



IAPD Report

Todd Martin Tolbert

CRD# 5461222

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Todd Martin Tolbert (CRD# 5461222)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **09/08/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CETERA WEALTH SERVICES, LLC	CRD# 13572	02/20/2025
IA	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	02/20/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	CONCOURSE FINANCIAL GROUP ADVISORS	15708	Macon, GA	02/07/2008 - 02/20/2025
B	CONCOURSE FINANCIAL GROUP SECURITIES, INC.	15708	Macon, GA	01/11/2008 - 02/20/2025

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 11 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**

Main Address: 2301 ROSECRANS AVE #5100
EL SEGUNDO, CA 90245

Firm ID#: 13572

Regulator	Registration	Status	Date
 FINRA	Corporate Securities Represent	Approved	02/20/2025
 FINRA	General Securities Representative	Approved	02/20/2025
 FINRA	Invest. Co and Variable Contracts	Approved	02/20/2025
 Arkansas	Agent	Approved	02/20/2025
 Colorado	Agent	Approved	02/20/2025
 Florida	Agent	Approved	02/20/2025
 Georgia	Agent	Approved	02/20/2025
 Michigan	Agent	Approved	09/08/2025
 Oklahoma	Agent	Approved	02/20/2025
 Pennsylvania	Agent	Approved	02/20/2025
 South Carolina	Agent	Approved	02/20/2025
 South Dakota	Agent	Approved	02/20/2025
 Utah	Agent	Approved	02/20/2025



Qualifications

Regulator	Registration	Status	Date
B West Virginia	Agent	Approved	02/20/2025

Branch Office Locations

CETERA ADVISOR NETWORKS LLC

Colorado Springs, CO

CETERA ADVISOR NETWORKS LLC

1701 Bass Road
Suite 200
Macon, GA 31210

Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**

Main Address: 1450 AMERICAN LANE
6TH FLOOR, SUITE 650
SCHAUMBURG, IL 60173-2096

Firm ID#: 105644

Regulator	Registration	Status	Date
IA Colorado	Investment Adviser Representative	Approved	02/20/2025
IA Georgia	Investment Adviser Representative	Approved	02/20/2025

Branch Office Locations

CETERA INVESTMENT ADVISERS LLC

COLORADO SPRINGS, CO

CETERA INVESTMENT ADVISERS LLC

1701 BASS ROAD
SUITE 200
MACON, GA 31210



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	01/06/2011
B Corporate Securities Limited Representative Examination (S62)	Series 62	12/16/2009
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	01/10/2008

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	02/04/2008
B Uniform Securities Agent State Law Examination (S63)	Series 63	01/09/2008

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/07/2008 - 02/20/2025	CONCOURSE FINANCIAL GROUP ADVISORS	CRD# 15708	Macon, GA
B	01/11/2008 - 02/20/2025	CONCOURSE FINANCIAL GROUP SECURITIES, INC.	CRD# 15708	Macon, GA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2025 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
02/2025 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	Macon, GA, United States
01/2009 - Present	(dba) Bolder Investment Group LLC	Financial Planner	Y	Macon, GA, United States
12/2007 - 02/2025	Concourse Financial Group Securities Inc	Financial Professional	Y	Macon, GA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(dba) BOLDER INVESTMENT GROUP LLC; Investment Related; 1701 Bass Road, Ste 200, Macon, GA 31210; Financial Planner; Start Date 01/2009; 40 hours per month; Assist clients in making suitable investment and insurance choices by using profiles professional software as a planning tool. Insurance product sales and service. I do not do estate or tax planning. I do not charge a fee for the plan but I use it as a tool to assist the client in making suitable investment and insurance choices. >> BURNING TRIANGLE PRODUCTIONS; Non-Investment Related; 150 Cory Drive, Macon, GA 31210; Part Owner; Start Date 01/2018; 10 hours per month; This is my son's business, I help out, act in and finance his productions. >> GEORGIA STATE BOARD OF ACCOUNTANCY; Non-Investment Related; 200 Piedmont Avenue SE, Suite 1604 West Tower, Atlanta, GA 30334; Accounting; Board Member; Start Date 01/2021; 2 hours per month with those 2 hours per month occurring during trading hours; My duty as a board member is to license those persons seeking to enter the profession who meet the minimum legal competency standards necessary to practice and to discipline those licensees who fail to meet the legal and professional standards. Board members must apply the statutes, rules and regulations of the profession in a fair and unbiased manner and must be aware of conflicts which must be avoided.



End of Report

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