



IAPD Report

Jarett Chance Adair

CRD# 5077551

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Jarett Chance Adair (CRD# 5077551)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/05/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EDWARD JONES	CRD# 250	01/20/2021
IA	EDWARD JONES	CRD# 250	01/21/2021

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **15** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	ADVICE AND PLANNING SERVICES	20472	LEWISVILLE, TX	10/28/2013 - 11/13/2020
B	TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC	20472	LEWISVILLE, TX	10/18/2013 - 11/13/2020
B	FIDELITY INVESTMENTS INSTITUTIONAL SERVICES COMPANY, INC.	17507	WESTLAKE, TX	06/18/2007 - 12/15/2011

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	3
Customer Dispute	1
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **15** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EDWARD JONES**
Main Address: 12555 MANCHESTER RD
ST. LOUIS, MO 63131
Firm ID#: 250

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	01/20/2021
B NYSE American LLC	General Securities Representative	Approved	01/20/2021
B Nasdaq Stock Market	General Securities Representative	Approved	01/20/2021
B New York Stock Exchange	General Securities Representative	Approved	01/20/2021
B Arizona	Agent	Approved	01/26/2021
B Arkansas	Agent	Approved	01/21/2021
B Colorado	Agent	Approved	05/31/2024
B District of Columbia	Agent	Approved	07/06/2023
B Florida	Agent	Approved	05/31/2022
B Louisiana	Agent	Approved	08/20/2024
B Maryland	Agent	Approved	02/01/2024
B Massachusetts	Agent	Approved	10/23/2024
B Missouri	Agent	Approved	08/20/2024



Qualifications

Regulator	Registration	Status	Date
B New Mexico	Agent	Approved	01/21/2021
B New York	Agent	Approved	11/17/2021
B North Carolina	Agent	Approved	01/07/2026
B Oklahoma	Agent	Approved	01/25/2021
B Texas	Agent	Approved	01/20/2021
IA Texas	Investment Adviser Representative	Approved	01/21/2021
B West Virginia	Agent	Approved	06/27/2022

Branch Office Locations

EDWARD JONES

106 W 4th St
Justin, TX 76247-5014



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams


Exam	Category	Date
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No information reported.

General Industry/Product Exams



Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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 General Securities Representative Examination (S7)	Series 7	04/05/2006
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State Securities Law Exams

Exam	Category	Date
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  Uniform Combined State Law Examination (S66)	Series 66	09/12/2006
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/28/2013 - 11/13/2020	ADVICE AND PLANNING SERVICES	CRD# 20472	LEWISVILLE, TX
B	10/18/2013 - 11/13/2020	TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC	CRD# 20472	LEWISVILLE, TX
B	06/18/2007 - 12/15/2011	FIDELITY INVESTMENTS INSTITUTIONAL SERVICES COMPANY, INC.	CRD# 17507	WESTLAKE, TX
IA	09/29/2006 - 05/21/2007	MERRILL LYNCH PIERCE FENNER & SMITH INC.	CRD# 7691	DALLAS, TX
B	04/06/2006 - 05/21/2007	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	DALLAS, TX

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2021 - Present	EDWARD JONES	FINANCIAL ADVISOR	Y	ST LOUIS, MO, United States
09/2013 - 11/2020	TIAA	WEALTH MANAGEMENT ADVISOR	Y	LEWISVILLE, TX, United States
09/2013 - 11/2020	TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	LEWISVILLE, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

CoServ
Type:
Date: 11/19/21
Title: Board Member
Trad Hrs: 0
Tot Hrs: 0

Desc: I will represent my District, District 7, where I reside. I will not vote on any bond issues or investment related decisions. This is strictly a representation of my local district and is not political of any nature. All activities will not interfere

City-State: Corinth TX



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	3
Customer Dispute	1
Termination	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 3

Reporting Source:	Individual
Formal Charges were brought in:	County
Name of Court:	Lubbock
Location of Court:	Lubbock, TX
Docket/Case #:	2002-482-021
Charge Date:	11/09/2002
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Theft < \$200 THIS WAS AN ERRONEOUS CHARGE THAT RESULTED IN A DISMISSAL OF THE CHARGES WHEN CASE WENT TO COURT.
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	NOT GUILTY
Disposition of charge:	Pled not guilty
Current Status:	Final
Status Date:	05/12/2003
Disposition Date:	05/12/2003
Sentence/Penalty:	Charges were dropped and all fees and penalties have been completed. None were required.
Broker Statement	THIS WAS AN ERRONEOUS CHARGE THAT RESULTED IN A DISMISSAL OF



THE CHARGES WHEN CASE WENT TO COURT.

