



IAPD Report

AARON ROBERT MCLACHLAN

CRD# 5614998

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

AARON ROBERT MCLACHLAN (CRD# 5614998)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/22/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	WEALTH ENHANCEMENT ADVISORY SERVICES, LLC	CRD# 116407	11/01/2024
B	WEALTH ENHANCEMENT BROKERAGE SERVICES, LLC	CRD# 130139	12/02/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **37** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LPL FINANCIAL LLC	6413	CENTENNIAL, CO	11/06/2020 - 06/30/2025
IA	HOUSEHOLDER GROUP ESTATE & RETIREMENT SPECIALISTS	169045	Centennial, CO	11/16/2020 - 11/01/2024
IA	WELLS FARGO CLEARING SERVICES, LLC	19616	BOULDER, CO	07/24/2019 - 11/17/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Termination	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **37** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **WEALTH ENHANCEMENT BROKERAGE SERVICES, LLC**
Main Address: 505 N HIGHWAY 169
SUITE 900
PLYMOUTH, MN 55441
Firm ID#: 130139

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	12/02/2024
B	Alaska	Agent	Approved	06/30/2025
B	Arizona	Agent	Approved	06/30/2025
B	Arkansas	Agent	Approved	06/30/2025
B	California	Agent	Approved	06/30/2025
B	Colorado	Agent	Approved	06/30/2025
B	Connecticut	Agent	Approved	06/30/2025
B	Delaware	Agent	Approved	06/30/2025
B	Florida	Agent	Approved	06/24/2025
B	Georgia	Agent	Approved	06/30/2025
B	Idaho	Agent	Approved	06/30/2025
B	Illinois	Agent	Approved	06/30/2025
B	Indiana	Agent	Approved	06/30/2025



Qualifications

	Regulator	Registration	Status	Date
B	Iowa	Agent	Approved	06/30/2025
B	Kansas	Agent	Approved	06/30/2025
B	Louisiana	Agent	Approved	06/30/2025
B	Maine	Agent	Approved	06/30/2025
B	Maryland	Agent	Approved	06/30/2025
B	Michigan	Agent	Approved	06/30/2025
B	Minnesota	Agent	Approved	06/30/2025
B	Missouri	Agent	Approved	06/30/2025
B	Montana	Agent	Approved	06/30/2025
B	Nebraska	Agent	Approved	06/30/2025
B	Nevada	Agent	Approved	06/30/2025
B	New Mexico	Agent	Approved	06/30/2025
B	New York	Agent	Approved	06/30/2025
B	North Carolina	Agent	Approved	06/30/2025
B	Ohio	Agent	Approved	06/30/2025
B	Oklahoma	Agent	Approved	06/30/2025
B	Oregon	Agent	Approved	06/30/2025
B	South Carolina	Agent	Approved	06/30/2025
B	South Dakota	Agent	Approved	06/30/2025



Qualifications

	Regulator	Registration	Status	Date
B	Tennessee	Agent	Approved	06/30/2025
B	Texas	Agent	Approved	06/30/2025
B	Utah	Agent	Approved	06/30/2025
B	Virginia	Agent	Approved	06/30/2025
B	Wisconsin	Agent	Approved	06/30/2025
B	Wyoming	Agent	Approved	06/30/2025

Branch Office Locations

7700 E ARAPAHOE RD
SUITE 110
CENTENNIAL, CO 80112

Employment 2 of 2

Firm Name: **WEALTH ENHANCEMENT ADVISORY SERVICES, LLC**
Main Address: 505 N HIGHWAY 169
SUITE 900
PLYMOUTH, MN 55441
Firm ID#: 116407

	Regulator	Registration	Status	Date
IA	Colorado	Investment Adviser Representative	Approved	11/01/2024
IA	Texas	Investment Adviser Representative	Restricted Approval	11/01/2024

Branch Office Locations

WEALTH ENHANCEMENT ADVISORY SERVICES, LLC
7700 E ARAPAHOE RD
SUITE 110
CENTENNIAL, CO 80112



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	05/11/2018
B General Securities Representative Examination (S7)	Series 7	02/10/2009

