



IAPD Report

JASON RYAN OESTREICHER

CRD# 5077320

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JASON RYAN OESTREICHER (CRD# 5077320)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/09/2026**.

CURRENT EMPLOYERS

Firm	CRD#	Registered Since
B OSAIC WEALTH, INC.	CRD# 23131	11/08/2024
IA OSAIC WEALTH, INC.	CRD# 23131	11/12/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **6** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
IA VALIC FINANCIAL ADVISORS, INC.	42803	BOCA RATON, FL	12/04/2009 - 11/25/2024
B VALIC FINANCIAL ADVISORS, INC.	42803	BOCA RATON, FL	09/29/2009 - 11/25/2024
IA MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	JACKSONVILLE, FL	09/13/2007 - 04/17/2009

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 6 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	11/08/2024
B	Colorado	Agent	Approved	11/08/2024
B	Florida	Agent	Approved	11/08/2024
IA	Florida	Investment Adviser Representative	Approved	11/12/2024
B	Georgia	Agent	Approved	11/08/2024
B	Michigan	Agent	Approved	11/12/2024
B	New York	Agent	Approved	11/08/2024
B	North Carolina	Agent	Approved	11/13/2025

Branch Office Locations

OSAIC WEALTH, INC.
5310 NW 33 AVE.
SUITE 206
FORT LAUDERDALE, FL 33309



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams


Exam	Category	Date
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No information reported.

General Industry/Product Exams



Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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 General Securities Representative Examination (S7)	Series 7	12/19/2006
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State Securities Law Exams

Exam	Category	Date
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  Uniform Combined State Law Examination (S66)	Series 66	09/10/2007
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	12/04/2009 - 11/25/2024	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	BOCA RATON, FL
B	09/29/2009 - 11/25/2024	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	BOCA RATON, FL
IA	09/13/2007 - 04/17/2009	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	JACKSONVILLE, FL
B	08/15/2007 - 04/17/2009	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	JACKSONVILLE, FL
B	12/20/2006 - 05/15/2007	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	PLANTATION, FL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2024 - Present	OSAIC	REGISTERED REPRESENTATIVE	Y	FORT LAUDERDALE, FL, United States
12/2022 - Present	AGIA	Agent	N	Houston, TX, United States
09/2009 - 11/2024	VALIC FINANCIAL ADVISORS	REGISTERED REP	Y	PALM BEACH, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. AGIA
 POSITION: Agent NATURE: null INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 1
 START DATE: 12/16/2022
 ADDRESS: 2929 Allen Parkway, Houston TX 77019, United States
 DESCRIPTION: Non-Securities Insurance Products

2. NOTARY
 POSITION: Notary NATURE: Notary. No compensation earned for this OBA INVESTMENT RELATED: No NUMBER OF HOURS: 1
 SECURITIES TRADING HOURS: 1 START DATE: 09/09/2022
 ADDRESS: 1541 NW 182nd Terrace, Pembroke Pines FL 33029, United States
 DESCRIPTION: Notary. No compensation earned for this OBA



Registration & Employment History



OTHER BUSINESS ACTIVITIES

3. CREATIVE FINANCIAL NETWORK

POSITION: Agent NATURE: Fixed Insurance INVESTMENT RELATED: Yes NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 10 START DATE: 11/06/2024

ADDRESS: 5310 NW 33rd Ave, Fort Lauderdale FL 33309, United States

DESCRIPTION: Sell and service fixed insurance products

4. PATH FINANCIAL PARTNERS LLC

POSITION: Owner NATURE: LLC INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 10/30/2024

ADDRESS: 1541 NW 182nd Ter, Pembroke Pines FL 33029, United States

DESCRIPTION: Keeping the books for tax purposes

5. J&A'S CORNER LLC

POSITION: Manager NATURE: LLC INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 03/16/2026

ADDRESS: 1541 NW 182nd Terrace, Pembroke Pines FL 33029, United States

DESCRIPTION: Real Estate Investment



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source: Individual

If charge(s) were brought against an organization over which individual exercised control:

Organization Name:

Investment Related Business: No

Position:

Formal Charges were brought in: State Court

Name of Court: IN THE CIRCUIT COURT OF THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY FL

Location of Court: BROWARD COUNTY, FLORIDA

Docket/Case #: CASE # 98-4616 DL

Charge Date: 04/04/1998

Charge(s) 1 of 1

Formal Charge(s)/Description: ONE COUNT - FELONY BURGLARY STRUCTURE REDUCED TO MISDEMEANOR TRESPASS - PLEAD NO CONTEST
ONE COUNT - FELONY THEFT - NO PLEA