Disclosure 2 of 3

Reporting Source: Individual

Court Details: STATE OF TEXAS, COUNTY CRIMINAL COURT OF DENTON COURT CASE NO. CR-2001-08752-2

Charge Date: 08/16/2002

Charge Details: 1.1 COUNT
2.CHARGE 1.MISDEMEANOR
3. NOLO CONTENDRE
4. NA (NOT INVESTMENT RELATED)

Felony? No

Current Status: Final

Status Date: 08/16/2002

Disposition Details: A.DEFERRED ADJUDICATION B. 08/16/2002 C. MISDEMEANOR COMMUNITY SUPERVISION. D. 180 DAYS E. 08/16/2002 F. 134.00, COURT FINES AND COSTS OF \$400.00, APPLY CASH BOND TO \$100.00 IN RESTITUTION. G. 08/16/2002

Broker Statement THIS WAS A CHECK THAT WAS WRITTEN RIGHT BEFORE I LEFT DENTON IN AUGUST OF 2001. I WROTE THIS CHECK THINKING IT HAD CLEARED BECAUSE I CHANGED BANKS WHEN I MOVED TO LUBBOCK. IT WAS FOR GROCERIES AND THE TOTAL WAS \$20.85 TO WINN DIXIE. I LATER FOUND OUT ABOUT THE MISTAKE AND TOOK FULL RESPONSIBILITY, AND THEN CONTACTED THE DA TO PAY THE AMOUNT AND ALL FINES.

Disclosure 3 of 3

Reporting Source: Individual

Court Details: STATE OF TEXAS, COUNTY CRIMINAL COURT OF DENTON CASE# CR-98-08570-B

Charge Date: 12/08/1998

Charge Details: 1. 1 COUNT
2. CHARGE WAS 1 MISDEMEANOR
3. GUILTY



4. NA (NOT INVESTMENT RELATED)

Felony?

No

Current Status:

Final

Status Date:

07/09/1999

Disposition Details:

A. CONVICTED B. 07/09/1999 C. NONE D. NONE
E. NONE F. NONE G. NONE

Broker Statement

THIS WAS A MISTAKE ON MY BEHALF THAT I MADE MY IN MY SOPHMORE YEAR OF COLLEGE WHEN I WAS 19. THE CHECK WAS FOR \$30 TO ALBERTSON'S. I THOUGHT I HAD A LITTLE OVER \$30 DOLLARS IN MY ACCOUNT, SO I WROTE IT FOR \$30 AND PAID FOR THE REST OF THE GROCERIES WITH WHAT CASH I HAD. THE NOTICES FOR THE BAD CHECKS FROM THE STORE WERE SENT TO MY OLD ADDRESS, BUT I HAD MOVED AND MISSED ALL MAIL SENT TO MY OLD DORM. I REALIZED MY MISTAKE AND PERSONALLY WENT TO THE DA OFFICE TO PAY THE AMOUNT AND ALL FINES.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC

Allegations: Client alleges the representative didn't make him aware of the tax implications of selling securities and as a result had pay to a large capital gains tax.

Product Type: Other: Managed Account

Alleged Damages: \$5,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 05/10/2021

Complaint Pending? No

Status: Closed/No Action

Status Date: 08/12/2021

Settlement Amount:

Individual Contribution Amount:

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC

Allegations: Client alleges the representative didn't make him aware of the tax implications of selling securities and as a result had to pay a large capital gains tax.

Product Type: Other: Managed Account

Alleged Damages: \$5,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information



Date Complaint Received: 05/10/2021
Complaint Pending? No
Status: Closed/No Action
Status Date: 08/12/2021
Settlement Amount:
Individual Contribution Amount:



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source: Firm

Firm Name: FIDELITY INVESTMENTS

Termination Type: Discharged

Termination Date: 11/16/2011

Allegations: FIRM ALLEGED THAT EMPLOYEE VIOLATED DEPARTMENT PROCEDURES BY RECORDING A CUSTOMER INTERACTION WITHOUT ACTUALLY HAVING HAD THE REQUISITE DEGREE OF INTERACTION WITH THE ADVISOR.

Product Type: No Product

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Reporting Source: Individual

Firm Name: FIDELITY INVESTMENTS INSTITUTIONAL SERVICES COMPANY, INC.

Termination Type: Discharged

Termination Date: 11/16/2011

Allegations: FIRM ALLEGED THAT I VIOLATED DEPARTMENT PROCEDURES BY RECORDING A CUSTOMER INTERACTION WITHOUT ACTUALLY HAVING HAD THE REQUISITE DEGREE OF INTERACTION WITH THE ADVISOR.

Product Type: No Product

Broker Statement I HAD AN INTERACTION WITH A REGISTERED CLIENT ASSOCIATE ON AN INBOUND LINE. I RECORDED THE INTERACTION. LATER, I CALLED THE ADVISOR BACK AND HAD A SUBSEQUENT INTERACTION. I CODED IT AS AN OUTBOUND CALL AND CHANGED BOTH INTERACTIONS TO JUST MAKE ONE ENTRY. I THOUGHT IT WAS THE APPROPRIATE ACTION INSTEAD OF ADDING AN ADDITIONAL INTERACTION AND INFLATING MY ACTIVITY STATISTICS.



End of Report

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