



**IAPD Report**  
**KIRA HILL**  
CRD# 5440669

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### KIRA HILL (CRD# 5440669)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/15/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	03/19/2024
<b>IA</b>	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	03/20/2024

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **50** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>B</b>	LPL FINANCIAL LLC	6413	FORT MILL, SC	01/08/2024 - 02/13/2024
<b>IA</b>	LPL FINANCIAL LLC	6413	Bacliff, TX	01/08/2024 - 02/13/2024
<b>IA</b>	VALIC FINANCIAL ADVISORS, INC.	42803	HOUSTON, TX	10/25/2022 - 12/20/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Financial	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **50** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **VALIC FINANCIAL ADVISORS, INC.**  
Main Address: 2919 ALLEN PKWY  
HOUSTON, TX 77019  
Firm ID#: 42803

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Representative	Approved	03/19/2024
<b>B</b>	FINRA	Operations Professional	Approved	03/19/2024
<b>B</b>	Alabama	Agent	Approved	02/28/2025
<b>B</b>	Alaska	Agent	Approved	03/04/2025
<b>B</b>	Arizona	Agent	Approved	03/07/2025
<b>B</b>	Arkansas	Agent	Approved	03/03/2025
<b>B</b>	California	Agent	Approved	02/28/2025
<b>B</b>	Colorado	Agent	Approved	03/04/2025
<b>B</b>	Connecticut	Agent	Approved	02/28/2025
<b>B</b>	Delaware	Agent	Approved	03/03/2025
<b>B</b>	District of Columbia	Agent	Approved	03/04/2025
<b>B</b>	Florida	Agent	Approved	02/28/2025
<b>B</b>	Georgia	Agent	Approved	02/28/2025



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Hawaii	Agent	Approved	02/28/2025
<b>B</b> Idaho	Agent	Approved	02/27/2025
<b>B</b> Illinois	Agent	Approved	03/11/2025
<b>B</b> Indiana	Agent	Approved	03/04/2025
<b>B</b> Iowa	Agent	Approved	03/03/2025
<b>B</b> Kansas	Agent	Approved	02/27/2025
<b>B</b> Kentucky	Agent	Approved	03/03/2025
<b>B</b> Louisiana	Agent	Approved	02/28/2025
<b>B</b> Maine	Agent	Approved	02/28/2025
<b>B</b> Maryland	Agent	Approved	03/05/2025
<b>B</b> Massachusetts	Agent	Approved	03/03/2025
<b>B</b> Michigan	Agent	Approved	03/03/2025
<b>B</b> Minnesota	Agent	Approved	03/03/2025
<b>B</b> Mississippi	Agent	Approved	03/03/2025
<b>B</b> Missouri	Agent	Approved	05/15/2025
<b>B</b> Montana	Agent	Approved	03/05/2025
<b>B</b> Nebraska	Agent	Approved	02/27/2025
<b>B</b> Nevada	Agent	Approved	02/27/2025
<b>B</b> New Hampshire	Agent	Approved	03/04/2025



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> New Jersey	Agent	Approved	02/28/2025
<b>B</b> New Mexico	Agent	Approved	03/14/2025
<b>B</b> New York	Agent	Approved	03/02/2025
<b>B</b> North Carolina	Agent	Approved	03/03/2025
<b>B</b> North Dakota	Agent	Approved	03/03/2025
<b>B</b> Ohio	Agent	Approved	03/03/2025
<b>B</b> Oklahoma	Agent	Approved	03/03/2025
<b>B</b> Oregon	Agent	Approved	03/04/2025
<b>B</b> Pennsylvania	Agent	Approved	02/28/2025
<b>B</b> Rhode Island	Agent	Approved	02/28/2025
<b>B</b> South Carolina	Agent	Approved	03/04/2025
<b>B</b> South Dakota	Agent	Approved	03/03/2025
<b>B</b> Texas	Agent	Approved	03/20/2024
<b>IA</b> Texas	Investment Adviser Representative	Approved	03/20/2024
<b>B</b> Utah	Agent	Approved	03/03/2025
<b>B</b> Vermont	Agent	Approved	02/28/2025
<b>B</b> Virginia	Agent	Approved	02/28/2025
<b>B</b> Washington	Agent	Approved	02/27/2025
<b>B</b> West Virginia	Agent	Approved	03/03/2025



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Wisconsin	Agent	Approved	03/04/2025
<b>B</b> Wyoming	Agent	Approved	02/28/2025

### Branch Office Locations

**VALIC FINANCIAL ADVISORS, INC.**  
2919 ALLEN PARKWAY  
9th FLOOR - RETIREMENT EDUCATION CENTER  
HOUSTON, TX 77019



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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Operations Professional Examination (S99TO)	Series 99TO	01/08/2024
General Securities Representative Examination (S7TO)	Series 7TO	08/26/2022
Securities Industry Essentials Examination (SIE)	SIE	07/18/2022
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	01/29/2008

#### State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	10/24/2022
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### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



