



IAPD Report

ANIDA VENNIRO

CRD# 5121189

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ANIDA VENNIRO (CRD# 5121189)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/20/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	INTERNATIONAL ASSETS INVESTMENT MANAGEMENT, LLC	CRD# 144426	06/24/2024

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	AMERIPRISE FINANCIAL SERVICES, LLC	6363	Farmington Hills, MI	03/30/2021 - 06/25/2024
IA	AMERIPRISE FINANCIAL SERVICES, LLC	6363	Farmington Hills, MI	03/30/2021 - 06/25/2024
IA	LPL FINANCIAL LLC	6413	FARMINGTON HILLS, MI	02/21/2012 - 03/30/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Criminal	1
Customer Dispute	1
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.


This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **INTERNATIONAL ASSETS INVESTMENT MANAGEMENT, LLC**

Main Address: 111 NORTH ORANGE AVENUE
SUITE 1000
ORLANDO, FL 32801

Firm ID#: 144426

	Regulator	Registration	Status	Date
	Michigan	Investment Adviser Representative	Approved	06/24/2024

Branch Office Locations

INTERNATIONAL ASSETS INVESTMENT MANAGEMENT, LLC

27950 Orchard Lake Road
Suite # 115
Farmington Hills, MI 48334

INTERNATIONAL ASSETS INVESTMENT MANAGEMENT, LLC

101 West Big Beaver Road
Suite 1400
Troy, MI 48084



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	08/02/2008
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/22/2006

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	02/15/2012
B Uniform Securities Agent State Law Examination (S63)	Series 63	05/30/2006



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	03/30/2021 - 06/25/2024	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	Farmington Hills, MI
IA	03/30/2021 - 06/25/2024	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	Farmington Hills, MI
IA	02/21/2012 - 03/30/2021	LPL FINANCIAL LLC	CRD# 6413	FARMINGTON HILLS, M
B	12/16/2010 - 03/30/2021	LPL FINANCIAL LLC	CRD# 6413	FARMINGTON HILLS, M
B	08/02/2010 - 12/15/2010	AXA ADVISORS, LLC	CRD# 6627	TROY, MI
B	02/18/2010 - 05/21/2010	SIGMA FINANCIAL CORPORATION	CRD# 14303	TROY, MI
B	06/22/2009 - 12/01/2009	SIGMA FINANCIAL CORPORATION	CRD# 14303	TROY, MI
B	04/24/2006 - 03/04/2009	METLIFE SECURITIES INC.	CRD# 14251	TROY, MI
B	04/24/2006 - 07/09/2007	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	TROY, MI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	International Assets Investment Management, LLC	Investment Adviser Representative	Y	Orlando, FL, United States
03/2021 - 06/2024	Ameriprise Financial Services, LLC	Registered Representative	Y	Farmington Hills, MI, United States
12/2010 - 03/2021	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	FARMINGTON HILLS, MI, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) INFINITY WEALTH MANAGEMENT - 101 W BIG BEAVER RD SUITE 1400, TROY, MI, 48313 - ADVISOR SINCE 8/12/2010 -



Registration & Employment History



OTHER BUSINESS ACTIVITIES

FEE-BASED COMPENSATION

- 2) 539 NAPLES LLC - 5234 MARLWOOD DR, WEST BLOOMFIELD, MI 48323 - RENTAL PROPERTY - ADMIN SINCE 01/19/2021 - RENTAL INCOME COMPENSATION
- 3) ANIDA VENNIRO - 2 RUE RAIBERTI, NICE, FRANCE 06100 - LANDLORD SINCE 09/01/2024 - RENTAL INCOME COMPENSATION
- 4) 1105 Wisconsin LLC - 100 Wisconsin Ave, Apt 1105, Madison, WI 53703 - RENTAL INCOME COMPENSATION
- 5) 10072 Venice LLC - 10072 Venice Ct, Naples, FL 34119 - RENTAL INCOME COMPENSATION
- 6) ANIDA VENNIRO - 49333 Mackinaw Ct, Shelby Township, MI 48313 - RENTAL INCOME COMPENSATION



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Criminal	1
Customer Dispute	1
Termination	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 2

Reporting Source:	Individual
Regulatory Action Initiated By:	STATE OF CALIFORNIA DEPARTMENT OF INSURANCE SACRAMENTO
Sanction(s) Sought:	Civil and Administrative Penalty(ies)/Fine(s)
Date Initiated:	05/22/2025
Docket/Case Number:	LIC 25-00104-A
Employing firm when activity occurred which led to the regulatory action:	AMERIPRISE FINANCIAL SERVICES, LLC
Product Type:	No Product
Allegations:	RESPONDENT SIGNED A NOTICE OF DEFENSE ADMITTING TO ALLEGATIONS THAT ON A RENEWAL APPLICATION IN 2023 SHE SUBMITTED FALSE ANSWER(S) AND AGREES TO PAY A FINE OF 554 DOLLARS TO THE STATE OF CA INSURANCE COMMISSIONER.
Current Status:	Final
Resolution:	Acceptance, Waiver & Consent(AWC)



Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?

