



## IAPD Report

# SHELLEY LEAVITT NADEL

CRD# 5513675

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### SHELLEY LEAVITT NADEL (CRD# 5513675)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/24/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>IA</b>	CSENGE ADVISORY GROUP, LLC	CRD# 131167	09/07/2021
<b>IA</b>	INTEGRITY ALLIANCE, LLC	CRD# 139627	11/03/2025
<b>B</b>	INTEGRITY ALLIANCE, LLC.	CRD# 139627	11/03/2025

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **10** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	LION STREET ADVISORS, LLC	167610	HOUSTON, TX	10/09/2019 - 11/03/2025
<b>B</b>	LION STREET FINANCIAL, LLC	165828	Houston, TX	10/07/2019 - 11/03/2025
<b>IA</b>	FSC SECURITIES CORPORATION	7461	BLUFFTON, SC	07/13/2016 - 10/10/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Termination	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **10** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 2

Firm Name: **INTEGRITY ALLIANCE, LLC**  
Main Address: 4135 NW URBANDALE DR  
URBANDALE, IA 50322  
Firm ID#: 139627

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Representative	Approved	11/03/2025
<b>B</b>	California	Agent	Approved	11/03/2025
<b>B</b>	Florida	Agent	Approved	11/05/2025
<b>B</b>	Georgia	Agent	Approved	11/03/2025
<b>B</b>	Indiana	Agent	Approved	11/03/2025
<b>B</b>	New Mexico	Agent	Approved	11/03/2025
<b>B</b>	New York	Agent	Approved	11/03/2025
<b>B</b>	North Carolina	Agent	Approved	11/03/2025
<b>B</b>	Ohio	Agent	Approved	11/03/2025
<b>B</b>	Pennsylvania	Agent	Approved	11/03/2025
<b>B</b>	Texas	Agent	Approved	11/03/2025
<b>IA</b>	Texas	Investment Adviser Representative	Approved	11/03/2025

### Branch Office Locations



### Qualifications

**INTEGRITY ALLIANCE, LLC**

2636 South Loop West  
Ste 302  
Houston, TX 77054

**INTEGRITY ALLIANCE, LLC**

Houston, TX

**Employment 2 of 2**

Firm Name: **CSENGE ADVISORY GROUP, LLC**

Main Address: 4755 EAST BAY DRIVE  
CLEARWATER, FL 33764

Firm ID#: 131167

Regulator	Registration	Status	Date
IA Texas	Investment Adviser Representative	Approved	09/07/2021

**Branch Office Locations**

**CSENGE ADVISORY GROUP, LLC**

One Riverway  
#1700  
Houston, TX 77056



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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<b>B</b> General Securities Representative Examination (S7)	Series 7	01/14/2011
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<b>B</b> Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/17/2008
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#### State Securities Law Exams

Exam	Category	Date
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<b>IA B</b> Uniform Combined State Law Examination (S66)	Series 66	02/03/2011
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<b>B</b> Uniform Securities Agent State Law Examination (S63)	Series 63	04/18/2008
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### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

#### Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/09/2019 - 11/03/2025	LION STREET ADVISORS, LLC	CRD# 167610	HOUSTON, TX
B	10/07/2019 - 11/03/2025	LION STREET FINANCIAL, LLC	CRD# 165828	Houston, TX
IA	07/13/2016 - 10/10/2019	FSC SECURITIES CORPORATION	CRD# 7461	BLUFFTON, SC
B	06/22/2016 - 10/10/2019	FSC SECURITIES CORPORATION	CRD# 7461	BLUFFTON, SC
IA	10/07/2019 - 10/07/2019	LION STREET ADVISORS, LLC	CRD# 167610	HOUSTON, TX
IA	06/22/2016 - 06/23/2016	FSC SECURITIES CORPORATION	CRD# 7461	BLUFFTON, SC
IA	02/04/2011 - 05/27/2016	WELLS FARGO ADVISORS, LLC	CRD# 19616	LAKE JACKSON, TX
B	01/17/2011 - 05/27/2016	WELLS FARGO ADVISORS, LLC	CRD# 19616	LAKE JACKSON, TX
B	04/18/2008 - 12/10/2010	MML INVESTORS SERVICES, INC.	CRD# 10409	HOUSTON, TX

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2025 - Present	INTEGRITY ALLIANCE, LLC.	Mass Transfer	Y	Houston, TX, United States
11/2025 - Present	INTEGRITY ALLIANCE, LLC.	Mass Transfer	Y	Houston, TX, United States
08/2021 - Present	Csenge Advisory Group, LLC	Investment Adviser Representative	Y	Clearwater, FL, United States
10/2019 - Present	Lion Street Advisors	Investment Adviser Representative	Y	Houston, TX, United States
10/2019 - Present	Lion Street Financial	REGISTERED REP	Y	Houston, TX, United States
06/2016 - 10/2019	FSC SECURITIES CORP	REG REP	Y	ATLANTA, GA, United States



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

#### 1) FINANCIAL SUCCESS STRATEGIES, LLC

POSITION: PRES. NATURE: It is an LLC which I use as a marketing name to conduct my insurance and SEC. business. INVEST. RELATED: No # OF HRS: 1 SEC. TRADING HRS: 0 START DATE: 07/15/2016  
ADDRESS: 4431 WARM SPRINGS ROAD, HOUSTON TX 77035  
DESC.: I am a Registered Representative affiliated with Lion Street Financial, LLC. Financial Success Strategies is a marketing name that I use to promote my business; it is not affiliated with Lion Street Financial, LLC.

