



IAPD Report

EVAN DREW SATERFIEL

CRD# 5193895

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

EVAN DREW SATERFIEL (CRD# 5193895)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/10/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	NEWEDGE ADVISORS	CRD# 171351	12/03/2018
B	NEWEDGE SECURITIES, LLC	CRD# 10674	03/06/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	TRIAD ADVISORS LLC	25803	Lafayette, LA	12/03/2018 - 03/08/2023
B	INFINEX INVESTMENTS, INC.	35371	LAFAYETTE, LA	07/01/2016 - 12/04/2018
IA	INFINEX INVESTMENTS, INC.	35371	LAFAYETTE, LA	07/01/2016 - 12/04/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 3 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **NEWEDGE SECURITIES, LLC**
Main Address: 1251 WATERFRONT PLACE
SUITE 510
PITTSBURGH, PA 15222-6368
Firm ID#: 10674

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	03/06/2023
B FINRA	Invest. Co and Variable Contracts	Approved	03/06/2023
B Louisiana	Agent	Approved	03/08/2023
B Mississippi	Agent	Approved	04/05/2024

Branch Office Locations

412 Settlers Trace Blvd.
Lafayette, LA 70508

412 SETTLERS TRACE
Lafayette, LA 70508

Employment 2 of 2

Firm Name: **NEWEDGE ADVISORS**
Main Address: 858 CAMP STREET
NEW ORLEANS, LA 70130
Firm ID#: 171351

Regulator	Registration	Status	Date
IA Louisiana	Investment Adviser Representative	Approved	12/03/2018
IA Texas	Investment Adviser Representative	Approved	12/07/2018

Branch Office Locations



Qualifications

NEWEDGE ADVISORS

412 Settlers Trace Blvd.
Lafayette, LA 70508



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
------	----------	------

No information reported.

General Industry/Product Exams

Exam	Category	Date
------	----------	------

Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	12/23/2008
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/05/2006

State Securities Law Exams

Exam	Category	Date
------	----------	------

Uniform Combined State Law Examination (S66)	Series 66	04/27/2009
--	-----------	------------