Yes

Resolution Date:

06/02/2025

Sanctions Ordered:

Civil and Administrative Penalty(ies)/Fine(s)

Monetary Sanction 1 of 1

Monetary Related Sanction:

Civil and Administrative Penalty(ies)/Fine(s)

Total Amount:

\$554.00

Portion Levied against individual:

\$554.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual:

06/02/2025

Was any portion of penalty waived?

No

Amount Waived:

Disclosure 2 of 2

Reporting Source:

Regulator

Regulatory Action Initiated By:

FINRA

Sanction(s) Sought:

Other: N/A

Date Initiated:

09/20/2024

Docket/Case Number:

[2021070890701](#)

Employing firm when activity occurred which led to the regulatory action:

LPL Financial LLC

Product Type:

No Product

Allegations:

Without admitting or denying the findings, Venniro consented to the sanctions and to the entry of findings that she engaged in an OBA without providing prior written notice to her member firm. The findings stated that Venniro provided property management and commercial real-estate related services to two firm customers, with the reasonable expectation of receiving compensation for such services. This activity was outside the scope of Venniro's relationship with her firm.

Current Status:

Final

Resolution:

Acceptance, Waiver & Consent(AWC)



Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?

No

Resolution Date:

09/20/2024

Sanctions Ordered:

Civil and Administrative Penalty(ies)/Fine(s)
Suspension

If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?

No

(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?

(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or



(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?

Sanction 1 of 1

Sanction Type:	Suspension
Capacities Affected:	All capacities
Duration:	One month
Start Date:	10/07/2024
End Date:	11/06/2024

Monetary Sanction 1 of 1

Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	\$5,000.00
Portion Levied against individual:	\$5,000.00
Payment Plan:	Deferred
Is Payment Plan Current:	
Date Paid by individual:	
Was any portion of penalty waived?	No
Amount Waived:	



Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	Oakland County Sixth Circuit Court
Location of Court:	Oakland County, MI
Docket/Case #:	2022-282527-FH
Charge Date:	11/02/2022
Charge(s) 1 of 2	
Formal Charge(s)/Description:	Larceny in a Building (750.360)
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	Not guilty
Disposition of charge:	Dismissed
Charge(s) 2 of 2	
Formal Charge(s)/Description:	Breaking and entering; Illegal entry without owner's permission (750.115-A)
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	Nolo Contendere
Disposition of charge:	Convicted
Current Status:	Final
Status Date:	06/09/2023
Disposition Date:	06/09/2023
Sentence/Penalty:	\$75 fee for the Crime Victim Rights Fund; \$500 fine; \$50 court fee.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	LPL Financial LLC
Allegations:	Claimants allege that in 2015 their former LPL representative made misrepresentations and omissions in soliciting them to invest in a private real estate development.
Product Type:	Real Estate Security
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	Believed to be more than \$5000
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	21-01441
Filing date of arbitration/CFTC reparation or civil litigation:	06/04/2021

Customer Complaint Information

Date Complaint Received:	06/04/2021
Complaint Pending?	No
Status:	Settled
Status Date:	05/02/2022
Settlement Amount:	\$97,500.00
Individual Contribution Amount:	\$0.00

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Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	LPL Financial LLC



Allegations:	Claimants allege that in 2015 their former LPL representative made misrepresentations and omissions in soliciting them to invest in a private real estate development.
Product Type:	Real Estate Security
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	\$5,000 or more cannot be determined
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	21-01441
Filing date of arbitration/CFTC reparation or civil litigation:	06/04/2021

Customer Complaint Information

Date Complaint Received:	06/04/2021
Complaint Pending?	No
Status:	Settled
Status Date:	05/02/2022
Settlement Amount:	\$97,500.00
Individual Contribution Amount:	\$0.00



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Firm
Firm Name:	AMERIPRISE FINANCIAL SERVICES, LLC
Termination Type:	Permitted to Resign
Termination Date:	06/19/2024
Allegations:	Registered representative was permitted to resign for providing inaccurate information to the firm and FINRA related to Outside Business Activities.
Product Type:	No Product



End of Report

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