



IAPD Report

ANDREW J CONSTANTINIDES

CRD# 5596143

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ANDREW J CONSTANTINIDES (CRD# 5596143)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/10/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	NEIL JESANI WEALTH MANAGEMENT	CRD# 322345	08/03/2022

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **6** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SINGER WEALTH ADVISORS LLC	317218	BOCA RATON, FL	12/21/2021 - 06/01/2022
IA	SINGER WEALTH ADVISORS INC.	170470	BOCA RATON, FL	01/10/2020 - 03/01/2022
B	WESTPARK CAPITAL, INC.	39914	BOCA RATON, FL	06/14/2019 - 12/13/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **6** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **NEIL JESANI WEALTH MANAGEMENT**
Main Address: 1301 INTERNATIONAL PARKWAY
SUITE 550
SUNRISE, FL 33323
Firm ID#: 322345

	Regulator	Registration	Status	Date
IA	California	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026
IA	Florida	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026
IA	Georgia	Investment Adviser Representative	Approved	01/18/2023
IA	Illinois	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026
IA	New Jersey	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026
IA	Texas	Investment Adviser Representative	Restricted Approval	08/29/2022

Branch Office Locations

NEIL JESANI WEALTH MANAGEMENT
1301 INTERNATIONAL PARKWAY
SUITE 550
SUNRISE, FL 33323



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	05/19/2009

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	05/17/2016
B Uniform Securities Agent State Law Examination (S63)	Series 63	06/24/2009



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	12/21/2021 - 06/01/2022	SINGER WEALTH ADVISORS LLC	CRD# 317218	BOCA RATON, FL
IA	01/10/2020 - 03/01/2022	SINGER WEALTH ADVISORS INC.	CRD# 170470	BOCA RATON, FL
B	06/14/2019 - 12/13/2019	WESTPARK CAPITAL, INC.	CRD# 39914	BOCA RATON, FL
B	08/01/2018 - 06/03/2019	I-BANKERS DIRECT, LLC	CRD# 167533	Boca Raton, FL
B	02/05/2018 - 12/26/2018	I-BANKERS SECURITIES, INC.	CRD# 41352	DELRAY BEACH, FL
IA	06/30/2016 - 02/06/2018	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	BOCA RATON, FL
B	06/29/2016 - 02/06/2018	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	BOCA RATON, FL
B	05/20/2009 - 06/14/2016	DAWSON JAMES SECURITIES, INC.	CRD# 130645	BOCA RATON, FL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2022 - Present	NEIL JESANI ADVISORS INC.	SENIOR FINANCIAL ADVISOR	N	SUNRISE, FL, United States
06/2022 - Present	NEIL JESANI WEALTH MANAGEMENT, LLC	SENIOR FINANCIAL ADVISOR/VICE PRESIDENT	Y	SUNRISE, FL, United States
12/2019 - Present	ANDREW CONSTANTINIDES	INDEPENDENT INSURANCE PRODUCER	Y	SUNRISE, FL, United States
12/2019 - 05/2022	SINGER WEALTH ADVISORS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	BOCA RATON, FL, United States
05/2019 - 12/2019	WestPark Capital, Inc.	Consultant	Y	Boca Raton, FL, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2018 - 05/2019	I-Bankers Securities, Inc. / I-Bankers Direct, LLC	Consultant	Y	Boca Raton, FL, United States
08/2016 - 02/2018	BANK OF AMERICA NA	Financial Advisor	Y	BOCA RATON, FL, United States
06/2016 - 02/2018	Merrill Lynch & Co.	Financial Advisor	Y	BOCA RATON, FL, United States
06/2009 - 06/2016	DAWSON JAMES SECURITIES, INC.	Financial Consultant	Y	Boca Raton, FL, United States



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) ANDREW CONSTANTINIDES; INVESTMENT RELATED; 1300 SAWGRASS CORP. PKWY., SUITE 130, SUNRISE, FL 33323
INSURANCE PRODUCTION ACTIVITIES; INSURANCE PRODUCER; 12/2019; APPROX. 5 HOURS PER MONTH DURING
TRADING AND NON-TRADING HOURS; INDEPENDENT INSURANCE PRODUCER FOR VARIOUS INSURANCE
COMPANIES.