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	02/20/2009



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	11/06/2020 - 06/30/2025	LPL FINANCIAL LLC	CRD# 6413	CENTENNIAL, CO
IA	11/16/2020 - 11/01/2024	HOUSEHOLDER GROUP ESTATE & RETIREMENT SPECIALISTS	CRD# 169045	Centennial, CO
IA	07/24/2019 - 11/17/2020	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	BOULDER, CO
B	06/05/2019 - 11/17/2020	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	BOULDER, CO
IA	04/22/2015 - 05/11/2018	STRATEGIC ADVISERS LLC	CRD# 104555	BROOMFIELD, CO
B	04/02/2015 - 05/11/2018	FIDELITY BROKERAGE SERVICES LLC	CRD# 7784	BROOMFIELD, CO
IA	10/19/2011 - 03/10/2015	CHARLES SCHWAB & CO., INC.	CRD# 5393	LONE TREE, CO
B	10/18/2011 - 03/10/2015	CHARLES SCHWAB & CO., INC.	CRD# 5393	LONE TREE, CO
IA	03/03/2009 - 08/03/2011	EDWARD JONES	CRD# 250	BROOMFIELD, CO
B	02/11/2009 - 08/03/2011	EDWARD JONES	CRD# 250	BROOMFIELD, CO

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2024 - Present	WEALTH ENHANCEMENT ADVISORY SERVICES	INVESTMENT ADVISOR REPRESENTATIVE	Y	CENTENNIAL, CO, United States
11/2024 - Present	WEALTH ENHANCEMENT BROKERAGE SERVICES	REGISTERED REPRESENTATIVE	Y	CENTENNIAL, CO, United States
11/2024 - Present	WEALTH ENHANCEMENT GROUP	SVP, FINANCIAL ADVISOR	Y	CENTENNIAL, CO, United States
11/2020 - 06/2025	LPL Financial, LLC	Registered Representative	Y	Centennial, CO, United States
11/2020 - 10/2024	Householder Group Estate & Retirement Specialists	Investment Adviser Representative	Y	Centennial, CO, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2019 - 11/2020	WELLS FARGO CLEARING SERVICES, LLC	REGISTERED REP	Y	BROOMFIELD, CO, United States
04/2019 - 10/2020	WELLS FARGO BANK, NA	PREMIER BANKER	Y	BROOMFIELD, CO, United States
01/2019 - 03/2019	LifeTime Fitness	Sales	N	Broomfield, CO, United States
07/2018 - 12/2018	Century Group	Recruiter	N	Denver, CO, United States
03/2015 - 05/2018	FIDELITY BROKERAGE SERVICES LLC	ACCOUNT EXECUTIVE	Y	SALT LAKE CITY, UT, United States



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

ECA Marketing - Investment Related - At Reported Business Location(s) - Non-Variable Insurance - Start Date: 07/27/2021 - 8 Hours Per Month/1 Hour During Securities Trading.

White Glove 567 - Not Investment Related - Castle Rock, CO - Financial Advisor Other - Educational - Start Date 08/23/2023 - 4 Hours Per Month 2 Hours During Trading

Wealth Enhancement Advisory Services - Registered Investment Advisor Hybrid - IAR - Investment Related - At Reported Business Location(s) - Start Date 11/01/2024 - 160 Hours per Month/ 120 Hours During Trading - I provide investment advisory services through Wealth Enhancement Advisory Services, an independent investment advisor firm. I started this business activity in 12/2024. I expect to spend approximately 160 hours per month on this activity. Please see the advisory firm's Form ADV for more information about its address, the nature of its business, its owners, and its service6s at <http://www.adviserinfo.sec.gov/IAPD>.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Termination	2

Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 2

Reporting Source: Firm
Firm Name: STRATEGIC ADVISERS, INC.
Termination Type: Discharged
Termination Date: 04/12/2018
Allegations: Allegation that employee violated firm policy by failing to follow account opening procedures because he opened IRA accounts for three customers without customer's being present to sign the requisite account opening forms. Employee was not compensated for opening the accounts.
Product Type: No Product

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Reporting Source: Individual
Firm Name: STRATEGIC ADVISERS, INC.
Termination Type: Discharged
Termination Date: 04/12/2018
Allegations: Allegation that employee opened IRA accounts for three customers without customer request in anticipation of future incoming transfers by those customers. Employee was not compensated for opening the accounts.
Product Type: No Product

Disclosure 2 of 2

Reporting Source: Firm
Firm Name: FIDELITY INVESTMENTS



Termination Type: Discharged

Termination Date: 04/12/2018

Allegations: Allegation that employee violated firm policy by failing to follow account opening procedures because he opened IRA accounts for three customers without customer's being present to sign the requisite account opening forms. Employee was not compensated for opening the accounts.

Product Type: No Product

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Reporting Source: Individual

Firm Name: Fidelity Investments

Termination Type: Discharged

Termination Date: 04/12/2018

Allegations: That I opened 3 IRA Accounts without the clients knowledge. But was not compensated for these actions.

Product Type: No Product

Broker Statement I did not open the accounts without their knowledge.



End of Report

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