



IAPD Report

JASON PAUL WILTSE

CRD# 4930051

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JASON PAUL WILTSE (CRD# 4930051)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/09/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	05/05/2021
IA	WILON WEALTH MANAGEMENT	CRD# 313185	05/05/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SECURITIES AMERICA ADVISORS, INC.	110518	URBANDALE, IA	10/29/2015 - 05/07/2021
B	SECURITIES AMERICA, INC.	10205	SAC CITY, IA	10/29/2015 - 05/07/2021
IA	SAGEPOINT FINANCIAL, INC.	133763	URBANDALE, IA	12/07/2011 - 11/04/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **PURSHE KAPLAN STERLING INVESTMENTS**
Main Address: 80 STATE STREET
ALBANY, NY 12207
Firm ID#: 35747

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	05/05/2021
B	Arizona	Agent	Approved	05/05/2021
B	Florida	Agent	Approved	05/05/2021
B	Illinois	Agent	Approved	05/05/2021
B	Iowa	Agent	Approved	05/05/2021
B	Kansas	Agent	Approved	06/30/2021
B	Minnesota	Agent	Approved	05/12/2021
B	Missouri	Agent	Approved	05/05/2021
B	Nebraska	Agent	Approved	05/05/2021
B	Ohio	Agent	Approved	05/05/2021
B	South Dakota	Agent	Approved	07/09/2021
B	Texas	Agent	Approved	07/01/2021
B	Wisconsin	Agent	Approved	06/29/2021



Qualifications

Branch Office Locations

2400 86th St
Suite 32
Urbandale, IA 50322

414 Main Street
Sac City, IA 50583

Employment 2 of 2

Firm Name: **WILON WEALTH MANAGEMENT**
Main Address: 2400 86TH STREET
SUITE 32
URBANDALE, IA 50322
Firm ID#: 313185

Regulator	Registration	Status	Date
IA Iowa	Investment Adviser Representative	Approved	05/05/2021
IA Texas	Investment Adviser Representative	Restricted Approval	07/02/2021

Branch Office Locations

WILON WEALTH MANAGEMENT
2400 86TH STREET
SUITE 32
URBANDALE, IA 50322



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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General Securities Representative Examination (S7)	Series 7	03/24/2005
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State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	04/28/2005
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/29/2015 - 05/07/2021	SECURITIES AMERICA ADVISORS, INC.	CRD# 110518	URBANDALE, IA
B	10/29/2015 - 05/07/2021	SECURITIES AMERICA, INC.	CRD# 10205	SAC CITY, IA
IA	12/07/2011 - 11/04/2015	SAGEPOINT FINANCIAL, INC.	CRD# 133763	URBANDALE, IA
B	12/02/2011 - 11/04/2015	SAGEPOINT FINANCIAL, INC.	CRD# 133763	URBANDALE, IA
B	04/03/2007 - 12/01/2011	BERTHEL, FISHER & COMPANY FINANCIAL SERVICES, INC.	CRD# 13609	URBANDALE, IA
IA	04/02/2007 - 12/01/2011	BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.	CRD# 13609	URBANDALE, IA
IA	05/17/2005 - 04/10/2007	WADDELL & REED, INC.	CRD# 866	W. DES MOINES, IA
B	03/28/2005 - 04/10/2007	WADDELL & REED, INC.	CRD# 866	W. DES MOINES, IA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2021 - Present	Purshe Kaplan Sterling Investments	Registered Representative	Y	Albany, NY, United States
05/2021 - Present	Wilson Wealth Management	Investment Adviser Representative	Y	URBANDALE, IA, United States
08/2010 - Present	FAREWAY STORES, INC.	BOARD OF DIRECTORS	N	BOONE, IA, United States
11/2009 - Present	FAREWAY STORES, INC.	INVITED GUEST, 401(K) & PROFIT SHARING TRUSTS	N	BOONE, IA, United States
09/2008 - Present	BINNACLE INVESTMENTS	OWNER/DIRECTOR/CEO	N	WEST DES MOINES, IA, United States
09/2008 - Present	COLLEGE STREET ENTERPRISES, LLC	OWNER	N	URBANDALE, IA, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2007 - Present	WILON WEALTH MANAGEMENT	WEALTH ADVISOR/CO-FOUNDER	Y	URBANDALE, IA, United States
10/2015 - 05/2021	SECURITIES AMERICA ADVISORS	IAR	Y	URBANDALE, IA, United States
10/2015 - 05/2021	SECURITIES AMERICA INC.	REGISTERED REP	Y	URBANDALE, IA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Binnacle Investments DBA Wilon Wealth Management - Investment related - 2400 86th street, ste 32, Urbandale IA 50322 - Financial Services - Owner, Wealth Advisor, Investment Advisor Representative, Insurance Agent - 3/13/2007 - 160 hours/160 trading hours a month - Design, Evaluate, Implement and monitor financial plans, investment strategies and risk management solutions._____

College Street Enterprises, LLC - Investment related - 2400 86th st, ste 32, Urbandale, IA 50322 - Real Estate Property Management/Ownership - Member - 9/2008 - 2 hours/0trading hours a month - Execute leases, collect rent, make property management/ownership decisions._____

Paul S and Maryellen I Beckwith Charitable Foundation BOD - Not investment related - 715 8th st, Boone IA 50036 - Non Profit board of directors - board member - 10/2013 - 1 hour/0 trading hours a month - Attend annual meeting, vote on charitable distributions, attend charity functions_____

Fareway Stores Board of Directors - not investment related - 715 8th st, Boone IA 50036 - Board of Directors - board member, compensation committee chair - 2/18/2019 - 5 hours/1 trading hours a month - Attend quarterly meetings, evaluate company/officer performance on board/committee business, attend store openings_____

Paul S Beckwith trust - investment related - 715 8th Boone, IA 50036 - Trustee - Trustee - 2/12/2017 - 3 hours/0 trading hours a month - Attend annual meeting, review trust financial statements, motion and vote on trust business_____

Alan Wiltse trust - not investment related - 715 8th st. Boone IA 50036 - Trustee - Trustee - 12/22/2012 - 1 hour/0 trading hours a month - Vote company stock, pay distributions to beneficiaries, hire professionals (CPA, Attorney, etc), other trust business.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Criminal	1
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Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	STATE OF IOWA
Location of Court:	JOHNSON COUNTY, IOWA
Docket/Case #:	CASE # 06-52-1
Charge Date:	08/16/2001
Charge(s) 1 of 1	
Formal Charge(s)/Description:	321.216A POSSESSION OF FICTITIOUS LICENSE CARD OR FORM
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	NOT GUILTY
Disposition of charge:	Found not guilty
Current Status:	Final
Status Date:	11/28/2001
Disposition Date:	08/28/2011
Sentence/Penalty:	NOT GUILTY VERDICT. COSTS TO STATE. EXONERATE BOND.
Broker Statement	ON AUGUST 16,2001 I WAS CHARGED WITH CONSUMPTION/INTOXICATION AND POSSESSION OF FICTICIOUS LICENSE, CARD. I WAS FOUND NOT GUILTY OF FICTITIOUS LICENSE AND REDUCED FINE ON THE CONSUMPTION/INTOXICATION ON 11/28/01. I WAS ARRESTED BECAUSE THE FRIEND I WAS WALKING WITH WAS CARRYING A BEER. HE POSSESSED THE FICTICIOUS I.D. BUTH THE OFFICER CHARGED THE



WRONG PERSON. FOUND NOT GUILTY.



End of Report

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