



## IAPD Report

**BECKY LIGHTMAN**

CRD# 5373242

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Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### BECKY LIGHTMAN (CRD# 5373242)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/12/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	BISON WEALTH, LLC	CRD# 299805	10/08/2024
B	METRIC FINANCIAL, LLC	CRD# 33324	12/12/2025

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	BANYAN GLOBAL INVESTMENT ADVISORS, LLC	283264	BOCA RATON, FL	01/13/2021 - 10/08/2024
IA	UBS FINANCIAL SERVICES INC.	8174	BOCA RATON, FL	08/28/2019 - 12/23/2020
B	UBS FINANCIAL SERVICES INC.	8174	BOCA RATON, FL	05/07/2019 - 12/23/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **METRIC FINANCIAL, LLC**  
Main Address: 1180 WEST PEACHTREE ST.NW  
SUITE 1910  
ATLANTA, GA 30309  
Firm ID#: 33324


	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	12/12/2025
	Florida	Agent	Approved	12/12/2025

#### Branch Office Locations

**NEWBURY, PIRET & CO., INC.**  
Delray Beach, FL

#### Employment 2 of 2

Firm Name: **BISON WEALTH, LLC**  
Main Address: 3550 LENOX ROAD NE  
SUITE 2550  
ATLANTA, GA 30326  
Firm ID#: 299805

	Regulator	Registration	Status	Date
	Florida	Investment Adviser Representative	Approved	10/08/2024

#### Branch Office Locations

**BISON WEALTH, LLC**  
DELRAY BEACH, FL

**BISON WEALTH, LLC**  
980 North Federal Highway  
Suite 110, PMB 1081  
Boca Raton, FL 33432



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 3 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
<b>B</b> General Securities Representative Examination (S7TO)	Series 7TO	03/22/2024
<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
<b>B</b> General Securities Representative Examination (S7)	Series 7	11/11/2008

#### State Securities Law Exams

Exam	Category	Date
<b>IA</b> <b>B</b> Uniform Combined State Law Examination (S66)	Series 66	08/02/2019
<b>IA</b> Uniform Investment Adviser Law Examination (S65)	Series 65	08/04/2011
<b>B</b> Uniform Securities Agent State Law Examination (S63)	Series 63	01/03/2009



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/13/2021 - 10/08/2024	BANYAN GLOBAL INVESTMENT ADVISORS, LLC	CRD# 283264	BOCA RATON, FL
IA	08/28/2019 - 12/23/2020	UBS FINANCIAL SERVICES INC.	CRD# 8174	BOCA RATON, FL
B	05/07/2019 - 12/23/2020	UBS FINANCIAL SERVICES INC.	CRD# 8174	BOCA RATON, FL
B	07/18/2014 - 04/02/2019	NORTHERN TRUST SECURITIES, INC.	CRD# 7927	MIAMI, FL
IA	08/08/2011 - 07/22/2014	CREDIT SUISSE SECURITIES (USA) LLC	CRD# 816	WEST PALM BEACH, FL
B	06/30/2011 - 07/22/2014	CREDIT SUISSE SECURITIES (USA) LLC	CRD# 816	WEST PALM BEACH, FL
B	11/12/2008 - 07/08/2011	J.P. MORGAN SECURITIES LLC	CRD# 79	MIAMI, FL

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2025 - Present	Metric Financial LLC	Registered Representative	Y	Atlanta, GA, United States
01/2025 - Present	Bison Wealth, LLC.	Investment Adviser Representative	Y	Atlanta, GA, United States
10/2024 - 12/2024	Bison Advisors, LLC.	Investment Adviser Representative	Y	Hartwell, GA, United States
12/2020 - 10/2024	Banyan Global Investment Advisors, LLC dba Lightman Capital	Investment Adviser Representative	Y	Boca Raton, FL, United States
05/2019 - 12/2020	UBS Financial Services	Sr Vice President	Y	West Palm Beach, FL, United States
06/2014 - 05/2019	Northern Trust	Sr Vice President	Y	Boca Raton, FL, United States



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Charles Wayne Properties located at 444 Seabreeze Blvd Daytona Beach, FL and is only used for personal investments. Becky Lightman is a licensed Real Estate Agent. Not Investment Related, Start Date: 1/1/2009. Spends 1 hour per month on this activity.
2. Florida Atlantic University College of Business located in Boca Raton, Florida. Lightman sits on the FAU College of Business Advisory Board. The board's mission is to assist FAU students with best access to pre-professional tools, including educational programming, access to internships, and getting interesting speakers to campus. Not Investment Related. Start Date: 09/2025. Spends two hours per month on this activity.
3. Yale Club of the Palm Beaches located in Palm Beach, Florida. As an unpaid board member of the Yale Club of the Palm Beaches, Lightman assists with programming for alumni, and also visits Yale as part of the Association of Yale Alumni on a yearly basis. She assists with school interviews, the book award program, and other programming for alumni in the area. Not Investment Related, Start Date 9/2014. Spends three hours per month on this activity.
4. Lightman Capital LLC is a company that Becky Lightman owns and is a DBA that she does her investment advisory business through Bison Wealth. Start Date: 01/2021. Investment Related. She spends 120 hours per month on this activity.



## End of Report

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