



IAPD Report

ERIC EDWARD SCHROEDER

CRD# 4907301

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ERIC EDWARD SCHROEDER (CRD# 4907301)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/11/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	01/19/2024
IA	OSAIC WEALTH, INC.	CRD# 23131	01/19/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **49** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	WOODBURY FINANCIAL SERVICES, INC.	421	ROCHESTER HILLS, MI	03/01/2019 - 01/19/2024
IA	WOODBURY FINANCIAL SERVICES, INC.	421	ROCHESTER HILLS, MI	03/01/2019 - 01/19/2024
IA	QUESTAR ASSET MANAGEMENT, INC.	133358	BRIGHTON, MI	06/01/2010 - 03/01/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **49** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	01/19/2024
B	FINRA	General Securities Representative	Approved	01/19/2024
B	Alabama	Agent	Approved	01/19/2024
B	Alaska	Agent	Approved	01/19/2024
B	Arizona	Agent	Approved	01/19/2024
B	Arkansas	Agent	Approved	01/19/2024
B	California	Agent	Approved	01/19/2024
B	Colorado	Agent	Approved	01/19/2024
B	Connecticut	Agent	Approved	01/19/2024
B	Delaware	Agent	Approved	01/19/2024
B	District of Columbia	Agent	Approved	01/19/2024
B	Florida	Agent	Approved	01/19/2024
IA	Florida	Investment Adviser Representative	Approved	05/14/2024



Qualifications

	Regulator	Registration	Status	Date
B	Georgia	Agent	Approved	01/19/2024
B	Hawaii	Agent	Approved	01/19/2024
B	Idaho	Agent	Approved	01/19/2024
B	Illinois	Agent	Approved	01/19/2024
B	Indiana	Agent	Approved	01/19/2024
B	Iowa	Agent	Approved	01/19/2024
B	Kansas	Agent	Approved	01/19/2024
B	Kentucky	Agent	Approved	01/19/2024
B	Louisiana	Agent	Approved	01/19/2024
B	Maine	Agent	Approved	01/19/2024
B	Maryland	Agent	Approved	01/19/2024
B	Massachusetts	Agent	Approved	01/19/2024
B	Michigan	Agent	Approved	01/19/2024
IA	Michigan	Investment Adviser Representative	Approved	01/19/2024
B	Minnesota	Agent	Approved	01/19/2024
B	Mississippi	Agent	Approved	01/19/2024
B	Missouri	Agent	Approved	01/19/2024
B	Montana	Agent	Approved	01/19/2024
B	Nebraska	Agent	Approved	01/19/2024



Qualifications

Regulator	Registration	Status	Date
B Nevada	Agent	Approved	01/19/2024
B New Hampshire	Agent	Approved	01/19/2024
B New Jersey	Agent	Approved	01/19/2024
B New Mexico	Agent	Approved	01/19/2024
B New York	Agent	Approved	01/19/2024
B North Carolina	Agent	Approved	01/19/2024
B Ohio	Agent	Approved	01/19/2024
B Oklahoma	Agent	Approved	01/19/2024
B Oregon	Agent	Approved	01/19/2024
B Pennsylvania	Agent	Approved	01/19/2024
B South Carolina	Agent	Approved	01/19/2024
B South Dakota	Agent	Approved	01/19/2024
B Tennessee	Agent	Approved	01/19/2024
B Texas	Agent	Approved	01/19/2024
IA Texas	Investment Adviser Representative	Restricted Approval	05/13/2024
B Utah	Agent	Approved	01/19/2024
B Vermont	Agent	Approved	01/19/2024
B Virginia	Agent	Approved	01/19/2024



Qualifications

Regulator	Registration	Status	Date
B Washington	Agent	Approved	01/19/2024
B West Virginia	Agent	Approved	01/19/2024
B Wisconsin	Agent	Approved	01/19/2024
B Wyoming	Agent	Approved	01/19/2024

Branch Office Locations

OSAIC WEALTH, INC.
BRIGHTON NORTH
1127 S. OLD US HWY 23
BRIGHTON, MI 48114

OSAIC WEALTH, INC.
305 BARCLAY CIRCLE
SUITE 1002
ROCHESTER HILLS, MI 48307

OSAIC WEALTH, INC.
7305 GRAND RIVER AVE
SUITE 600
BRIGHTON, MI 48114

OSAIC WEALTH, INC.
150 S RIPLEY BLVD
ALPENA, MI 49707

OSAIC WEALTH, INC.
1209 E MILHAM AVE
PORTAGE, MI 49002

OSAIC WEALTH, INC.
2748 GARFIELD ROAD
SUITE 17
TRAVERSE CITY, MI 49686

OSAIC WEALTH, INC.
2215 Oak Industrial Dr. NE
Ste 115
Grand Rapids, MI 49505



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	05/16/2006

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	09/26/2005

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	10/24/2006
B	Uniform Securities Agent State Law Examination (S63)	Series 63	07/29/2005



