



IAPD Report

John Carl Blanck

CRD# 5251950

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4
Disclosure Information	5

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

John Carl Blanck (CRD# 5251950)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/14/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	SUCCESS WEALTH MANAGEMENT LLC	CRD# 299094	01/27/2023

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	THE LEADERS GROUP, INC.	37157	Traverse City, MI	05/03/2011 - 01/27/2023
B	PROEQUITIES, INC.	15708	TRAVERSE CITY, MI	06/28/2010 - 03/31/2011
B	UVEST FINANCIAL SERVICES GROUP, INC.	13787	TRAVERSE CITY, MI	03/19/2007 - 05/21/2010

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3




Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **SUCCESS WEALTH MANAGEMENT LLC**
Main Address: 11676 PERRY HIGHWAY
SUITE 1207
WEXFORD, PA 15090
Firm ID#: 299094

Regulator	Registration	Status	Date
 Michigan	Investment Adviser Representative	Approved	01/27/2023

Branch Office Locations

SUCCESS WEALTH MANAGEMENT LLC
8468 M-119
Suite 19 B
Harbor Springs, MI 49740



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	03/16/2007

State Securities Law Exams

Exam	Category	Date
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Uniform Investment Adviser Law Examination (S65)	Series 65	10/17/2022
Uniform Securities Agent State Law Examination (S63)	Series 63	01/02/2008

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	05/03/2011 - 01/27/2023	THE LEADERS GROUP, INC.	CRD# 37157	Traverse City, MI
B	06/28/2010 - 03/31/2011	PROEQUITIES, INC.	CRD# 15708	TRAVERSE CITY, MI
B	03/19/2007 - 05/21/2010	UVEST FINANCIAL SERVICES GROUP, INC.	CRD# 13787	TRAVERSE CITY, MI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2023 - Present	Success Wealth Management LLC	Investment Advisor Representative	Y	Wexford, PA, United States
05/2014 - Present	JB Financial LLC	Sole Member	N	Harbor Springs, MI, United States
03/2011 - Present	American Senior Benefits	Insurance Agent	N	Traverse City, MI, United States
05/2011 - 01/2023	The Leaders Group, Inc.	Registered Representative	Y	Littleton, CO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1.) AMERICAN SENIOR BENEFITS - 3/1/2011 - 2062 N US 31 S, Traverse City, MI 49685 - Insurance Agent, insurance sales, insurance business, Not Invt Rel, 160 hrs/mo; 100 hrs/mo (during trading hours).
- 2.) JB FINANCIAL LLC - 5/1/2014 - 5250 Lacount Rd Harbor Springs, MI 49740 - Sole Member, president/ insurance sales manager, insurance business, Not Invt Rel, 160 hrs/mo; 100 hrs/mo (during trading hours).



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Success Wealth Management, LLC
Allegations:	Fraudulent misrepresentation; fraudulent inducement to enter into contracts; silent fraud; breach of fiduciary duty; violation of Michigan Consumer Protection Act; common law conversion and statutory conversion pursuant to MCL 600.2919A; concert of action.
Product Type:	Annuity-Fixed Insurance
Alleged Damages:	\$9,000,000.00
Alleged Damages Amount Explanation (if amount not exact):	Seeking the following relief: whatever amount in excess of \$25,000 to which plaintiff is found to be entitled, plus interest, costs and attorneys fees; treble damages in the amount of \$9,000,000.00, in addition to costs and attorneys fees under that statute; rescission of the contract of insurance between Haight and Defendant Insurance Company of Brentwood, TN; attorneys fees and costs incurred in pursuing this action; and all other legal and equitable relief to which plaintiff is entitled.

Civil Litigation Information

Type of Court:	State Court
Name of Court:	State of Michigan 42nd Circuit Court for the County of Midland
Location of Court:	Midland County, Michigan
Docket/Case #:	25-4033-CZ-B
Date Notice/Process Served:	09/15/2025



Litigation Pending? Yes

Disclosure 2 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: PROEQUITIES, INC.

Allegations: Customer alleges that the registered representative did not explain that there would be surrender charges on the liquidation of fixed annuities, which were liquidated to purchase a mutual fund. The client alleges that the mutual fund was never purchased as the representative resigned from the firm prior to receiving the monies from the liquidation of the annuities to purchase the fund.

Product Type: Annuity-Fixed

Alleged Damages: \$5,000.00

Alleged Damages Amount Explanation (if amount not exact): Damage amount is surrender charges appearing to be greater than \$5000

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 04/20/2012

Complaint Pending? No

Status: Closed/No Action

Status Date: 05/31/2012

Settlement Amount:

Individual Contribution Amount:

Firm Statement In discussion with the client, she requested that the firm send her a check for the cash in her account and close the account. The firm sent the check as requested and closed her account. No settlement was made with the client.

Disclosure 3 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: PROEQUITIES, INC.

Allegations: CUSTOMER ALLEGES THAT THE REGISTERED REPRESENTATIVE DID NOT EXPLAIN THAT THERE WOULD BE SURRENDER CHARGES ON THE LIQUIDATION OF FIXED ANNUITIES, WHICH WERE LIQUIDATED TO PURCHASE A MUTUAL FUND. THE CLIENT ALLEGES THAT THE MUTUAL FUND WAS NEVER PURCHASED AS THE REPRESENTATIVE RESIGNED FROM THE FIRM PRIOR TO RECEIVING THE MONIES FROM THE



LIQUIDATION OF THE ANNUITIES TO PURCHASE THE FUND.

Product Type: Annuity-Fixed
Mutual Fund

Alleged Damages: \$5,000.00

Alleged Damages Amount Explanation (if amount not exact): DAMAGE AMOUNT IS SURRENDER CHARGES APPEARING TO BE GREATER THAN \$5000

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 04/20/2012

Complaint Pending? No

Status: Closed/No Action

Status Date: 05/31/2012

Settlement Amount: \$0.00

Individual Contribution Amount: \$0.00

Firm Statement IN DISCUSSION WITH THE CLIENT, SHE REQUESTED THAT THE FIRM SEND HER A CHECK FOR THE CASH IN HER ACCOUNT AND CLOSE THE ACCOUNT. THE FIRM SENT THE CHECK AS REQUESTED AND CLOSED HER ACCOUNT; NO SETTLEMENT WAS MADE WITH THE CLIENT.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: PROEQUITIES INC

Allegations: CUSTOMER ALLEGES SHE WAS SCAMMED, MISLED & TAKEN ADVANTAGE OF BY ME. CUSTOMER ALSO ALLEGES THAT I DIDN'T EXPLAN THAT THERE WOULD BE SURRENDER CHARGES ON HER POLICIES.

Product Type: Annuity-Fixed

Alleged Damages: \$5,000.00

Alleged Damages Amount Explanation (if amount not exact): DAMAGE AMOUNT IS SURRENDER CHARGES APPEARING TO BE GREATER THAN \$5,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 04/20/2012



Complaint Pending?	No
Status:	Closed/No Action
Status Date:	05/31/2012
Settlement Amount:	\$0.00
Individual Contribution Amount:	\$0.00
Broker Statement	<p>THE FIRM IS RESEARCHING THE ALLEGATIONS & HAS NO FURTHER UPDATE AT THIS TIME. IN DISCUSSION WITH CLIENT SHE REQUESTED THE FIRM SEND HER A CHECK FOR THE CAS IN HER ACCOUNT & CLOSE THE ACCOUNT. THE FIRM SENT THE CHECK AS REQUESTED & CLOSED HER ACCOUNT. NO SETTLEMENT WAS MADE WITH THE CLIENT.</p>



End of Report

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