 95691



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	04/18/2011
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/11/2005

State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	11/01/2011
Uniform Securities Agent State Law Examination (S63)	Series 63	02/29/2008

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/02/2011 - 07/12/2017	LORD, ABBETT & CO. LLC	CRD# 110391	SACRAMENTO, CA
B	01/12/2011 - 07/12/2017	LORD ABBETT DISTRIBUTOR LLC	CRD# 530	JERSEY CITY, NJ
B	11/21/2005 - 11/02/2010	TRANSAMERICA CAPITAL, INC.	CRD# 8217	DENVER, CO
B	05/12/2005 - 11/09/2005	A I M DISTRIBUTORS, INC.	CRD# 7369	HOUSTON, TX

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2017 - Present	First Northern Bank	Officer - Vice President	Y	W. Sacramento, CA, United States
08/2017 - Present	RAYMOND JAMES FINANCIAL SERVICES ADVISORS INC.	INVESTMENT ADVISER REP	Y	W. SACRAMENTO, CA, United States
08/2017 - Present	RAYMOND JAMES FINANCIAL SERVICES, INC.	FINANCIAL ADVISOR	Y	W. SACRAMENTO, CA, United States
06/2017 - 08/2017	Unemployment	Unemployed	N	Sacramento, CA, United States
01/2010 - 06/2017	LORD ABBETT	REGIONAL MANAGER	Y	JERSEY CITY, NJ, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) Name of Business: First Northern Advisors Address: 1300 Harbor Blvd, West Sacramento, CA, 95691, United States Activity Type: Support Company - Non Owner Position/Title: Other Investment Related: No Start Date: 08/01/2017 Hours per month devoted to this business: 81+ Hours per month devoted to this business during trading hours: 0-1 Description of duties: I am an investment advisor to referrals I get from within my relationship with First Northern Bank and leads I generate on my own.

(2) Name of Business: Lohi Beer Garden Address: 2715 17th St Suite 103, Denver, CO, 80211, United States Activity Type: Business Owner Position/Title: Partner Investment Related: No Start Date: 04/01/2015 Hours per month devoted to this business: 0-1 Hours per month devoted to this business during trading hours: 0-1 Description of duties: conversations from time to time with other owners about normal business activities. I do not help or participate in day to day activities.

(3) Name of Business: Recess Campus Lounge, Corp Address: 9590 Jeffcott Rd, Wilton, CA, 95693, United States Activity Type: Business Owner Position/Title: Other Investment Related: Yes Start Date: 11/14/2019 Hours per month devoted to this business: 0-1 Hours per month devoted to this business during trading hours: 0-1 Description of duties: I am an investor in a Restaurant



Registration & Employment History



OTHER BUSINESS ACTIVITIES

(4) Name of Business: Southside Beer Garden Address: 9590 Jeffcott Rd, Wilton, CA, 95693, United States Activity Type: Business Owner Position/Title: Other Investment Related: No Start Date: 06/01/2020 Hours per month devoted to this business: 0-1 Hours per month devoted to this business during trading hours: 0-1 Description of duties: This is a building we bought with the intention of opening a beer garden,

(5) Name of Business: West Wash Park Beer Garden Address: 9590 Jeffcott Rd, Wilton , CA, 95693, United States Activity Type: Business Owner Position/Title: Other Investment Related: No Start Date: 06/01/2020 Hours per month devoted to this business: 0-1 Hours per month devoted to this business during trading hours: 0-1 Description of duties: conversations from time to time as an investor in the business. this is the entity that was set up for the restaurant itself.



End of Report

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