IAPD Report

CHAD MCLANE

CRD# 5541608

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IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

CHAD MCLANE (CRD# 5541608)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/12/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CENTAURUS FINANCIAL, INC.	CRD# 30833	01/19/2023
IA	CENTAURUS FINANCIAL, INC.	CRD# 30833	01/19/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **25** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	USA FINANCIAL SECURITIES CORPORATION	103857	Tomball, TX	10/16/2017 - 01/20/2023
IA	USA FINANCIAL SECURITIES CORPORATION	103857	Tomball, TX	10/16/2017 - 01/20/2023
IA	NATIONAL PLANNING CORPORATION ("NPC OF AMERICA" IN FL & NY)	29604	TOMBALL, TX	11/02/2016 - 10/18/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **25** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CENTAURUS FINANCIAL, INC.**

Main Address: 2300 EAST KATELLA AVE
SUITE 200
ANAHEIM, CA 92806

Firm ID#: 30833

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	01/19/2023
B	FINRA	General Securities Representative	Approved	04/27/2023
B	Alabama	Agent	Approved	01/19/2023
IA	Alabama	Investment Adviser Representative	Approved	01/19/2023
B	Arkansas	Agent	Approved	01/19/2023
IA	Arkansas	Investment Adviser Representative	Approved	01/19/2023
B	California	Agent	Approved	01/19/2023
IA	California	Investment Adviser Representative	Approved	01/20/2023
B	Colorado	Agent	Approved	01/19/2023
IA	Colorado	Investment Adviser Representative	Approved	01/19/2023
B	Florida	Agent	Approved	01/19/2023
IA	Florida	Investment Adviser Representative	Approved	01/20/2023
B	Georgia	Agent	Approved	01/19/2023

Qualifications

	Regulator	Registration	Status	Date
IA	Georgia	Investment Adviser Representative	Approved	01/23/2023
B	Idaho	Agent	Approved	01/19/2023
IA	Idaho	Investment Adviser Representative	Approved	01/19/2023
B	Iowa	Agent	Approved	01/19/2023
IA	Iowa	Investment Adviser Representative	Approved	01/19/2023
B	Louisiana	Agent	Approved	01/19/2023
IA	Louisiana	Investment Adviser Representative	Approved	01/19/2023
B	Maryland	Agent	Approved	01/19/2023
IA	Maryland	Investment Adviser Representative	Approved	01/19/2023
B	Mississippi	Agent	Approved	01/19/2023
IA	Mississippi	Investment Adviser Representative	Approved	01/19/2023
B	Missouri	Agent	Approved	01/05/2026
IA	Missouri	Investment Adviser Representative	Approved	01/05/2026
IA	Nevada	Investment Adviser Representative	Approved	01/03/2025
B	Nevada	Agent	Approved	01/05/2026
B	New Mexico	Agent	Approved	01/19/2023
IA	New Mexico	Investment Adviser Representative	Approved	01/20/2023
B	New York	Agent	Approved	01/26/2023
IA	New York	Investment Adviser Representative	Approved	01/26/2023

Qualifications

	Regulator	Registration	Status	Date
IA	North Carolina	Investment Adviser Representative	Approved	01/19/2023
B	North Carolina	Agent	Approved	01/20/2023
B	Ohio	Agent	Approved	01/19/2023
IA	Ohio	Investment Adviser Representative	Approved	01/20/2023
B	Oklahoma	Agent	Approved	01/19/2023
IA	Oklahoma	Investment Adviser Representative	Approved	01/19/2023
B	Oregon	Agent	Approved	01/19/2023
IA	Oregon	Investment Adviser Representative	Approved	01/19/2023
B	South Carolina	Agent	Approved	01/19/2023
IA	South Carolina	Investment Adviser Representative	Approved	01/19/2023
B	South Dakota	Agent	Approved	01/02/2026
IA	South Dakota	Investment Adviser Representative	Approved	01/02/2026
B	Tennessee	Agent	Approved	01/19/2023
B	Texas	Agent	Approved	01/19/2023
IA	Texas	Investment Adviser Representative	Approved	01/19/2023
B	Utah	Agent	Approved	01/19/2023
IA	Utah	Investment Adviser Representative	Approved	01/24/2023
B	Virginia	Agent	Approved	01/19/2023
IA	Virginia	Investment Adviser Representative	Approved	01/19/2023

Qualifications

Regulator	Registration	Status	Date

Branch Office Locations

CENTAURUS FINANCIAL, INC.
18001 West Highway 105
SUITE #108
Montgomery, TX 77356

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	04/27/2023
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/19/2008

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	10/26/2016
B	Uniform Securities Agent State Law Examination (S63)	Series 63	08/23/2008