2) NEIL JESANI ADVISORS INC.; NON-INVESTMENT RELATED; 1300 SAWGRASS CORPORATE PARKWAY, SUITE 130,
SUNRISE, FL 33323; TAX AND ACCOUNTING BUSINESS; SENIOR FINANCIAL ADVISOR; 06/2022; APPROX. 0 HOURS PER
MONTH DURING TRADING AND NON-TRADING HOURS; EMPLOYEE FOR PAYROLL AND BENEFITS ONLY.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	Dawson James Securities, Inc.
Allegations:	Misrepresentation, promissory statements and unsuitable recommendation.
Product Type:	Direct Investment-DPP & LP Interests
Alleged Damages:	\$300,000.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA Dispute Resolution - Chicago, IL
Docket/Case #:	18-01351
Filing date of arbitration/CFTC reparation or civil litigation:	04/12/2018

Customer Complaint Information

Date Complaint Received:	04/20/2018
Complaint Pending?	No
Status:	Settled



Status Date: 10/02/2019

Settlement Amount: \$96,000.00

Individual Contribution Amount: \$0.00

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: Dawson James Securities, Inc.

Allegations: Misrepresentation, promissory statements and unsuitable recommendation.

Product Type: Direct Investment-DPP & LP Interests

Alleged Damages: \$300,000.00

Is this an oral complaint? No

Is this a written complaint? No

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA Dispute Resolution- Chicago, IL.

Docket/Case #: 18-01351

Filing date of arbitration/CFTC reparation or civil litigation: 04/12/2018

Customer Complaint Information

Date Complaint Received: 04/20/2018

Complaint Pending? No

Status: Settled

Status Date: 10/02/2019

Settlement Amount: \$96,000.00

Individual Contribution Amount: \$0.00

Broker Statement I Andrew Constantinides emphatically deny the allegation (s) made by this sophisticated well versed/suitable/financial client of any misrepresentations and or wrong doing regarding this solicited private placement which had been reviewed in great detail by client in question with our firms syndicate departments head, prior to investment...My role was to simply take his order.

Disclosure 2 of 2

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: Dawson James Securities, Inc.

Allegations: The clients alleges that Mr. Constantinides made misrepresentations in regards to a particular private placement in which the client was solicited to invest. Updated



4/12/18: The matter evolved to an arbitration that included 2 additional former clients of Mr. Constantinides that also alleged that they were collectively unsuitable to participate in the investments at issue.

Product Type: Direct Investment-DPP & LP Interests

Alleged Damages: \$900,000.00

Alleged Damages Amount Explanation (if amount not exact): This number represents the amount stated in the claim; however, collectively the 3 clients invested a total of \$830,000 in the investments at issue.

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA Dispute Resolution - New York, NY

Docket/Case #: 18-01176

Filing date of arbitration/CFTC reparation or civil litigation: 03/30/2018

Customer Complaint Information

Date Complaint Received: 04/06/2018

Complaint Pending? No

Status: Settled

Status Date: 08/05/2019

Settlement Amount: \$265,000.00

Individual Contribution Amount: \$0.00

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: Dawson James Securities, Inc.

Allegations: The clients alleges that Mr. Constantinides made misrepresentations in regards to a particular private placement in which the client was solicited to invest.

Product Type: Direct Investment-DPP & LP Interests

Alleged Damages: \$900,000.00

Alleged Damages Amount Explanation (if amount not exact): \$830,000.00 represents the amount of funds that the clients invested collectively & committed to the private placement at issue.

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? Yes



Arbitration/Reparation forum or court name and location: FINRA Dispute Resolution -New York, NY

Docket/Case #: 18-01176

Filing date of arbitration/CFTC reparation or civil litigation: 03/30/2018

Customer Complaint Information

Date Complaint Received: 04/06/2018

Complaint Pending? No

Status: Settled

Status Date: 08/05/2019

Settlement Amount: \$265,000.00

Individual Contribution Amount: \$0.00

Broker Statement I Andrew Constantinides emphatically deny the allegations made by these sophisticated well versed, suitable, financial clients of any misrepresentations and or wrong doing regarding this solicited private placement which had been reviewed in great detail by clients in question with or firms's syndicate department head, prior to their investments, My role was to simply take their orders.



End of Report

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