



IAPD Report

Anthony G Pirro

CRD# 5203483

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Anthony G Pirro (CRD# 5203483)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/09/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	MONEY CONCEPTS CAPITAL CORP	CRD# 12963	11/29/2011
IA	MONEY CONCEPTS ADVISORY SERVICE	CRD# 12963	05/23/2013

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Criminal	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **11** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **MONEY CONCEPTS ADVISORY SERVICE**
Main Address: 11440 JOG ROAD
PALM BEACH GARDENS, FL 33418
Firm ID#: 12963

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	11/29/2011
B	Arkansas	Agent	Approved	01/05/2015
B	Colorado	Agent	Approved	09/17/2018
B	Connecticut	Agent	Approved	05/20/2013
B	Florida	Agent	Approved	03/28/2018
B	Georgia	Agent	Approved	09/21/2017
B	New Jersey	Agent	Approved	11/15/2023
B	New York	Agent	Approved	05/13/2013
IA	New York	Investment Adviser Representative	Approved	04/08/2021
B	Ohio	Agent	Approved	10/23/2017
B	Pennsylvania	Agent	Approved	09/14/2020
B	South Carolina	Agent	Approved	05/11/2022
B	Texas	Agent	Approved	05/20/2025



Qualifications

Branch Office Locations

MONEY CONCEPTS ADVISORY SERVICE

700 WHITE PLAINS RD SUITE 356
SCARSDAL, NY 10583



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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General Securities Representative Examination (S7)	Series 7	11/28/2011
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State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	02/06/2012
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:



No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2011 - Present	ANTHONY G PIRRO CPA PC	CPA TAX PRACTITIONER (PRES)	N	SCARSDALE, NY, United States
09/2006 - Present	MONEY CONCEPTS CAPITAL CORP	REG. REP.	Y	PALM BEACH GARDENS, FL, United States
07/1981 - Present	ANTHONY G PIRRO ACCOUNTANT & TAX SERVICES	ACCOUNTANT	N	SCARSDALE, NY, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

ANTHONY G PIRRO CPA PC, TAX PRACTITIONER SINCE 1/1984, SELF EMPLOYED, ACCOUNTING AND BOOKKEEPING SERVICES. FULLTIME 200 HRS A MONTH. 40 HRS PART TIME.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Criminal	2

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Individual
Regulatory Action Initiated By:	CPA BOARD OF REGENTS THE STATE EDUCATION DEPT OF NY
Sanction(s) Sought:	Revocation
Date Initiated:	12/17/2003
Docket/Case Number:	ORIGINAL VOTE AND ORDER NO. 20798
Employing firm when activity occurred which led to the regulatory action:	SELF - ANTHONY G PIRRO CPA
Product Type:	No Product
Allegations:	INDICTED ON 32 COUNTS AND JURY CONVICTED ON 17. CONVICTED OF CONSPIRACY TO EVADE INCOME TAXES UNDER TITLE 18 OF THE FEDERAL GOVT.
Current Status:	Final
Resolution:	Settled
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	05/27/2004
Sanctions Ordered:	Other: CONVICTED ON ONE COUNT OF CONSPIRACY TO COMMIT TAX



EVASION AND FRAUD. CONVICTED ON TWO COUNTS OF EVASION.
CONVICTED ON NINE COUNTS OF TAX FRAUD. SENTENCED TO
37MONTHS IN PRISON AND 24 MONTHS PROBATION.

Broker Statement

SINCE MY RELEASE FROM PRISON IN JUNE 2003, I HAVE EARNED 120
HOURS OF CPE CREDITS, JOINED THE EASTCHESTER NY LIONS CLUB AND
IN A FEW WEEKS I HOPE TO PASS MY SERIES 7 ALONG WITH THE SERIES
66. IN JUNE OF 2007 I WILL GO BEFORE THE CPA BOARD AND APPLY FOR
RE-INSTATEMENT.

2011 UPDATE: BOARD OF REGENTS REINSTATED CPA LICENSE AS OF
OCTOBER 19,2010



Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 2

Reporting Source: Individual

Organization Name (if charge(s) were brought against an organization over which individual exercised control): N/A

Court Details: COMMONWEALTH OF VIRGINIA - CIRCUIT COURT OF ROCKBRIDGE COUNTY
NO. CR91000263-00

Charge Date: 08/09/1991

Charge Details: FELONY CHARGE
POSSESSION OF COCAINE
SECTION 18.2-250A

Felony? Yes

Current Status: Final

Status Date: 04/22/1992

Disposition Details: FOUND GUILTY OF POSSESSING COCAINE. SENTENCED TO CONFINEMENT IN THE PENITENTIARY OF COMMONWEALTH OF VIRGINIA FOR A TERM OF THREE YEARS. COURT SUSPENDED THE SENTENCE AND DEFENDANT PLACED ON SUPERVISED PROBATION FOR A PERIOD OF THREE YEARS.

Broker Statement SERVED THE PROBATION AND DRUG TREATMENT AS PRESCRIBED BY THE COURT.

Disclosure 2 of 2

Reporting Source: Individual

Formal Charges were brought in: Federal Court

Name of Court: NY FEDERAL COURT

Location of Court: WHITE PLAINS, NEW YORK

Docket/Case #: 99CR0182

Charge Date: 02/23/2000

Charge(s) 1 of 1

Formal Charge(s)/Description: INDICTED ON 32 COUNTS AND JURY CONVICTED ON 17. CONVICTED OF CONSPIRACY TO EVADE INCOME TAXES UNDER TITLE 18 OF THE FEDERAL GOVT.

No of Counts: 32

Felony or Misdemeanor: Felony

Plea for each charge: NOT GUILTY

Disposition of charge: Pled not guilty



Current Status:	Final
Status Date:	09/15/2005
Disposition Date:	09/15/2005
Sentence/Penalty:	CONVICTED ON ONE COUNT OF CONSPIRACY TO COMMIT TAX EVASION AND FRAUD. CONVICTED ON TWO COUNTS OF EVASION. CONVICTED ON NINE COUNTS OF TAX FRAUD. SENTENCED TO 37 MONTHS IN PRISON AND 24 MONTHS PROBATION.
Broker Statement	<p>SINCE MY RELEASE FROM PRISON IN JUNE 2003, I HAVE EARNED 120 HOURS OF CPE CREDITS, JOINED THE EASTCHESTER NY LIONS CLUB AND IN A FEW WEEKS I HOPE TO PASS MY SERIES 7 ALONG WITH THE SERIES 66. IN JUNE OF 2007 I WILL GO BEFORE THE CPA BOARD AND APPLY FOR RE-INSTATEMENT.</p> <p>2011 UPDATE: BOARD OF REGENTS REINSTATED CPA LICENSE AS OF OCTOBER 19,2010</p> <p>NOT CONTESTING THE CONVICTION THERE WAS FAMILY DYNAMICS INVOLVED INCLUDING ABUSE AND INTIMIDATION BY MY OLDER BROTHER AND CO-DEFENDENT, ALBERT J PIRRO JR. I APPEALED THE CONVICTION AND LOST BASED ON THE ABOVE CIRCUMSTANCES.I REGRET HAVING COMMITTED THIS CRIME AND JEOPARDIZING MY FAMILY. AT THIS STAGE OF MY LIFE ALL I WANT TO DO IS TO LEAD THE LIFE OF A GOOD CITIZEN AND PROVIDE FOR THE FUTURE OF MY DAUGHTER. THANK YOU FOR ANY ASSISTANCE YOU MAY PROVIDE.</p>



End of Report

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