



IAPD Report

BRENT FRANKLIN ARNOLD

CRD# 5216992

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

BRENT FRANKLIN ARNOLD (CRD# 5216992)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/05/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	KESTRA INVESTMENT SERVICES, LLC	CRD# 42046	04/03/2023
IA	KESTRA ADVISORY SERVICES, LLC	CRD# 283330	04/03/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **14** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LPL FINANCIAL LLC	6413	MOUNTAINLAKE TERRACE, WA	06/24/2015 - 04/05/2023
B	LPL FINANCIAL LLC	6413	MOUNTAINLAKE TERRACE, WA	06/22/2015 - 04/05/2023
B	ALLSTATE FINANCIAL SERVICES, LLC	18272	LYNNWOOD, WA	11/18/2008 - 06/23/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **14** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **KESTRA INVESTMENT SERVICES, LLC**
Main Address: 5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735
Firm ID#: 42046

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	04/03/2023
B	FINRA	Invest. Co and Variable Contracts	Approved	04/03/2023
B	FINRA	Investment Co./Variable Contracts Prin	Approved	04/03/2023
B	Alaska	Agent	Approved	04/03/2023
B	Arizona	Agent	Approved	04/03/2023
B	California	Agent	Approved	04/03/2023
B	Florida	Agent	Approved	04/03/2023
B	Georgia	Agent	Approved	04/03/2023
B	Hawaii	Agent	Approved	04/03/2023
B	Illinois	Agent	Approved	06/07/2024
B	Iowa	Agent	Approved	04/03/2023
B	Montana	Agent	Approved	04/03/2023
B	North Carolina	Agent	Approved	04/14/2023



Qualifications

	Regulator	Registration	Status	Date
B	Ohio	Agent	Approved	04/03/2023
B	Oregon	Agent	Approved	04/03/2023
B	Utah	Agent	Approved	04/03/2023
B	Washington	Agent	Approved	04/03/2023

Branch Office Locations

NFP ADVISOR SERVICES, LLC
Kissimmee, FL

NFP ADVISOR SERVICES, LLC
4208 198th St SW
Suite 209
Lynnwood, WA 98036

Employment 2 of 2

Firm Name: **KESTRA ADVISORY SERVICES, LLC**
Main Address: 5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735
Firm ID#: 283330

	Regulator	Registration	Status	Date
IA	Florida	Investment Adviser Representative	Approved	04/19/2023
IA	Washington	Investment Adviser Representative	Approved	04/03/2023

Branch Office Locations

KESTRA ADVISORY SERVICES, LLC
Kissimmee, FL

KESTRA ADVISORY SERVICES, LLC
4208 198th St SW
Suite 209
Lynnwood, WA 98036



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	04/10/2007

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	06/13/2018
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	09/21/2006

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	03/28/2008
B Uniform Securities Agent State Law Examination (S63)	Series 63	09/21/2006



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	06/24/2015 - 04/05/2023	LPL FINANCIAL LLC	CRD# 6413	MOUNTAINLAKE TERR/ WA
B	06/22/2015 - 04/05/2023	LPL FINANCIAL LLC	CRD# 6413	MOUNTAINLAKE TERR/ WA
B	11/18/2008 - 06/23/2015	ALLSTATE FINANCIAL SERVICES, LLC	CRD# 18272	LYNNWOOD, WA
IA	04/25/2008 - 12/08/2008	INVESTMENT ADVISORS INTERNATIONAL, INC.	CRD# 139233	OAK HARBOR, WA
B	09/22/2006 - 12/08/2008	WORLD GROUP SECURITIES, INC.	CRD# 114473	OAK HARBOR, WA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2023 - Present	Kestra Advisory Services LLC	Investment Advisor	Y	Mountlake Terrace, WA, United States
04/2023 - Present	Kestra Investment Services LLC	Registered Representative	Y	Mountlake Terrace, WA, United States
06/2015 - 04/2023	LPL FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	Mountlake Terrace, WA, United States
05/2014 - 04/2023	TEMPLAR FINANCIAL SERVICES, INC	AGENT	Y	Mountlake Terrace, WA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 6/22/2015 - TEMPLAR FINANCIAL SERVICES, INC. - Investment Related - At Reported Business Location(s) - DBA FOR LPL BUSINESS (ENTITY FOR LPL BUSINESS) - Started 04/01/2014 - Time Spent 98%.
- 9/16/2020 - Templar Holdings - Investment Related - Proposed address 23700 Edmonds Way, Edmonds, WA - Real Estate Rental - Started 08/26/2020 - 5 Hours Per Month/0 Hours During Securities Trading - Holding company for purchase of new office location.
- 11/20/2020 - Templar Farming/Templar Services - Not Investment Related - 3787 State Hwy 45, Colusa, CA, USA - Other-