#### 2) ONEHOUSTON FINANCIAL

POSITION: I am appointed with OneHouston to sell their insurance products. NATURE: I sell insurance products. INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 10 START DATE: 11/01/2020  
ADDRESS: 1623 Meirwoods Drive, Spring TX 77379, United States  
DESCRIPTION: When appropriate, I sell insurance strategies to clients as a part of their comprehensive financial plan.

#### 3) FOOD AND FINANCE WITH SHELLEY LLC

POSITION: Owner NATURE: F&FwS provides financial literacy education to employees of companies of various sizes. It serves two purposes for me as the owner of Financial Success Strategies, LLC. First, it creates a pipeline of prospective clients who hear me speak and decide to engage with me for financial planning. Second, it fulfills my passion for educating women about their money. I started F&F in 2021, and now we are starting to prospect for companies who will pay me to deliver the financial programs on a quarterly basis. There could be more revenue generating opportunities in the future as well. INVESTMENT RELATED: No NUMBER OF HOURS: 40 SECURITIES TRADING HOURS: 20 START DATE: 08/01/2021  
ADDRESS: 2636 South Loop West, Ste. 302, Houston TX 77054, United States  
DESCRIPTION: I provide the literacy sessions and lead all marketing initiatives. I have a marketing team that helps me generate collateral, including website, videos, email, and social media.

#### 4) CSENGE ADVISORY GROUP

POSITION: Financial Advisor NATURE: All of my assets under management and trading activity flow through Csege. INVESTMENT RELATED: Yes NUMBER OF HOURS: 50 SECURITIES TRADING HOURS: 40 START DATE: 09/01/2022  
ADDRESS: 4755 E. Bay Drive, Clearwater FL 33764, United States  
DESCRIPTION: I am an independent financial advisor under the umbrella of Radian Partners in Memphis. I represent the firm as their Houston Branch. In this role, I provide clients comprehensive financial planning services, including investment services thru Csege.

#### 5) FINANCIAL SUCCESS STRATEGIES, LLC

POSITION: Owner NATURE: FSS is an LLC for I own that I formed in July of 2016. Until July of 2024, I used the LLC as a marketing name to conduct my insurance and SEC business. Going forward, I will remain the owner, but the LLC will only be used for tax purposes, INVESTMENT RELATED: Yes NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 2 START DATE: 07/15/2016  
ADDRESS: 2636 S LOOP W, Ste. 302, Houston TX 77054, United States  
DESCRIPTION: I am a financial advisor offering comprehensive financial planning services to my clients.

#### 6) B&P NETWORKING GROUP

POSITION: Volunteer NATURE: We are a volunteer run networking group for Jewish women in the Houston area. We operate under the umbrella of the Houston Jewish Federation, but we are not part of their staff or governing. We network and raise money for the Federation. INVESTMENT RELATED: No NUMBER OF HOURS: 6 SECURITIES TRADING HOURS: 2 START DATE: 12/09/2024  
ADDRESS: Houston Jewish Federation, 5603 South Braeswood, Houston TX 77096, United States  
DESCRIPTION: I am a Co-Social Chair for 2025- we are responsible for putting on 5-6 social events a year.  
Update: Starting January 1, I will move from Co-Social Chair to Co-Programming Chair. We put on 6 programs a year in



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

conjunction with networking breakfasts.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Termination	1

### Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Firm
<b>Firm Name:</b>	Wells Fargo Advisors, LLC.
<b>Termination Type:</b>	Discharged
<b>Termination Date:</b>	04/27/2016
<b>Allegations:</b>	Loss of management confidence due to considerations including conducting transactions without first speaking to the client that day for approval.
<b>Product Type:</b>	No Product

<b>Reporting Source:</b>	Individual
<b>Firm Name:</b>	Wells Fargo Advisors LLC
<b>Termination Type:</b>	Discharged
<b>Termination Date:</b>	04/26/2016
<b>Allegations:</b>	Firm lost confidence in advisor due to considerations including conducting a trade without speaking to the client that day
<b>Product Type:</b>	No Product

**Broker Statement**

I had a small number of clients who made regular dollar cost averaging contributions each month, which I then incepted into one of four advisory programs with annual fees and no commissions. We discussed the programs at least annually and more often if appropriate for the particular client's priorities. I was not aware that this process constituted discretion according to Wells policies. I used this process for five years without comments from supervisors that there was an issue. Due to a 3/23/2016 conversation where I learned that my process violated Wells policies, I immediately changed my process and called clients each time they made a DCA contribution.



## End of Report

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