



IAPD Report

RICHARD JOSEPH KIRCHOFF

CRD# 5464608

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

RICHARD JOSEPH KIRCHOFF (CRD# 5464608)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/01/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	02/05/2008
IA	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	12/19/2013

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **10** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **10** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **THRIVENT INVESTMENT MANAGEMENT INC.**
Main Address: 600 PORTLAND AVENUE SOUTH
MINNEAPOLIS, MN 55415
Firm ID#: 18387

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	02/05/2008
B	Arizona	Agent	Approved	11/26/2019
B	Florida	Agent	Approved	02/01/2016
B	Georgia	Agent	Approved	10/07/2020
B	Maryland	Agent	Approved	03/11/2020
B	Minnesota	Agent	Approved	04/18/2008
IA	Minnesota	Investment Adviser Representative	Approved	12/19/2013
B	Missouri	Agent	Approved	09/15/2025
B	North Carolina	Agent	Approved	11/26/2019
B	North Dakota	Agent	Approved	08/01/2023
B	Texas	Agent	Approved	08/30/2016
IA	Texas	Investment Adviser Representative	Restricted Approval	08/25/2016
B	Wisconsin	Agent	Approved	08/09/2013



Qualifications

Branch Office Locations

THRIVENT INVESTMENT MANAGEMENT INC.
16180 Hastings Avenue Southeast
Prior Lake, MN 55372

THRIVENT INVESTMENT MANAGEMENT INC.
Prior Lake, MN



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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General Securities Representative Examination (S7)	Series 7	01/30/2008
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State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	04/16/2008
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:



No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2008 - Present	THRIVENT FINANCIAL FOR LUTHERANS	FINANCIAL REPRESENTATIVE	Y	APPLETON, WI, United States
12/2007 - Present	THRIVENT INVESTMENT MANAGEMENT INC	REGISTERED REPRESENTATIVE	Y	PRIOR LAKE, MN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

KIRCHOFF CONSULTING, LLC

POSITION: owner NATURE: needed to set up an LLC for my 1099 income as I would like to place funds from this income into a SEP for retirement. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 10/30/2023

ADDRESS: 9427 Elm Court, Prior Lake MN 55372, United States

DESCRIPTION: this is only an LLC to set up my SEP account, also I snowplow the business parking lot ONLY. I own the building with the 16151 Main Ave LLC (also listed on my OBA) the only business I snowplow is our parking lot. This save our business money and due to taxes, I have to submit the costs of the business to this LLC as well.

16151 LLC

POSITION: Part owner NATURE: LLC was established for office building that we work in, and currently have outside tenants renting.

The building the LLC owned has been sold and we have no renters. The LLC has to remain open until the end of the 2025 tax season. The LLC will be closed this time next year. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 07/02/2014

ADDRESS: 16180 Hastings Ave, Prior Lake MN 55372, United States

DESCRIPTION: pay bills associated with running the business and maintain or find contractors to maintain space

The building the LLC owned has been sold and we have no renters. The LLC has to remain open until the end of the 2025 tax season. The LLC will be closed this time next year.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source: Individual

Organization Name (if charge(s) were brought against an organization over which individual exercised control): NOT AGAINST AN ORGANIZATION

Court Details: CASE NUMBER CR93-0166-A STATE OF TEXAS VS RICHARD JOSEPH KIRCHOFF. SAN ANGELO, TX TOM GREEN COUNTY FORMAL CHARGE "INTERFERENCE WITH CHILD CUSTODY"