### Registration & Employment History

#### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/08/2024 - 02/13/2024	LPL FINANCIAL LLC	CRD# 6413	FORT MILL, SC
IA	01/08/2024 - 02/13/2024	LPL FINANCIAL LLC	CRD# 6413	Bacliff, TX
IA	10/25/2022 - 12/20/2023	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	HOUSTON, TX
B	08/29/2022 - 12/20/2023	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	HOUSTON, TX
B	01/30/2008 - 05/03/2012	INVESCO DISTRIBUTORS, INC.	CRD# 7369	HOUSTON, TX

#### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2026 - Present	Grub Hub	Delivery Driver	N	Chicago, IL, United States
03/2024 - Present	AGIA	Agent	N	Houston, TX, United States
03/2024 - Present	VALIC FINANCIAL ADVISORS, INC.	REGISTERED REPRESENTATIVE	Y	HOUSTON, TX, United States
12/2022 - Present	AGIA	Agent	N	Houston, TX, United States
12/2023 - 02/2024	LPL Financial LLC	Registered Representative / Home Office Employee	Y	San Diego, CA, United States
12/2022 - 12/2023	AGIA	Agent	N	Houston, TX, United States
06/2022 - 12/2023	VALIC Financial Advisors, Inc.	Registered Representative	Y	Houston, TX, United States
02/2022 - 06/2022	Assurance	Life Sales Agent/ Medicare Advantage Agent	N	Remote Worker, TX, United States
08/2021 - 12/2021	TTEC	Temporary Medicare Advantage Agent	N	Remote Worker, TX, United States
08/2017 - 06/2021	Clear Creek ISD - Clear Creek Intermediate	Theatre Teacher/Head Director	N	League City, TX, United States



## Registration & Employment History

### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2015 - 06/2017	Fort Bend ISD	Theatre Teacher	N	Sugarland, TX, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

#### AGIA

POSITION: Agent NATURE: Non-Securities Insurance Products INVESTMENT RELATED: No NUMBER OF HOURS: 10  
 SECURITIES TRADING HOURS: 1 START DATE: 12/17/2022  
 ADDRESS: 2929 Allen Parkway, Houston TX 77019, United States  
 DESCRIPTION: Appointed as agent to sell Non-Securities Insurance Products for AGIA

#### TELUS DIGITAL

POSITION: Internet Safety Rater NATURE: I rate YouTube videos for age appropriateness, mis-guiding or mis-leading information as well as overall safety. INVESTMENT RELATED: No NUMBER OF HOURS: 6 SECURITIES TRADING HOURS: 0  
 START DATE: 08/15/2021  
 ADDRESS: 2251 S Decatur Blvd, Las Vegas NV 89102, United States  
 DESCRIPTION: I rate YouTube videos for age appropriateness, mis-guiding or mis-leading information as well as overall safety. I use a guided rater tool to submit my ratings. This is a part time position.

#### GRUB HUB

POSITION: Delivery Driver NATURE: 1099 - Food Delivery INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 0  
 START DATE: 02/19/2026  
 ADDRESS: 111 W. Washington Street, Suite 2100, Chicago IL 60602, United States  
 DESCRIPTION: I log into their delivery app and deliver food in my local area mostly on the weekends. There is no set schedule.

#### OUTLIER AI

POSITION: Ather Project Participant NATURE: Temporary Project Participant INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 0  
 START DATE: 02/20/2026  
 ADDRESS: 360 22nd Street, Suite 600, Oakland CA 94612, United States  
 DESCRIPTION: To review AI generated responses and comment on the final results. My comments are to determine if the final results meet the request that was inputted and if not specify what is wrong and why.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Financial	1

### Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Court Details:</b>	HARRIS COUNTY CRIMINAL COURT AT LAW NO. 7 HOUSTON TX - UNITED STATES OF AMERICA 1142164
<b>Charge Date:</b>	10/29/2002
<b>Charge Details:</b>	THEFT - WITH VALUE OVER FIFTY DOLLARS BUT UNDER FIVE HUNDRED DOLLARS- MISDEMEANOR CHARGE- DISMISSED
<b>Felony?</b>	No
<b>Current Status:</b>	Final
<b>Status Date:</b>	01/08/2003
<b>Disposition Details:</b>	DISMISSED ON JANUARY 8TH 2003



## Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

### Disclosure 1 of 1

**Reporting Source:** Individual

**Action Type:** Compromise

**Action Date:** 08/20/2018

**Organization Investment-Related?**

**Action Pending?** No

**Disposition:** Direct Payment Procedure

**Disposition Date:** 08/20/2021

**If a compromise with creditor, provide:**

**Name of Creditor:** Citibank, Synchrony Bank, Lending Club, Capital One, Personify Financial

**Original Amount Owed:** \$21,591.52

**Terms Reached with Creditor:** The amount listed above is the total amount of all accounts in question. All creditors were paid an agreed upon settlement amount for each individual account and the total amount of all settlement payments equaled \$11,153.04

**Amount Paid:** \$11,153.04

**SIPA (Securities Investor Protection Act)Trustee:** Not Applicable

**Currently Open?** No

**Date Direct Payment Initiated/Filed or Trustee Appointed:** 08/20/2021



## End of Report

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