Registration & Employment History



OTHER BUSINESS ACTIVITIES

Family Business - Owner - Start Date: 8/17/2020 - 30 Hours Per Month/15 Hours During Securities Trading - Family farm & ranch.

4. 4/1/2021 - Templar Financial Services - Investment Related - At Reported Business Location(s) - Insurance Agency - President, agent - Start Date: 3/3/2021 - 40 Hours Per Month/30 Hours During Securities Trading - Fixed insurance business including life, health, and disability.

Name: KESTRA ADVISORY SERVICES, LLC POSITION: IAR NATURE: Investment advisory services through Kestra Advisory Services, LLC INVESTMENT RELATED: Yes # OF HOURS: 120 SECURITIES TRADING HOURS: 100 START DATE: 04/03/2023 ADDRESS: 5707 SW Parkway, Bldg 2, Suite 400, Austin TX 78735 DESCRIPTION: IAR

Name: TEMPLAR FARMING POSITION: Owner NATURE: Family Farming Operation INVESTMENT RELATED: No # OF HOURS: 24 SECURITIES TRADING HOURS: 12 START DATE: 03/06/2023 ADDRESS: 3787 State Hwy 45, Colusa, CA, USA, Colusa CA 95932 DESCRIPTION: General farm management and maintenance. Appx three days per month of upkeep on site, with an additional two weeks for harvest the end of October/beginning of November. Full connectivity on site for completion of trading and advisory activities as necessary.

Name: TEMPLAR HOLDINGS, INC. POSITION: Owner, President NATURE: Real Estate INVESTMENT RELATED: Yes # OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 03/06/2023 ADDRESS: 9115 176th Street SE, Snohomish WA 98296 DESCRIPTION: Management and decision maker for properties owned, duration and type of lease, general upkeep.

Name: TEMPLAR FINANCIAL SERVICES, INCORPORATED POSITION: RR NATURE: Insurance INVESTMENT RELATED: Yes # OF HOURS: 120 SECURITIES TRADING HOURS: 80 START DATE: 04/03/2023 ADDRESS: 21907 64th Avenue West, Suite 100, Mountlake Terrace WA 98043 DESCRIPTION: Day to day management and product sales/advice. RR

Name: TEMPLAR RE HOLDINGS, LLC POSITION: Owner, Manager NATURE: Real Estate INVESTMENT RELATED: Yes # OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 12/01/2025 ADDRESS: 3001 Bloomsbury Dr, Kissimmee FL 34747, United States DESCRIPTION: Management and decision maker for properties owned, duration and type of lease, general upkeep.

Name: COLUSA MASONIC LODGE POSITION: President NATURE: Board position INVESTMENT RELATED: No # OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 03/06/2023 ADDRESS: 311 5th Street, Colusa CA 95932, United States DESCRIPTION: Monthly management of the entity and club. I've purposefully kept myself off of the financial accounts and have no financial authority beyond approval or rejection of expenditures.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	ALLSTATE FINANCIAL SERVICES, LLC
Allegations:	CUSTOMERS ALLEGE THE VARIABLE ANNUITIES WERE MISREPRESENTED TO THEM AND THAT THEY WERE TOLD THE ANNUITIES WOULD GET A BETTER RETURN AND GREATER POTENTIAL EARNINGS THAN THEIR INDEXED ANNUITIES.
Product Type:	Annuity-Variable
Alleged Damages:	\$7,823.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	09/24/2012
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	10/18/2012
Settlement Amount:	\$0.00



Individual Contribution Amount:	\$0.00
--	---------------



End of Report

This page is intentionally left blank.