



IAPD Report

JESSICA LYNN NIEMINSKI

CRD# 5167744

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JESSICA LYNN NIEMINSKI (CRD# 5167744)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/18/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	FORTIS CAPITAL ADVISORS, LLC	CRD# 309709	01/03/2024
B	NETWORK 1 FINANCIAL SECURITIES INC.	CRD# 13577	02/09/2024

QUALIFICATIONS

This representative is currently registered in **2** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	CETERA INVESTMENT ADVISERS LLC	105644	S. BARRINGTON, IL	11/20/2017 - 12/31/2023
B	CETERA FINANCIAL SPECIALISTS LLC	10358	S. BARRINGTON, IL	06/29/2017 - 12/31/2023
IA	GENWORTH FINANCIAL ADVISERS CORPORATION	105644	S. BARRINGTON, IL	04/20/2007 - 10/18/2010

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 2 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **NETWORK 1 FINANCIAL SECURITIES INC.**

Main Address: THE GALLERIA, SUITE 241
2 BRIDGE AVENUE
RED BANK, NJ 07701

Firm ID#: 13577

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	02/09/2024
	Nasdaq Stock Market	General Securities Representative	Approved	02/09/2024
	Illinois	Agent	Approved	02/21/2024

Branch Office Locations

Huntley, IL

Employment 2 of 2

Firm Name: **FORTIS CAPITAL ADVISORS, LLC**

Main Address: 7301 MISSION ROAD
SUITE 326
PRAIRIE VILLAGE, KS 66208

Firm ID#: 309709

	Regulator	Registration	Status	Date
	Illinois	Investment Adviser Representative	Approved	01/03/2024

Branch Office Locations

FORTIS CAPITAL ADVISORS, LLC

10 Executive Court #2
South Barrington, IL 60010



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 3 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	06/29/2017
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/11/2006

State Securities Law Exams

Exam	Category	Date
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		Uniform Combined State Law Examination (S66)	Series 66	11/14/2017
		Uniform Investment Adviser Law Examination (S65)	Series 65	04/19/2007
		Uniform Securities Agent State Law Examination (S63)	Series 63	09/15/2006

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/20/2017 - 12/31/2023	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	S. BARRINGTON, IL
B	06/29/2017 - 12/31/2023	CETERA FINANCIAL SPECIALISTS LLC	CRD# 10358	S. BARRINGTON, IL
IA	04/20/2007 - 10/18/2010	GENWORTH FINANCIAL ADVISERS CORPORATION	CRD# 105644	S. BARRINGTON, IL
B	08/14/2006 - 10/18/2010	GENWORTH FINANCIAL SECURITIES CORPORATION	CRD# 10358	S. BARRINGTON, IL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2024 - Present	Network 1 Financial Securities, Inc.	Registered Representative	Y	Red Bank, NJ, United States
01/2024 - Present	Fortis Capital Advisors, LLC	Investment Advisor Representative	Y	Reno, NV, United States
02/2018 - Present	CLEARPATH FINANCIAL LLC	Registered Advisor	Y	S. BARRINGTON, IL, United States
04/2018 - 12/2023	CETERA FINANCIAL SPECIALISTS LLC	REGISTERED REP	Y	SCHAUMBURG, IL, United States
04/2018 - 12/2023	CETERA INVESTMENT ADVISERS LLC	REGISTERED INVESTMENT ADVISER	Y	SCHAUMBURG, IL, United States
06/2017 - 01/2023	ISAGENIX	INDEPENDENT REP	N	HUNTLEY, IL, United States
11/2017 - 04/2018	CETERA INVESTMENT ADVISERS, LLC	REGISTERED SALES ASSISTANT	Y	SCHAUMBURG, IL, United States
02/2017 - 04/2018	CETERA FINANCIAL SPECIALISTS LLC	REGISTERED SALES ASSISTANT	Y	SCHAUMBURG, IL, United States
01/2016 - 01/2018	NIEMINSKI ROBBINS & ASSOCIATES	ASSISTANT TO REGISTERED REP	Y	S. BARRINGTON, IL, United States
12/2014 - 12/2017	BEACHBODY	INDEPENDENT REP	N	HUNTLEY, IL, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) NAME OF OTHER BUSINESS: CLEARPATH FINANCIAL LLC;
INVESTMENT RELATED: YES;
ADDRESS: SAME AS REGISTERED LOCATION;
NATURE OF BUSINESS: DBA FOR FINANCIAL SERVICES;
START DATE: 02/2018;
POSITION/TITLE/RELATIONSHIP: Advisor
APX NUMBER OF HOURS PER WEEK: 40;
APX NUMBER OF HOURS DURING TRADING HOURS: 32.5;
BRIEF DESCRIPTION OF DUTIES: Investment advisory services

2) NAME OF OTHER BUSINESS: ClearPath Financial Insurance, LLC
INVESTMENT RELATED: YES
ADDRESS: SAME AS REGISTERED LOCATION
NATURE OF BUSINESS: FIXED INSURANCE SALES
START DATE: 10/2020
APX NUMBER OF HOURS PER WEEK: 4 ;
APX NUMBER OF HOURS DURING TRADING HOURS: 4 ;
POSITION/TITLE/RELATIONSHIP: INSURANCE AGENT
BRIEF DESCRIPTION OF DUTIES: SELLS HEALTH, LIFE, PROPERTY & CASUALTY ;

Fortis Capital Advisors, LLC, Reno, NV. Position: Investment Advisor Representative. Investment-related. Nature of business: Investment Advisors. Start date: January 2024.



End of Report

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