



IAPD Report

Nolan Hughes

CRD# 5010510

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Nolan Hughes (CRD# 5010510)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/23/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	THE OAK RIDGE FINANCIAL SERVICES GROUP, INC.	CRD# 42941	12/09/2025
IA	ACCELERATE RETIREMENT	CRD# 325859	01/23/2026

QUALIFICATIONS

This representative is currently registered in **2** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	EUDAIMONIA PARTNERS, LLC	283884	PIPERTON, TN	07/26/2022 - 04/30/2024
B	LPL FINANCIAL LLC	6413	PIPERTON, TN	02/15/2019 - 07/27/2022
IA	NEWEDGE ADVISORS	171351	Piperton, TN	02/05/2019 - 07/15/2022

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 2 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **ACCELERATE RETIREMENT**
Main Address: 120 VANTIS DR., SUITE 330
ALISO VIEJO, CA 92656
Firm ID#: 325859

Regulator	Registration	Status	Date
IA Tennessee	Investment Adviser Representative	Approved	01/23/2026

Branch Office Locations

ACCELERATE RETIREMENT
25 Thorpe Cove
Piperton, TN 38017

Employment 2 of 2

Firm Name: **THE OAK RIDGE FINANCIAL SERVICES GROUP, INC**
Main Address: 701 XENIA AVENUE SOUTH
SUITE 100
GOLDEN VALLEY, MN 55416-1089
Firm ID#: 42941

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	12/09/2025
B FINRA	General Securities Representative	Approved	12/09/2025
B FINRA	Municipal Securities Principal	Approved	12/09/2025
B FINRA	Municipal Securities Representative	Approved	12/09/2025
B FINRA	Registered Options Principal	Approved	12/09/2025
B NYSE American LLC	Registered Options Principal	Approved	12/09/2025



Qualifications

Branch Office Locations

THE OAK RIDGE FINANCIAL SERVICES GROUP, INC
Piperton, TN








Qualifications

PASSED INDUSTRY EXAMS





This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 5 principal/supervisory exams, 4 general industry/product exams, and 1 state securities law exam.


Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
 Municipal Securities Principal Examination (S53)	Series 53	05/06/2015
 Registered Options Principal Examination (S4)	Series 4	10/29/2010
 General Securities Principal Examination (S24)	Series 24	04/29/2008

General Industry/Product Exams

Exam	Category	Date
 Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Futures Managed Funds Examination (S31)	Series 31	06/16/2006
 General Securities Representative Examination (S7)	Series 7	11/02/2005

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	02/14/2006



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/26/2022 - 04/30/2024	EUDAIMONIA PARTNERS, LLC	CRD# 283884	PIPERTON, TN
B	02/15/2019 - 07/27/2022	LPL FINANCIAL LLC	CRD# 6413	PIPERTON, TN
IA	02/05/2019 - 07/15/2022	NEWEDGE ADVISORS	CRD# 171351	Piperton, TN
IA	08/19/2015 - 01/18/2019	B RILEY WEALTH MANAGEMENT	CRD# 2543	MEMPHIS, TN
B	08/19/2015 - 01/18/2019	B. RILEY WEALTH MANAGEMENT	CRD# 2543	MEMPHIS, TN
B	07/20/2015 - 09/03/2015	WELLS FARGO ADVISORS, LLC	CRD# 19616	MEMPHIS, TN
IA	07/20/2015 - 09/03/2015	WELLS FARGO ADVISORS, LLC	CRD# 19616	MEMPHIS, TN
B	05/09/2011 - 07/21/2015	WUNDERLICH SECURITIES, INC.	CRD# 2543	MEMPHIS, TN
IA	05/09/2011 - 07/21/2015	WUNDERLICH SECURITIES, INC.	CRD# 2543	MEMPHIS, TN
IA	11/14/2008 - 05/10/2011	MORGAN KEEGAN & COMPANY, INC.	CRD# 4161	MEMPHIS, TN
B	11/12/2008 - 05/10/2011	MORGAN KEEGAN & COMPANY, INC.	CRD# 4161	MEMPHIS, TN
B	03/30/2007 - 11/03/2008	FIRST TENNESSEE BROKERAGE, INC.	CRD# 17117	MEMPHIS, TN
IA	03/30/2007 - 11/03/2008	FTB ADVISORS, INC.	CRD# 17117	MEMPHIS, TN
IA	04/10/2006 - 03/19/2007	MORGAN STANLEY	CRD# 7556	MEMPHIS, TN
B	04/10/2006 - 03/19/2007	MORGAN STANLEY DW INC.	CRD# 7556	MEMPHIS, TN
IA	02/16/2006 - 03/23/2006	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	MEMPHIS, TN
B	01/09/2006 - 03/23/2006	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	MINNEAPOLIS, MN



Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2026 - Present	Accelerate Investment Advisors, LLC	Chief Compliance Officer	Y	Aliso Viejo, CA, United States
12/2025 - Present	Oak Ridge Financial Services Group	Compliance Support	Y	Golden Valley, MN, United States
11/2023 - Present	OYSTER CONSULTING LLC	COMPLIANCE CONSULTANT	Y	GLEN ALLEN, VA, United States
07/2022 - 04/2024	EUDAIMONIA PARTNERS, LLC	CHIEF COMPLIANCE OFFICER	Y	PIPERTON, TN, United States
02/2019 - 07/2022	LPL FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	GERMANTOWN, TN, United States
01/2019 - 07/2022	NewEdge Advisors, LLC	Deputy Chief Compliance Officer / Investment Adviser Representative	Y	Germantown, TN, United States
08/2015 - 01/2019	WUNDERLICH SECURITIES	COMPLIANCE OFFICER	Y	MEMPHIS, TN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1.) Started 11/29/2023 - Investment Related - Compliance Consulting through CRC- Oyster Consulting LLC. (Glen Allen, VA 23060) - Position: Compliance Consultant - I provide outsourced compliance consulting - 100% of my time is spent in this capacity



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Criminal	1
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Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	IN THE JUSTICE COURT OF LAFAYETTE COUNTY, MS CASE # 9170753
Charge Date:	02/23/2002
Charge Details:	ONE COUNT FELONY POSSESSION OF A CONTROLLED SUBSTANCE(ADDORALL) NO PLEA
Felony?	Yes
Current Status:	Final
Status Date:	05/06/2005
Disposition Details:	DISMISSED
Broker Statement	I WAS ARRESTED FOR A PUBLIC INTOXICATION, WHILE SEARCHING ME THEY FOUND AN ADDORRALL, IN THE POCKET OF THE SLACKS I WAS WEARING. I HAD BORROWED THE SLACKS FROM MY ROOMMATE WHO HAD A PERSCRIPTION TO ADDORRALL, AFTER FILING A CERTIFIED DOCUMENT STATING THAT IT WAS IN FACT HIS, THE DISTRICT ATTORNEY T.R. TROUT OF OXFORD MS. DECIDED THAT CASE SHOULD NOT BE PERSUED AND ALL CHARGES WERE DISMISSED.



End of Report

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