



## IAPD Report

# LANCE MARTIN RAPPARD

CRD# 5616784

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### LANCE MARTIN RAPPARD (CRD# 5616784)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/15/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	LEGACY MAP DESIGN, LLC	CRD# 297269	11/19/2025

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LEGACY MAP DESIGN, LLC	297269	ANDOVER, KS	09/19/2018 - 12/31/2024
IA	ON INVESTMENT MANAGEMENT CO	105662	WICHITA, KS	01/29/2013 - 12/31/2018
B	THE O.N. EQUITY SALES COMPANY	2936	Andover, KS	01/29/2013 - 12/31/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Civil Event	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **LEGACY MAP DESIGN, LLC**  
Main Address: 1649 S BUTTERNUT ST  
WICHITA, KS 67230  
Firm ID#: 297269

Regulator	Registration	Status	Date
<b>IA</b> Kansas	Investment Adviser Representative	Approved	11/19/2025

### Branch Office Locations

**LEGACY MAP DESIGN, LLC**  
1649 S BUTTERNUT ST  
WICHITA, KS 67230



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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General Securities Representative Examination (S7)	Series 7	04/28/2009
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#### State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	06/02/2009
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### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/19/2018 - 12/31/2024	LEGACY MAP DESIGN, LLC	CRD# 297269	ANDOVER, KS
IA	01/29/2013 - 12/31/2018	ON INVESTMENT MANAGEMENT CO	CRD# 105662	WICHITA, KS
B	01/29/2013 - 12/31/2018	THE O.N. EQUITY SALES COMPANY	CRD# 2936	Andover, KS
B	04/30/2009 - 05/15/2012	THE O.N. EQUITY SALES COMPANY	CRD# 2936	WICHITA, KS

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2018 - Present	LEGACY MAP DESIGN, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	ANDOVER, KS, United States
01/2013 - Present	LEGACY MAP DESIGN, LLC	MANAGING MEMBER & CCO	Y	ANDOVER, KS, United States
01/2013 - 12/2018	ON INVESTMENT MANAGEMENT CO	INVESTMENT ADVISER REPRESENTATIVE	Y	BLUE ASH, OH, United States
01/2013 - 12/2018	THE O.N. EQUITY SALES COMPANY	REGISTERED REPRESENTATIVE	Y	CINCINNATI, OH, United States
01/2013 - 06/2018	OHIO NATIONAL FINANCIAL SERVICES	CAREER AGENT	N	CINCINNATI, OH, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Lance Martin Rappard is an independent licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment advisor. Legacy Map Design, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Legacy Map Design, LLC in their capacity as a licensed insurance agent. This business is conducted at 1400 Terradyne Drive, Suite 218, Andover, KS 67002. The name of the business is the Lance Rappard Insurance Agency and Mr. Rappard is the serve as President. Mr. Rappard started the business in June 1992 and his duties are to evaluate, study and recommend various life insurance product and/or annuities to provide risk



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

management solutions and/or cash flow solutions in accordance with the goals, objectives and needs of the client. Mr. Rappard currently devotes approximately 20 Hours per month for this business of which 15 hours per month occur during trading hours.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Civil Event	1

### Civil Event

This disclosure event involves an injunction issued by a foreign or domestic court in connection with investment-related activity, a finding by a domestic or foreign court of a violation of any investment-related statute or regulation, or an action dismissed by a domestic or foreign court pursuant to a settlement agreement.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Initiated By:</b>	KANSAS SECURITIES COMMISSIONER
<b>Relief Sought:</b>	Civil and Administrative Penalty(ies)/Fine(s)
<b>Date Court Action Filed:</b>	09/30/2021
<b>Date Notice/Process Served:</b>	10/01/2021
<b>Product Type:</b>	No Product
<b>Type of Court:</b>	SECURITIES COMMISSIONER OF THE STATE OF KANSAS
<b>Name of Court:</b>	SECURITIES COMMISSIONER OF THE STATE OF KANSAS
<b>Location of Court:</b>	TOPEKA, KANSAS
<b>Docket/Case #:</b>	22E020
<b>Employing firm when activity occurred which led to the action:</b>	LEGACY MAP DESIGN, LLC
<b>Allegations:</b>	LEGACY MAP DESIGN VIOLATED K.A.R. 81-14-1(b)(3)(B) BY FAILING TO TIMELY FILE THE ANNUAL UPDATING AMENDMENT TO FORM ADV IN 2019, 2020, 2021.
<b>Current Status:</b>	Final
<b>Resolution:</b>	Consent
<b>Resolution Date:</b>	10/01/2021
<b>Sanctions Ordered or Relief Granted:</b>	Civil and Administrative Penalty(ies)/Fine(s)

#### Monetary Sanction 1 of 1

<b>Monetary Sanction:</b>	Monetary Fine
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**Total Amount:** \$1,000.00

**Portion against individual:** 0

**Date Paid:**

**Portion Waived:**

**Amount Waived:**



## End of Report

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