No of Counts: 2

Felony or Misdemeanor: Felony

Plea for each charge: ONE COUNT - FELONY BURGLARY STRUCTURE REDUCED TO MISDEMEANOR TRESPASS - PLEAD NO CONTEST ONE COUNT - FELONY THEFT - NO PLEA



Disposition of charge:	Dismissed
Current Status:	Final
Status Date:	10/14/1999
Disposition Date:	10/14/1999
Sentence/Penalty:	GRAND THEFT WAS NOLLE PROSEQUI ON 5/4/99. TRESPASS WAS DISMISSED ON 10/14/99. HAD TO COMPLETE 75 HOURS COMMUNITY SERVICE 5/4/99
Broker Statement	AS A THIRTEEN(13) YEAR OLD JUVENILE, I WAS FOOLING AROUND WITH MUCH OLDER FRIENDS AND RECEIVED THREE MISDEMEANOR CHARGES. I WAS CHARGED WITH TRESPASSING ON SCHOOL PROPERTY. AT THE SAME TIME, MY OLDER FRIENDS AND I SET OFF A FIRE EXTINGUISHER IN THE SCHOOL FIELD AFTER SCHOOL HOURS. WHEN THE POLICE ARRIVED, I WAS SCARED AND AT FIRST RAN, BUT IMMEDIATELY RETURNED TO THE SCENE. ALTHOUGH I RETURNED THE POLICE CHARGED ME WITH RESISTING ARREST; HOWEVER, NEVER RESISTED THEM AT ALL. COMING BACK TO THE SCENE DID NOT MATTER AT THIS POINT. I WAS CHARGED WITH GRAND THEFT SIMPLY BECAUSE THE FIRE EXTINGUISHER WAS A LIFE SAVING DEVICE. THE FELONY CHARGE WAS LATER REDUCED TO A MISDEMEANOR AND WAS THAN DROPPED FROM MY RECORD. THE END RESULT WAS A ONLY A TRESPASSING CHARGE. I WAS A YOUNG JUVENILE THAT DID NOT KNOW ANY BETTER AND WAS STUPIDLY HANGING AROUND INFLUENTIAL OLDER FRIENDS.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Regulator

Employing firm when activities occurred which led to the complaint: VALIC Financial Advisors, Inc.

Allegations: misrepresentation/non-disclosures; omission of facts; and suitability

Product Type: Annuity-Variable

Alleged Damages: \$8,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: finra - CASE #16-01609

Date Notice/Process Served: 06/03/2016

Arbitration Pending? No

Disposition: Award

Disposition Date: 12/06/2016

Disposition Detail: Respondent is jointly and severally liable for and shall pay to the Claimant \$6,000.00 in compensatory damages.

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: VALIC Financial Advisors, Inc.

Allegations: [Customer] alleges Jason Ryan Oestreicher signed her up for investments she did not want and subjected her to a 15 year surrender period. [Customer] alleges that Oestreicher did not explain the products to her, including surrender periods and fees, and that she did not realize there were surrender fees involved. She also complains that her investments were not profitable enough and stated she was not satisfied with the investment advisory program. [Customer] wants to be able to withdraw her funds without the surrender fees required by her plan. [Customer's] annuity application was received in the Home Office on 12/20/2013.

Product Type: Annuity-Variable

Alleged Damages: \$8,000.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA



Docket/Case #: 16-01609
Date Notice/Process Served: 06/23/2016
Arbitration Pending? No
Disposition: Award to Customer
Disposition Date: 12/06/2016
Monetary Compensation Amount: \$6,000.00
Individual Contribution Amount: \$0.00

Broker Statement

The client made an accusation of an alleged misrepresentation/nondisclosure, omission of facts, and suitability. All disclosures, terms of the contract including surrender charges, fees, and suitability were disclosed to and were signed by the client on 12/20/2013 and 12/27/2013 respectively.

In fact, the applications and disclosures were delivered to my company for compliance approval at which point the contracts were issued and delivered to the client. Over a year after issuance of the contracts on 06/11/2015, the client sent in a complaint to my company. THE FIRM FOUND NO BASIS TO THE CUSTOMER COMPLAINT.

The client then filed a complaint with FINRA. Upon request from FINRA I supplied all documentation signed by the client for their investigation. NO FAULT WAS FOUND ON MY BEHALF.

The client then proceeded to file with arbitration. Upon review by the arbitrator the decision was made awarding the client partial consideration.



End of Report

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