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/16/2017 - 01/20/2023	USA FINANCIAL SECURITIES CORPORATION	CRD# 103857	Tomball, TX
IA	10/16/2017 - 01/20/2023	USA FINANCIAL SECURITIES CORPORATION	CRD# 103857	Tomball, TX
IA	11/02/2016 - 10/18/2017	NATIONAL PLANNING CORPORATION ("NPC OF AMERICA" IN FL & NY)	CRD# 29604	TOMBALL, TX
B	09/02/2009 - 10/18/2017	NATIONAL PLANNING CORPORATION	CRD# 29604	TOMBALL, TX
B	02/27/2009 - 09/04/2009	FSC SECURITIES CORPORATION	CRD# 7461	TOMBALL, TX
B	06/23/2008 - 02/27/2009	ADVANTAGE CAPITAL CORPORATION	CRD# 146	TOMBALL, TX

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2023 - Present	Centaurus Financial Inc.	Registered Representative	Y	Anaheim, CA, United States
07/2005 - Present	THE MCLANE AGENCY, INC.	SALES REPRESENTATIVE	Y	TOMBALL, TX, United States
10/2017 - 01/2023	USA FINANCIAL SECURITIES	REGISTERED REPRESENTATIVE	Y	ADA, MI, United States
09/2009 - 10/2017	NATIONAL PLANNING CORPORATION	REGISTERED REPRESENTATIVE	Y	TOMBALL, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Chad McLane, investment related, fixed insurance products and annuity sales, 14015 Park Drive Suite #109, Tomball, TX 77377, Agent, since 10/2005, 1 hour devoted during securities trading hours.

2. Notary Public, non-investment related, 14015 Park Drive Suite #109, Tomball, TX 77377, since 01/2010, serve as a notary public to notarize pension paperwork for municipal employees free of charge.

Registration & Employment History

OTHER BUSINESS ACTIVITIES

3. ADVISORS INTUITION LLC
POSITION: Owner NATURE: established to pay operating expenses and payroll for employees who operate under the name McLane Advisors/The McLane Agency Inc. INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 1 START DATE: 08/26/2016
ADDRESS: 14015 Park Drive, Suite #109, Tomball, TX 77377, United States
DESCRIPTION: for the use of expenses and payroll

4. MCLANE ASSET MANAGEMENT LLC
POSITION: owner NATURE: McLane Asset Management LLC was established so we could pay operating expenses and payroll for employees who work for Chad and Todd McLane. The company name will also be used for marketing advisory services. INVESTMENT RELATED: Yes NUMBER OF HOURS: 20 SECURITIES TRADING HOURS: 15 START DATE: 08/26/2016
ADDRESS: 18001 Hwy 105, Ste 108, Montgomery TX 77356, United States
DESCRIPTION: Owner of LLC for the use of expenses, payroll and advertising for advisory services and financial planning.

5. CHAD MCLANE
POSITION: self employed NATURE: Notary public. Notarize forms for pension retirees or investment related forms at no cost. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 10/15/2007
ADDRESS: 18001 Hwy 105 W, Ste 108, Montgomery TX 77356, United States
DESCRIPTION: Notary Public

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	USA FINANCIAL SECURITIES CORPORATION
Allegations:	Client alleges that the variable annuity she bought in November 2020 was not suitable, based on her age and liquidity needs
Product Type:	Annuity-Variable
Alleged Damages:	$28,182.01
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	08/26/2024
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	09/26/2025
Settlement Amount:	
Individual Contribution Amount:	

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	USA FINANCIAL SECURITIES CORPORATION
Allegations:	Client alleges that the Variable Annuity she bought in November 2020 was not suitable, based on her age and liquidity needs.
Product Type:	Annuity-Variable
Alleged Damages:	$28,182.01
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	08/26/2024
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	09/26/2025
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	I vehemently denied any wrongdoing and assert that the customer's allegations were completely without merit. I fully disclosed all features regarding the annuity at issue, and the client acknowledged that she understood the potential surrender charges. The investment was suitable and was recommended based on the customer's objectives, goals and financial circumstances. It was offered only after her review of all material documentation related to the investment. The customer confirmed in writing that she not only received the requisite investment documentation/disclosures, but that she fully understood the characteristics and risks of the investment. The client represented that she had significant liquidity when purchasing the annuity and that she would not need to access the funds in the annuity. I followed the client's instructions and financial plan, as described and agreed to by her. The client never expressed any unhappiness with the annuity or any need for liquidity. This complaint is baseless and there hasn't been any damage to the client. At all times, I put the customer's interest first and I will vigorously defend this matter to the fullest extent of the law.

End of Report

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