



IAPD Report

DANIEL EDWARD OLSON

CRD# 5496488

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

DANIEL EDWARD OLSON (CRD# 5496488)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/24/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	11/02/2018
IA	OSAIC WEALTH, INC.	CRD# 23131	11/02/2018

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **15** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SIGNATOR INVESTORS, INC.	468	SIOUX FALLS, SD	08/07/2017 - 11/02/2018
B	SIGNATOR INVESTORS, INC.	468	SIOUX FALLS, SD	05/13/2016 - 11/02/2018
B	TRANSAMERICA FINANCIAL ADVISORS, INC	16164	SIOUX FALLS, SD	02/01/2010 - 05/13/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **15** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	11/02/2018
B	Arizona	Agent	Approved	04/25/2019
B	California	Agent	Approved	01/23/2019
B	Colorado	Agent	Approved	01/29/2025
B	Florida	Agent	Approved	08/30/2019
B	Illinois	Agent	Approved	11/02/2018
B	Iowa	Agent	Approved	11/02/2018
B	Minnesota	Agent	Approved	12/20/2019
B	Nebraska	Agent	Approved	08/30/2019
B	Nevada	Agent	Approved	10/18/2021
B	North Dakota	Agent	Approved	01/02/2020
B	Oregon	Agent	Approved	08/30/2019
B	South Dakota	Agent	Approved	11/02/2018



Qualifications

	Regulator	Registration	Status	Date
IA	South Dakota	Investment Adviser Representative	Approved	11/02/2018
B	Texas	Agent	Approved	08/30/2019
IA	Texas	Investment Adviser Representative	Restricted Approval	09/26/2024
B	Virginia	Agent	Approved	11/02/2018
B	Wisconsin	Agent	Approved	07/24/2025

Branch Office Locations

OSAIC WEALTH, INC.
3130 WEST 57TH STREET
SUITE 111
SIOUX FALLS, SD 57108



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	03/22/2008

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	07/28/2017
B Uniform Securities Agent State Law Examination (S63)	Series 63	05/23/2008



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/07/2017 - 11/02/2018	SIGNATOR INVESTORS, INC.	CRD# 468	SIOUX FALLS, SD
B	05/13/2016 - 11/02/2018	SIGNATOR INVESTORS, INC.	CRD# 468	SIOUX FALLS, SD
B	02/01/2010 - 05/13/2016	TRANSAMERICA FINANCIAL ADVISORS, INC	CRD# 16164	SIOUX FALLS, SD
B	09/25/2008 - 12/31/2009	TRANSAMERICA FINANCIAL ADVISORS, INC	CRD# 16164	SIOUX FALLS, SD
B	03/24/2008 - 09/24/2008	FIRST FOUNDERS SECURITIES, INC.	CRD# 128624	TAMPA, FL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2018 - Present	OSAIC WEALTH, INC.	Registered Rep	Y	SIOUX FALLS, SD, United States
05/2016 - 11/2018	SIGNATOR INVESTORS, INC.	REG REP	Y	SIOUX FALLS, SD, United States
05/2008 - 04/2018	RUNNER'S BLOCK, INC	PRESIDENT	N	SIOUX FALLS, SD, United States
10/2008 - 06/2016	FIRST FINANCIAL	FIXED INSURANCE AGENT	N	SIOUX FALLS, SD, United States
01/2010 - 05/2016	TRANSAMERICA FINANCIAL ADVISORS, INC	REG REP	Y	SIOUX FALLS, SD, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. STONE POINT FINANCIAL- SECURITIES

POSITION: Member NATURE: LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 130 START DATE: 06/07/2016

ADDRESS: 3130 W 57th St, Suite 111, Sioux Falls SD 57108, United States

DESCRIPTION: Stone Point Financial is the dba I use to conduct security related business.

2. STONE POINT FINANCIAL- FIXED INSURANCE



Registration & Employment History



OTHER BUSINESS ACTIVITIES

POSITION: Member NATURE: LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 120 START DATE: 06/07/2016

ADDRESS: 3130 W 57th St, Suite 111, Sioux Falls SD 57108, United States

DESCRIPTION: Stone Point Financial is the dba for my insurance related business..

3. RODNEY & BARB OLSON LIVING TRUST DTD OCT 17, 2012 SUCCESSOR TRUSTEE FOR FAMILY MEMBER

POSITION: Successor Trustee NATURE: Trust INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 10/12/2012

ADDRESS: 28715 449th Ave, Hurley SD 57036, United States

DESCRIPTION: Successor trustee of a family trust.



End of Report

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