Charge Date: 03/16/1993

Charge Details: A FELONY WARRANT FOR MY ARREST WAS ISSUED IN TEXAS WHILE I WAS LIVING IN MINNESOTA. MY EX-WIFE HAD CHARGES BROUGHT ON ME FOR HAVING MY 5 YEAR OLD SON OUTSIDE THE DIVORCE CUSTODY AGREEMENT, "INTERFERENCE WITH CHILD CUSTODY". I WAS NOT AWARE OF THE CHARGE UNTIL A BACKGROUND CHECK WAS DONE ON ME FOR A LAW ENFORCEMENT POSITION, THREE MONTHS AFTER THE WARRANT WAS ISSUED. ONCE I WAS AWARE OF THE CHARGES I CONTACTED AN ATTORNEY AND FLEW DOWN TO SAN ANGELO, TX TO TURN MYSELF IN AND FOUGHT THE CHARGES. I TURNED MYSELF INTO THE COUNTY JAIL AND WAS FINGER PRINTED AND RELEASED WITHIN 10 MINUTES. ONCE MY ATTORNEY HAD ALL OF THE DETAILS HE PRESENTED THEM TO THE DISTRICT ATTORNEY AND THE JUDGE IN THE CASE. WITH THE EVIDENCE WE PRESENTED, THE CASE WAS DROPPED AND DISMISSED FROM THE COURT. THE CASE WAS NEVER BROUGHT INTO A COURT ROOM AND THE DISTRICT ATTORNEY HAD ALL CHARGES DISMISSED. I DID NOTHING WRONG. MY EX-WIFE SET THE WHOLE THING UP TO TRY TO DISCREDIT ME AS A PARENT AND GAIN FULL

Felony? Yes

Current Status: Final



Status Date: 10/28/1993

Disposition Details: "INTERFERENCE WITH CHILD CUSTODY" DISMISSED 10/28/1993

Broker Statement

I HAD A VERY MESSY AND UGLY DIVORCE WHICH INVOLVED A SMALL CHILD. I ALSO FOUGHT FOR FULL CUSTODY OF MY SON TWICE AND DID NOT WIN DURING THIS TIME. MY EX-WIFE LIVED IN TEXAS AND I HAD MOVED BACK TO MINNESOTA. MY EX-WIFE WANTED ME OUT OF MY SON'S AND HER LIFE. BECAUSE OF THE ISSUES I HAD WITH MY EX-WIFE I STARTED TO TAPE RECORD ALL MY PHONE CONVERSATIONS WITH HER.

THIS CHARGE WAS AN ATTEMPT BY MY EX-WIFE TO GAIN FULL CUSTODY OF OUR 5 YEAR OLD CHILD AND TO PUT ME IN JAIL. MY EX-WIFE CALLED ME AND ASKED ME TO TAKE OUR SON OUTSIDE OF THE CUSTODY AGREEMENT SO SHE COULD WORK FULL TIME FOR A FEW MONTHS. I WAS LIVING IN MINNESOTA AT THE TIME AND MY SON WAS IN TEXAS. I DID NOT GET TO SEE HIM AS OFTEN AS I USE TO AND JUMPED AT THE CHANCE TO HAVE MY SON FOR TWO FULL MONTHS. I FLEW DOWN AND PICKED UP MY SON AT MY EXPENSE. WE SPENT THE TWO MONTHS IN MINNESOTA AND I RETURNED HIM ON THE DATE AS MY EX-WIFE AND I AGREED UPON.

THREE MONTHS AFTER I HAD DROPPED OFF MY SON FROM THIS TWO MONTH EXTENDED VISIT. I FOUND OUT THERE WAS A WARRANT FOR MY ARREST IN TEXAS FOR "INTERFERENCE WITH CHILD CUSTODY" WHILE A BACKGROUND CHECK WAS BEING DONE ON ME FOR A POSITIONS WITH THE COUNTY SHERIFF AS AN OFFICER. I CONTACTED A LAWYER AS SOON AS I BECAME AWARE OF THE CHARGES AND TO GET THIS CLEARED UP AS SOON AS POSSIBLE. UNDER HIS ADVICE I FLEW DOWN TO SAN ANGELO, TX AND TURNED MYSELF INTO THE TOM GREEN COUNTY JAIL. I WAS FINGERPRINTED AND RELEASED WITHIN TEN MINUTES. I TURNED IN ALL MY TAPE RECORDED PHONE CONVERSATIONS WITH MY EX-WIFE. THE ATTORNEY HAD THE DISTRICT ATTORNEY AND JUDGE LISTEN TO THE TAPES. AFTER THE TAPES WERE HEARD BY THE JUDGE AND DISTRICT ATTORNEY ALL CHARGES WERE DISMISSED AND THE CHARGES WERE DROPPED. I WAS NEVER CONVICTED OF ANY CHARGES AND ALL CHARGES WERE DISMISSED. THIS WAS A HUGE MISTAKE BY THE DISTRICT ATTORNEY AND COURT SYSTEM. I RECIEVED AN APOLOGY FROM THE JUDGE AFTER THE MATTER WAS CLEARED UP.



End of Report

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