User Guidance

www.adviserinfo.sec.gov

IAPD Report

JASON COLIN PATRICK

CRD# 5001982

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

JASON COLIN PATRICK (CRD# 5001982)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/09/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	KESTRA INVESTMENT SERVICES, LLC	CRD# 42046	08/25/2014
IA	KESTRA ADVISORY SERVICES, LLC	CRD# 283330	04/19/2016

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	NFP ADVISOR SERVICES, LLC	42046	NEWPORT BEACH, CA	08/21/2014 - 09/22/2016
IA	FINANCIAL TELESIS, INC.	31012	NEWPORT BEACH, CA	01/06/2014 - 08/22/2014
B	FINANCIAL TELESIS INC	31012	NEWPORT BEACH, CA	01/03/2014 - 08/22/2014

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **KESTRA INVESTMENT SERVICES, LLC**

Main Address: 5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735

Firm ID#: 42046

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	08/25/2014
B	FINRA	Invest. Co and Variable Contracts	Approved	08/25/2014
B	Arizona	Agent	Approved	08/25/2014
B	California	Agent	Approved	08/25/2014
B	Colorado	Agent	Approved	08/25/2014
B	Florida	Agent	Approved	11/01/2023
B	Idaho	Agent	Approved	04/03/2023
B	Kentucky	Agent	Approved	11/20/2025
B	Louisiana	Agent	Approved	01/06/2026
B	Massachusetts	Agent	Approved	10/31/2023
B	Nevada	Agent	Approved	05/26/2022
B	Texas	Agent	Approved	10/31/2023
B	Utah	Agent	Approved	03/27/2023

Qualifications

	Regulator	Registration	Status	Date
B	Washington	Agent	Approved	03/24/2023

Branch Office Locations

NFP ADVISOR SERVICES, LLC
895 DOVE STREET
SUITE 300
NEWPORT BEACH, CA 92660

NFP ADVISOR SERVICES, LLC
Encinitas, CA

Employment 2 of 2

Firm Name: **KESTRA ADVISORY SERVICES, LLC**

Main Address: 5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735

Firm ID#: 283330

	Regulator	Registration	Status	Date
IA	California	Investment Adviser Representative	Approved	04/19/2016
IA	Texas	Investment Adviser Representative	Restricted Approval	10/31/2023

Branch Office Locations

KESTRA ADVISORY SERVICES, LLC
895 DOVE STREET, SUITE 300,
NEWPORT BEACH, CA 92660

KESTRA ADVISORY SERVICES, LLC
Encinitas, CA

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	12/01/2008
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	07/21/2005

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	01/13/2009
B	Uniform Securities Agent State Law Examination (S63)	Series 63	08/05/2005

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/21/2014 - 09/22/2016	NFP ADVISOR SERVICES, LLC	CRD# 42046	NEWPORT BEACH, CA
IA	01/06/2014 - 08/22/2014	FINANCIAL TELESIS, INC.	CRD# 31012	NEWPORT BEACH, CA
B	01/03/2014 - 08/22/2014	FINANCIAL TELESIS INC	CRD# 31012	NEWPORT BEACH, CA
IA	03/03/2009 - 01/30/2014	USI ADVISORS	CRD# 108113	IRVINE, CA
B	08/10/2005 - 01/30/2014	USI SECURITIES, INC.	CRD# 43793	IRVINE, CA
IA	01/14/2009 - 03/02/2009	USI SECURITIES, INC.	CRD# 43793	IRVINE, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2016 - Present	KESTRA ADVISORY SERVICES, LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	NEWPORT BEACH, CA, United States
08/2014 - Present	KESTRA INVESTMENT SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	NEWPORT BEACH, CA, United States
01/2014 - Present	FIDUCIARY ADVISORS, LLC	CEO	Y	NEWPORT BEACH, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Name: Fiduciary Advisors, LLC Investment Related: Yes Address: 895 Dove Street Suite 300 Newport Beach CA 92660 Nature of Business: Registered Rep Activities through Kestra Investment Services, LLC using a DBA name; Consulting; Insurance; Investment Advisory services through Kestra Advisory Services, LLC Position, Title or Relationship: Owner/CEO. Start Date: 10/1/2013 Hours per month: 100%+ (More than 160 hours) Hours per month during trading hours: 91% - 100% (127 - 140 hours) Duties: Duties related to running the business. At this time, all obligations to the business.

Name: Kestra Advisory Services, LLC Investment Related: Yes Address: 5707 Southwest Parkway Building 2, Suite 400 Austin

Registration & Employment History

OTHER BUSINESS ACTIVITIES

TX 78735 Nature of Business: Investment Advisory services through Kestra Advisory Services, LLC Position, Title or Relationship: Investment Advisor Representative Start Date: 4/4/2016 Hours per month: Up to 100% (0 to 160 hours) Hours per month during trading hours: Up to 100% (0 to 160 hours) Duties: Investment advisory services

Name: INSPERITY, INC. POSITION: Employee NATURE: Through our relationship with FSI, we partner with Insperity as our PEO provider. Insperity offers Fiduciary Advisors a range of insurance benefits, including health and welfare coverage, as well as HR services for our team members. Our employees are dully employed through the PEO in order to receive these services. INVESTMENT RELATED: No # OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 08/01/2025 ADDRESS: 19001 Crescent Springs Drive, Kingwood TX 77339, United States

DESCRIPTION: Through our relationship with FSI, we partner with Insperity as our PEO provider. Insperity offers Fiduciary Advisors a range of insurance benefits, including health and welfare coverage, as well as HR services for our team members. Our employees are dully employed through the PEO in order to receive these services.

End of Report

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