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	03/01/2019 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	ROCHESTER HILLS, MI
IA	03/01/2019 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	ROCHESTER HILLS, MI
IA	06/01/2010 - 03/01/2019	QUESTAR ASSET MANAGEMENT, INC.	CRD# 133358	BRIGHTON, MI
B	12/01/2006 - 03/01/2019	QUESTAR CAPITAL CORPORATION	CRD# 43100	BRIGHTON, MI
B	09/27/2005 - 12/01/2006	USALLIANZ SECURITIES, INC.	CRD# 40875	NOVI, MI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2024 - Present	OSAIC WEALTH, INC.	Mass Transfer	Y	ALPENA, MI, United States
03/2019 - Present	WOODBURY FINANCIAL SERVICES, INC.	Registered Representative	Y	ROCHESTER HILLS, MI, United States
04/2001 - Present	ESTATE PRESERVATION	SALES	N	NOVI, MI, United States
03/2019 - 01/2024	WOODBURY FINANCIAL SERVICES, INC.	Registered Representative	Y	BRIGHTON, MI, United States
12/2006 - 03/2019	QUESTAR CAPITAL CORPORATION	REGISTERED REPRESENTATIVE	Y	MINNEAPOLIS, MN, United States
11/2006 - 03/2019	QUESTAR INVESTMENT ADVISOR	INVESTMENT ADVISORY REPRESENTATIVE	Y	MINNEAPOLIS, MN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) FORTUNAIRE CLUB CHARITABLE FOUNDATION

POSITION: Board Member NATURE: Charitable Foundation INVEST RELATED: No #HRS: 1 SEC TRADE HRS: 0 START: 2/5/09 -4840 N River Blvd Ne Ste 100, Cedar Rapids IA 52411 -Approval of Charitable donations.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

2) CLEAR STRATEGY OF SOUTHWEST MI, LLC

POSITION: Co-Owner NATURE: Commercial Rental Property in Portage MI INVEST RELATED: No #HRS: 1 SEC TRADE HRS: 0 START: 10/17/17 -1209 E Milham Ave, Portage MI 49002 -Manage Property and leases with Tenants.

3) STRATEGIC REAL ESTATE, LLC

POSITION: President NATURE: Commercial Rental Property in Brighton, MI INVEST RELATED: No #HRS: 5 SEC TRADE HRS: 0 START: 1/19/18 -403 E GRAND RIVER AVE BRIGHTON, MI 48116 -Negotiating rental agreements with tenants.

4) PEZZILLO FINANCIAL GROUP SFG

POSITION: Financial Advisor NATURE: Securities business. provide advice to clients securities and advisory. INVEST RELATED: Yes #HRS: 10 SEC TRADE HRS: 10 START: 11/5/18 -305 Barclay Cir Ste 102, Rochester Hills MI 48307 -Meet with clients - analyze needs, objectives, goals, give them a plan or advice based on their situation and finances.

5) CLEAR STRATEGY

POSITION: owner/CEO NATURE: Corporation INVEST RELATED: Yes #HRS: 5 SEC TRADE HRS: 5 START: 10/17/17 -403 E GRAND RIVER AVE, BRIGHTON MI 48116 -CEO of Clear Strategy - DBA used to brand office. Clear Strategy manages staff and process business for financial, advisory and insurance products. Employs Registered Reps and support staff for New Business, Client Service, Insurance, Advisory and planning as well as recruiting.

6) STRATEGIC REAL ESTATE LLC

POSITION: Owner/ CEO NATURE: LLC INVEST RELATED: No #HRS: 1 SEC TRADE HRS: 1 START: 1/1/18 -403 E. GRAND RIVER AVE, BRIGHTON MI 48116 -Owner of building, collects rent. Make improvements to the property along with maintenance.

7) BENEFINANCIAL GROUP SERVICES

POSITION: financial advisor NATURE: s Corp INVEST RELATED: Yes #HRS: 10 SEC TRADE HRS: 10 START: 10/14/20 -305 Barclay Cir Ste 1002, Rochester Hills MI 48307 -Financial Advisor at satellite office Our main office is Clear Strategy - 403 E Grand river Ave, Brighton MI 48116 We are working with an insurance only office (Benefinancial Group - Joe Pezzillo) and offer financial, security, and advisory services to clients of the insurance agent. Kept the Benefinancial Group name for continuity, so we created a DBA for the purpose of this office only.

8) CLEAR STRATEGY TAX SERVICES

POSITION: owner NATURE: Tax planning, tax preparation, accounting services INVEST RELATED: No #HRS: 5 SEC TRADE HRS: 5 START: 10/1/20 -403 E Grand River Ave, Brighton MI 48116 -Direct and oversee the company as a whole to make sure it is growing and functioning properly

9) CLEAR STRATEGY FINANCIAL

POSITION: Financial Advisor NATURE: Clear Strategy Financial is the assumed name that all securities related business will be conducted. INVEST RELATED: Yes #HRS: 160 SEC TRADE HRS: 160 START: 4/24/23 -403 E GrandRiver Ave, Brighton MI 48116 -As a financial advisor meet with clients, review existing accounts and provide investment recommendations and solutions.

10) STRATEGIC REAL ESTATE - 7305 LLC

POSITION: Director NATURE: LLC INVEST RELATED: No #HRS: 1 SEC TRADE HRS: 0 START: 9/5/23 -7305 Grand River, Brighton MI 48114 -Partial ownership of the building. Have a one hour meeting monthly to discuss any items that need attention.

11) STRATEGIC REAL ESTATE 150

POSITION: Member NATURE: LLC INVEST RELATED: No #HRS: 1 SEC TRADE HRS: 0 START: 12/1/24 -7305 Grand River ste 600, Brighton MI 48114 -Part owner of rental real estate

12) ONPOINTE EQUIPMENT



Registration & Employment History



OTHER BUSINESS ACTIVITIES

POSITION: part owner NATURE: LLC - To purchase marine equipment and lease to contractors. INVEST RELATED: No #HRS: 1
SEC TRADE HRS: 0 START: 2/6/25 -7305 Grand River, suite 600, Brighton MI 48114 -To purchase equipment utilizing cash and
or financing to acquire. Managing lease contracts with contractors.



End of Report

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