IAPD Report

BRADEN LEE DRAGGOO

CRD# 5459591

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

BRADEN LEE DRAGGOO (CRD# 5459591)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/11/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	NYLIFE SECURITIES LLC	CRD# 5167	11/03/2010
IA	EAGLE STRATEGIES LLC	CRD# 110826	05/03/2019

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **33** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES

No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **33** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **EAGLE STRATEGIES LLC**

Main Address: 51 MADISON AVENUE
12TH FLOOR
NEW YORK, NY 10010

Firm ID#: 110826

	Regulator	Registration	Status	Date
IA	Idaho	Investment Adviser Representative	Approved	10/03/2025
IA	Texas	Investment Adviser Representative	Restricted Approval	05/10/2023
IA	Washington	Investment Adviser Representative	Approved	05/03/2019

Branch Office Locations

EAGLE STRATEGIES LLC
1301 WALLA WALLA AVENUE
SUITE A
WENATCHEE, WA 98801

EAGLE STRATEGIES LLC
COEUR D ALENE, ID

EAGLE STRATEGIES LLC
217 E WOODIN AVENUE
CHELAN, WA 98816

Employment 2 of 2

Firm Name: **NYLIFE SECURITIES LLC**

Main Address: 51 MADISON AVE.
ROOM 713
NEW YORK, NY 10010

Firm ID#: 5167

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	11/03/2010
B	FINRA	General Securities Representative	Approved	06/26/2018

Qualifications

	Regulator	Registration	Status	Date
B	Alabama	Agent	Approved	10/19/2016
B	Alaska	Agent	Approved	01/27/2025
B	Arizona	Agent	Approved	03/08/2018
B	California	Agent	Approved	05/21/2018
B	Colorado	Agent	Approved	03/11/2016
B	District of Columbia	Agent	Approved	08/22/2023
B	Florida	Agent	Approved	03/28/2022
B	Hawaii	Agent	Approved	12/08/2022
B	Idaho	Agent	Approved	07/07/2011
B	Illinois	Agent	Approved	01/28/2025
B	Maryland	Agent	Approved	08/23/2023
B	Massachusetts	Agent	Approved	10/17/2022
B	Michigan	Agent	Approved	04/28/2022
B	Minnesota	Agent	Approved	08/16/2017
B	Mississippi	Agent	Approved	08/10/2022
B	Missouri	Agent	Approved	07/29/2025
B	Montana	Agent	Approved	10/31/2013
B	Nebraska	Agent	Approved	01/08/2021
B	Nevada	Agent	Approved	01/05/2018

Qualifications

	Regulator	Registration	Status	Date
B	New Hampshire	Agent	Approved	05/18/2023
B	New Jersey	Agent	Approved	11/14/2023
B	New York	Agent	Approved	10/07/2021
B	North Carolina	Agent	Approved	03/14/2019
B	Oregon	Agent	Approved	12/13/2010
B	Pennsylvania	Agent	Approved	11/14/2023
B	South Carolina	Agent	Approved	11/30/2022
B	South Dakota	Agent	Approved	11/05/2024
B	Tennessee	Agent	Approved	07/16/2019
B	Texas	Agent	Approved	08/22/2018
B	Virginia	Agent	Approved	06/09/2022
B	Washington	Agent	Approved	11/03/2010
B	Wisconsin	Agent	Approved	05/18/2018
B	Wyoming	Agent	Approved	08/25/2015

Branch Office Locations

1301 WALLA WALLA AVENUE
SUITE A
WENATCHEE, WA 98801

217 E WOODIN AVENUE
CHELAN, WA 98816

925 Triple Crown Way
YAKIMA, WA 98908

715 N 4TH STREET
COEUR D ALENE, ID 83814

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	06/20/2018
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	11/02/2010

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	04/27/2019
B	Uniform Securities Agent State Law Examination (S63)	Series 63	03/31/2010

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2025 - Present	The Financial Wealth and Wellness Center LLC	Associate	Y	Coeur d'Alene, ID, United States
10/2023 - Present	D&G Risk Management LLC	Owner	Y	WENATCHEE, WA, United States
11/2021 - Present	CRYSTAL CLEAR FINANCIAL GROUP, LLC	Associate	Y	Wenatchee, WA, United States
05/2019 - Present	EAGLE STRATEGIES LLC	REGISTERED INVESTMENT ADVISOR	Y	WENATCHEE, WA, United States
05/2018 - Present	DRAGGOO FINANCIAL GROUP	Owner	Y	Wenatchee, WA, United States
06/2008 - Present	NYLIFE SECURITIES LLC	REGISTERED REPRESENTATIVE	Y	WENATCHEE, WA, United States
12/2007 - Present	NEW YORK LIFE INSURANCE COMPANY	AGENT	Y	WENATCHEE, WA, United States
03/2018 - 06/2020	Elite Hoop Effects	Coach	N	East Wenatchee, WA, United States
11/2012 - 05/2018	DRAGGOO FINANCIAL	REG REP	Y	WENATCHEE, WA, United States
09/2015 - 03/2017	Wenatchee Valley College	Coach	N	Wenatchee, WA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

