



IAPD Report

Glen Thomas Omanson

CRD# 5623072

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Glen Thomas Omanson (CRD# 5623072)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/25/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	08/19/2025
B	CETERA WEALTH SERVICES, LLC	CRD# 13572	09/05/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **4** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	AVANTAX ADVISORY SERVICES	104556	Boca Raton, FL	10/25/2022 - 09/05/2025
B	AVANTAX INVESTMENT SERVICES, INC.	13686	Boca Raton, FL	09/30/2022 - 09/05/2025
B	B. RILEY WEALTH MANAGEMENT	2543	BOCA RATON, FL	07/22/2022 - 09/30/2022

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Financial	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **4** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**
Main Address: 2301 ROSECRANS AVE #5100
EL SEGUNDO, CA 90245
Firm ID#: 13572

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	09/05/2025
	FINRA	Invest. Co and Variable Contracts	Approved	09/05/2025
	Arizona	Agent	Approved	09/26/2025
	Florida	Agent	Approved	09/05/2025
	Massachusetts	Agent	Approved	09/05/2025
	New Mexico	Agent	Approved	09/05/2025

Branch Office Locations

CETERA ADVISOR NETWORKS LLC
2500 North Military Trail, Suite 206
Boca Raton, FL 33431

CETERA ADVISOR NETWORKS LLC
Boynton Beach, FL

Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**
Main Address: 1450 AMERICAN LANE
6TH FLOOR, SUITE 650
SCHAUMBURG, IL 60173-2096
Firm ID#: 105644

	Regulator	Registration	Status	Date
	Florida	Investment Adviser Representative	Approved	08/19/2025



Qualifications

Branch Office Locations

CETERA INVESTMENT ADVISERS LLC
2500 N MILITARY TRAIL
SUITE 206
BOCA RATON, FL 33431

CETERA INVESTMENT ADVISERS LLC
Boynton Beach, FL



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
 Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	12/21/2009

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	08/02/2012
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	12/22/2008

State Securities Law Exams

Exam	Category	Date
 Uniform Securities Agent State Law Examination (S63)	Series 63	12/12/2008
 Uniform Investment Adviser Law Examination (S65)	Series 65	12/01/2008

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/25/2022 - 09/05/2025	AVANTAX ADVISORY SERVICES	CRD# 104556	Boca Raton, FL
B	09/30/2022 - 09/05/2025	AVANTAX INVESTMENT SERVICES, INC.	CRD# 13686	Boca Raton, FL
B	07/22/2022 - 09/30/2022	B. RILEY WEALTH MANAGEMENT	CRD# 2543	BOCA RATON, FL
IA	01/29/2019 - 09/30/2022	B. RILEY WEALTH ADVISORS, INC.	CRD# 115927	BOCA RATON, FL
B	01/25/2019 - 07/22/2022	NATIONAL SECURITIES CORPORATION	CRD# 7569	BOCA RATON, FL
IA	06/30/2014 - 02/01/2019	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	BOYNTON BEACH, FL
B	06/27/2014 - 02/01/2019	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	BOYNTON BEACH, FL
B	10/01/2012 - 07/08/2014	J.P. MORGAN SECURITIES LLC	CRD# 79	BOYNTON BEACH, FL
IA	10/01/2012 - 07/08/2014	J.P. MORGAN SECURITIES LLC	CRD# 79	BOYNTON BEACH, FL
IA	09/12/2012 - 10/01/2012	CHASE INVESTMENT SERVICES CORP.	CRD# 25574	BOYNTON BEACH, FL
B	01/25/2012 - 10/01/2012	CHASE INVESTMENT SERVICES CORP.	CRD# 25574	BOYNTON BEACH, FL
IA	07/08/2010 - 02/25/2011	BOULDER WEALTH MANAGEMENT, LLC	CRD# 147809	OVERLAND PARK, KS
B	03/04/2010 - 02/16/2011	LPL FINANCIAL LLC	CRD# 6413	OVERLAND PARK, KS
IA	01/07/2009 - 03/08/2010	INVESTMENT ADVISORS INTERNATIONAL, INC.	CRD# 139233	GREENWOOD VILLAGE
B	12/23/2008 - 03/08/2010	WORLD GROUP SECURITIES, INC.	CRD# 114473	GREENWOOD VILLAGE



Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2025 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	Boca Raton, FL, United States
08/2025 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
08/2025 - Present	UNITED TEAM VENTURES LLC, DBA UNITED TEAM TAX	MANAGER	Y	Delray Beach, FL, United States
09/2022 - Present	United Team Wealth Management	Manager	Y	Boca Raton, FL, United States
10/2022 - 09/2025	Avantax Insurance Agency and/or Avantax Insurance Services.	Insurance Agent	Y	Boca Raton, FL, United States
09/2022 - 09/2025	AVANTAX ADVISORY SERVICES	INVESTMENT ADVISOR REPRESENTATIVE	Y	BOCA RATON, FL, United States
09/2022 - 09/2025	AVANTAX INVESTMENT SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	BOCA RATON, FL, United States
12/2020 - 08/2025	UTT Holdings LLC DBA United Team Tax	Manager	Y	Boca Raton, FL, United States
12/2020 - 08/2025	UTT Holdings LLC DBA United Team Tax & Financial Planning	MANAGER	Y	BOCA RATON, FL, United States
09/2022 - 10/2022	AVANTAX INSURANCE AGENCY, LLC.	INSURANCE AGENCY	Y	BOCA RATON, FL, United States
07/2022 - 09/2022	B. RILEY WEALTH MANAGEMENT	REGISTERED REPRESENTATIVE	Y	BOCA RATON, FL, United States
01/2019 - 09/2022	B. RILEY WEALTH ADVISORS INC.	REGISTERED REPRESENTATIVE	Y	BOCA RATON, FL, United States
01/2019 - 07/2022	NATIONAL ASSET MANAGEMENT	INVESTMENT ADVISOR REPRESENTATIVE	Y	BOCA RATON, FL, United States
01/2019 - 07/2022	NATIONAL SECURITIES CORPORATION	REGISTERED REPRESENTATIVE	Y	BOCA RATON, FL, United States
11/2016 - 01/2019	WELLS FARGO CLEARING SERVICES, LLC	FINANCIAL ADVISOR	Y	WEST PALM BEACH, FL, United States
06/2014 - 11/2016	WELLS FARGO ADVISORS, LLC	FINANCIAL ADVISOR	Y	WEST PALM BEACH, FL, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) UNITED TEAM WEALTH MANAGEMENT

POSITION: Manager NATURE: DBA for Avantax related business INVESTMENT RELATED: Yes NUMBER OF HOURS: 130

SECURITIES TRADING HOURS: 130 START DATE: 09/29/2022

ADDRESS: 2500 North Military Trail, STE 206, Boca Raton FL 33431, United States

DESCRIPTION: Provide sales and service of Avantax investments and insurance products.

2) UNITED TEAM VENTURES LLC, DBA UNITED TEAM TAX

POSITION: MANAGER NATURE: Health Insurance Sales and Service, Property and Casualty Insurance Sales and Service, Commercial & Residential Real Estate, Sales, Rentals, Renovation, and Management, Tax Preparation, Resolution, and Bookkeeping Services.

INVESTMENT RELATED: No NUMBER OF HOURS: 130 SECURITIES TRADING HOURS: 130 START DATE: 08/05/2025

ADDRESS: 4211 N Magnolia Cir, Delray Beach FL 33445, United States

DESCRIPTION: Sales and service of Health Insurance, Property and Casualty insurance. Commercial & Residential Real Estate, Sales, Rentals, Renovation, and Management. Tax Preparation, Resolution and Bookkeeping.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Financial	1

Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 1

Reporting Source:	Individual
Action Type:	Bankruptcy
Bankruptcy:	Chapter 7
Action Date:	05/20/2021
Organization Investment-Related?	
Type of Court:	U.S. BANKRUPTCY COURT
Name of Court:	U.S. BANCKRUPTCY COURT
Location of Court:	SOUTHERN DISTRICT OF FLORIDA
Docket/Case #:	21-14904-MAM
Action Pending?	No
Disposition:	Discharged
Disposition Date:	08/20/2021



End of Report

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