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	12/03/2018 - 03/08/2023	TRIAD ADVISORS LLC	CRD# 25803	Lafayette, LA
B	07/01/2016 - 12/04/2018	INFINEX INVESTMENTS, INC.	CRD# 35371	LAFAYETTE, LA
IA	07/01/2016 - 12/04/2018	INFINEX INVESTMENTS, INC.	CRD# 35371	LAFAYETTE, LA
IA	04/22/2009 - 07/01/2016	ESSEX NATIONAL SECURITIES, LLC	CRD# 25454	LAFAYETTE, LA
B	11/07/2007 - 07/01/2016	ESSEX NATIONAL SECURITIES, LLC	CRD# 25454	LAFAYETTE, LA
B	08/08/2006 - 11/08/2007	PROEQUITIES, INC.	CRD# 15708	BATON ROUGE, LA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2023 - Present	NewEdge Advisors, LLC.	Investment Advisor Representative	Y	New Orleans, LA, United States
12/2018 - Present	GWM Advisors, LLC	Partner/ Investment Adviser Representative	Y	New Orleans, LA, United States
12/2018 - 03/2023	Triad Advisors	Registered Representative	Y	Norcross, GA, United States
07/2016 - 12/2018	INFINEX INVESTMENTS, INC.	Mass Transfer	Y	LAFAYETTE, LA, United States
05/2006 - 12/2018	IBERIA INVESTMENT SERVICES	INVESTMENT REPRESENTATIVE/ JR.	Y	LAFAYETTE, LA, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) New Edge Advisors*Yes Invest*Baton Rouge, LA*investment advisor*Registered investment advisor*12/3/18*120 Per Mnth/120 Trade*Providing investment advice, trading, financial planning
- 2)Coastal Capital Advisors*Yes Invest*Lafayette, LA *Investment advisor*Investment advisor, planning, trading for NewEdge Advisors and New Edge Securities*12/3/18*160 Per mnth/160 trade*Investment advisor, planning, trading for NewEdge Advisors and New Edge Securities
- 2) Coastal Capital Advisors; DBA for NewEdge Securities business; 412 Settlers Trace Blvd. Lafayette LA 70508; Start date: 03/2023
- 3) Appointment with multiple carriers;investment related;insurance and fixed/index annuities;producer;12/3/2018;10 hrs/month;1 hr during trading;life insurance,disability,estate planning,fixed and index annuity production for clients,review accounts,service policies
- 4) Magnolia Rental Properties*No Invest*Lafayette, LA*Owner*rental properties*8/1/11*1 Per mnth/0 Trade*rental/leasing real estate, insurance and leasing activities, bookkeeping
- 5) Satco Holdings*No Invest*West Monroe, LA*Co-owner*real estate rentals*2/10/18*1 per mnth/0 trade*bookkeeping, insurance and website activities for storage unit rentals
- 6) T-Gems Investments LLC*Yes Invest*Lafayette, LA*Owner*entity established for tax purposes & operating expenses*12/26/18*0 Hrs
- 7) Ascension Episcopal School*No Invest*Lafayette, LA*board of trustees*education*5/11/21*0 Per mnth*board of trustee's for children's school. planning, review, oversight, strategy
- 8)Sligo Louisiana LLC*No Invest*Lake Charles, LA*Co-Owner*land investment*9/15/21*1 per mnth/0trade*timber management, leased revenue
- 9) T-Gems Farms;not investment relaed;157 Shannon Rd,Lafayette,LA 70503;land investment;owner;9/2021;1 hr/month;0 hrs during trading;timber company & leased revenue
- 10) Saint Mary OC,LLC*No Invest*Lafayette, LA*Co-owner*real estate ownership and leasing*5/2/22*0 Hrs*leasing and bookkeeping
- 11) Ochsner Hospital*No Invest*Lafayette, LA*finance committee*non-profit hospital finance committee*5/1/22*1 Per mnth/1 trade*review monthly financial for not for profit hospital
- 12) Tooth Hurty LLC*No Invest*Lafayette, LA*Owner*Real Estate*6/1/15*0 Per mnth*real estate ownership and lessor
- 13)Appointment w/ multiple Insurance carriers*Yes Invest* Lafayette, LA*insurance agent*insurance, annuities producer*12/3/18*40 hrs per mnth/40 trade*appt. w/ multiple carriers; investment related, life insurance, disability, estate planning, fixed and index annuity production for clients, review accts, service policies
- 14)Acadiana Coastal Holdings*No Invest*Lafayette, LA*Owner*real estate purchase of an RV & Boat storage facility*10/20/23*1 Hr per mnth/0 trade*I handle bookkeeping commercial insurance, bill paying in hours outside of trading times.
- 15.Chateau Bow Wow LLC*No Invest*Lafayette, LA*dog boarding, daycare and grooming*5/5/25*Owner*I will not have any daily activities. General Manger in place to handle all business activities*1 Hour per mnth



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	TRIAD ADVISORS LLC
Allegations:	Customer alleges financial professional misrepresented the implications of exchanging a life insurance policy for a variable annuity in 2021.
Product Type:	Annuity-Variable Insurance
Alleged Damages:	\$79,951.00
Is this an oral complaint?	Yes
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	07/22/2024
Complaint Pending?	No
Status:	Settled
Status Date:	09/16/2024
Settlement Amount:	\$25,000.00
Individual Contribution Amount:	\$0.00



Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	TRIAD ADVISORS LLC
Allegations:	Customer Alleges Financial professional Misrepresented the implications of exchanging a life insurance policy for a variable annuity in 2021.
Product Type:	Annuity-Variable Insurance
Alleged Damages:	\$79,951.00
Is this an oral complaint?	Yes
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	07/22/2024
Complaint Pending?	No
Status:	Settled
Status Date:	09/16/2024
Settlement Amount:	\$25,000.00
Individual Contribution Amount:	\$0.00



End of Report

This page is intentionally left blank.