[INSURANCE BROKERING; APPOINTED WITH OUTSIDE CARRIERS FOR THE PURPOSE OF BROKERING NON-REGISTERED INSURANCE PRODUCTS; DBA DRAGOO FINANCIAL GROUP][Rental Properties; rental units; 429 King St, 98801; 407 Oregon St98801; 3316 Burch Mtn Rd, 98801; 142 Manhattan Sq, 98802; 122 Manhattan Sq, 98802; 1315 Walla Walla Ave, 98801; 10 S Cove, 98801; 2531 NE 8th St, 98802; Start Date 12/2012; Owner; 10 hours per month[Three Feathers LLC; Office Building LLC; 1301 Walla Walla Ave, Wenatchee, WA 98801; Start Date 10/2017; Owner; 2 hours per month;][JCBD

Registration & Employment History

OTHER BUSINESS ACTIVITIES

LLC; property in Costa Rica-; 1301 Walla Walla Ave #7, Wenatchee, WA 98801; Start Date 02/2019; 1 hours per month][Book Author; autobiography; 1301 Walla Walla Ave Ste A, Wenatchee, WA 98801; Start Date 10/2022; Owner; 10 hours per month;][NYLARC Holding Company, Inc; Reinsurance; 51 Madison Avenue, New York, NY 10010; Start Date 10/2014; Passive Investor][B3D, LLC; ;commercial office complex; owner; lease building; 1630 N Wenatchee Ave, Wenatchee, WA 98801; start date 11/2019; 3 hours per month]
[MikeDuke, LLC; manage real estate property; 1301 Walla Walla Ave, Ste A, Wenatchee, WA 98801; Start Date 01/2021; Owner; Investment Related; 10 hours per month][Grancee, LLC; manage real estate property; 1301 Walla Walla Ave, Ste A, Wenatchee, WA 98801; Start Date 01/2021; Owner; 10 hours per month][OPERATING UNDER THE DBA NAME OF CRYSTAL CLEAR FINANCIAL GROUP, LLC FOR THE PURPOSE OF SELLING NEW YORK LIFE PRODUCTS AND SERVICES AS WELL AS BROKERING NON-REGISTERED INSURANCE PRODUCTS; INVESTMENT RELATED; WENATCHEE, WA; START DATE: 11/2021]
[LukaLake, LLC; manage real estate property; 203 E Broadway Ave, Moses Lake, WA 98837; 1301 Walla Walla Ave Ste A, Wenatchee, WA 98801; Start Date 11/2021; Owner; Investment Related; 5 hours per month]
[Richie, LLC; manage real estate property; 100 Rock Island Road, East Wenatchee, WA 98802; 1301 Walla Walla Ave Ste A, Wenatchee, WA 98801; Start Date 10/2021; Owner; Investment Related; 5 hours per month]
[Island DV, LLC; residential property rental; 1301 Walla Walla Ave Ste A, Wenatchee, WA 98801; Start Date 05/2022; Consultant; Investment Related; 1 hours per month[Property and Casualty Sales; 1301 Walla Walla Ave Ste A, Wenatchee, WA 98801; Start Date 05/2022; Owner; Investment Related; 4 hours per month][Public Speaking; topics: mindset, health/wellness lifestyle education; 1301 Walla Walla Ave Ste A, Wenatchee, WA 98801][OPERATING UNDER THE DBA NAME OF D&G Risk Management LLC FOR THE PURPOSE OF BROKERING NON-REGISTERED INSURANCE PRODUCTS; INVESTMENT RELATED; OWNER; WENATCHEE WA; START DATE: 10/2023]
[Prosperity Zone LLC-Event Planning; To assist others with business development and growth. ; 1301 Walla Walla Ave Ste A, Wenatchee, WA 98801; Start Date 07/2023; Consultant; Not Investment Related; 10 hours per month; 10 hours per month during securities trading hours; host events]
[DBA NAME OF The Financial Wealth and Wellness Center LLC FOR THE PURPOSE OF SELLING NYL PRODUCTS AND Brokering; 715 N 4th st, Coeur d'Alene, ID 83814][Podcast - Prosperity Zone LLC; podcast- motivation, wellness; 1301 Walla Walla Ave Suite A Wenatchee WA 98801; Start Date 03/2025; Owner; Not Investment Related; 2 hours per month][Prosperity Zone LLC; coaching-start date 3/25; non-investment related; 2 hours per month; Wenatchee WA 98801]
[Alessa Lake LLC; building conducting financial-insurance business; 715 N 4th St, Coeur d'Alene, ID 83814; Start Date 09/2024; Role/Title: Owner; 1 hours per month]
[Hawk1 LLC; rental property; 1301 WALLA WALLA AVE STE A, WENATCHEE, WA, 98801-1997; 07/2025; Owner]
[Luka14 LLC; rental property; 910 S Walker Ave, Wenatchee WA 98801; 07/2025; Owner]
[Wenatchee of BigHorns Basketball; Passive owner 1592 Fifth St, Wenatchee, WA 98801; Start Date 06/2025]
[PDD Riverside LLC; rental property; 214 Riverside Dr, Cashmere, WA 98815; Start Date 09/2024; Owner; Investment Related; 1 hours per month][DFG Idaho, LLC; holding Co-book of business owner 9/2024]

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Organization Name (if charge(s) were brought against an organization over which individual exercised control):	NA
Court Details:	OKANOGAN COUNTY SUPERIOR COURT, OKANOGAN, WA CASE NO 04-1-00174-7
Charge Date:	04/23/2004
Charge Details:	RAPE IN THE 2ND DEGREE 1.1 2.FELONY 3.NOT GUILTY 4.NA
Felony?	Yes
Current Status:	Final
Status Date:	08/19/2004
Disposition Details:	A.DISMISSED B.08/19/04 C.NA-NONE D.NA-NONE E.NA-NONE F.NA-NONE G.NA-NONE

End of Report

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